# Avalon Energy Services, LLC

Experience. Independence. Objectivity.

February 15, 2010

Mr. James J. McNulty, Secretary Pennsylvania PUC PO Box 3265 Harrisburg, PA 17105-3265 DICKET# A-2010-210814

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PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU

Attached are Avalon Energy Services, LLC's responses to your questions received by email on Feb 12, 2010.

1. Application's Proposed Operations (Application Item No. 8)

Avalon Energy Services, LLC does not intend to purchase electric energy and take title to electric energy as an intermediary for sale to retail customers. A modified "Applicant's Proposed Operations" is attached (Exhibit 1).

- 2. Taxation (Application Item No. 15)

  The Corporate Box Number A modified "Tax Certification Statement" is attached (Exhibit 2).
- Compliance (Application Item No. 16)
   No applicant, affiliates, predecessor of either or any person identified in this application has been convicted of a crime involving fraud or a similar activity.
- Financial Fitness (Application Item No. 19)
   Technical Fitness (Application Item No. 20)
  - a. Detailed resumes and documents of certifications are attached for Anne Elisa McDonnell and James McDonnell (Exhibit 3).
    - i. Anne Elisa McDonnell, Resume
    - ii. Anne Elisa McDonnell, Building Analyst Professional Certificate
    - iii. James McDonnell, Resume
    - iv. James McDonnell, Building Analyst Professional Certificate
    - v. James McDonnell, Envelope Professional Certificate
    - vi. James McDonnell, Certified Energy Manager Certificate
    - vii. James McDonnell, Professional Geologist Certificate
      And CFA membership card

# Avalon Energy Services, LLC

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b. Financial statements (cash basis profit and loss, balance sheet and cash flow statement) for 2009, the year in which Avalon Energy Services, LLC, was established, are attached. Also attached is evidence of a credit facility (Exhibit 4).

#### 7. Marketing

- a. Avalon Energy Services, LLC may, from time to time, use independent contractors to market in the Commonwealth of Pennsylvania. These contractors will, based on their relationships, set up meetings with prospective customers.
- b. Avalon Energy Services, LLC has no plans to market its services door-to-door.

I, Anne Elisa McDonnell, hereby state that the facts above set forth are true and correct to the best of my knowledge, information and belief, and that I expect to be able to prove the same at a hearing held in this matter. I understand that the statements herein are made subject to the penalties of 18 Pa.C.S. sec 4904 (relating to unsworn falsification to authorities).

Anne Elisa McDonnell

President, Avalon Energy Services, LLC

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Exhibit 1

	<b>-1</b>	If the Applicant or an affiliate has a predecessor who has done busine name and address of the predecessor(s) and state whether the predepublic utilities.		
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			FEB 1 7 2010	
		or	PA PUBLIC UTILITY COMMISSION SECRETARY'S BUREAU	
	Q	The Applicant has no affiliates doing business in Pennsylvania or predusiness in Pennsylvania.	decessors which have done	
7.	AP	PPLICANT'S PRESENT OPERATIONS: (select and complete the appropriate statement)		
	Q	The Applicant is presently doing business in Pennsylvania as a	Applicant is presently doing business in Pennsylvania as a	
		vertically-integrated provider of generation, transmission, and distinguished electric corporation providing service outside its municipal electric cooperative local gas distribution company nonintegrated provider of electric generation, transmission or distinguished. Other. (Identify the nature of service being rendered.)	pal limits.	
		or		
		The Applicant is not presently doing business in Pennsylvania.		
8.	AP	APPLICANT'S PROPOSED OPERATIONS: The Applicant proposes to operate as a:		
		Generator and supplier of electric power.  Municipal generator and supplier of electric power.  Electric Cooperative and supplier of electric power  Broker/Marketer engaged in the business of supplying electricity.  Aggregator engaged in the business of supplying electricity  Other (Describe):		

#### APPENDIX B

Exhibit 2

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COMMONWEALTH OF **PENNSYLVANIA** PUBLIC UTILITY COMMISSION

#### TAX CERTIFICATION **STATEMENT**

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PA PUBLIC UTILITY COMMISSION

SECRETARY'S BUREAU

A completed Tax Certification Statement must accompany all applications for new licenses, renewals or transfers. Failure to provide the requested information and/or any outstanding state income, corporation, and sales (including failure to file or register) will cause your application to be rejected. If additional space is needed, please use white 81/2" x 11" paper. Type or print all information requested. 1. CORPORATE OR APPLICANT NAME 2. BUSINESS PHONE NO. (888 )484-8096 ext, 202 Avalon Energy Services, LLC CONTACT PERSON(S) FOR TAX ACCOUNTS: James C. McDonnell 3. TRADE/FICTITIOUS NAME (IF ANY) 4. LICENSED ADDRESS (STREET, RURAL ROUTE, P.O. BOX NO.) (POST OFFICE) STATE) (ZIP) 5507 Lambeth Rd. Bethesda, MD 20814 PARTNERSHIP 5. TYPE OF ENTITY SOLE PROPRIETOR x CORPORATION 8. LIST OWNER(S), GENERAL PARTNERS, OR CORPORATE OFFICER(S) NAME (PRINT) SOCIAL SECURITY NUMBER (OPTIONAL) Anne Elisa McDonnell, President SOCIAL SECURITY NUMBER NAME (PRINT) (OPTIONAL) James C. McDonnell, COO SOCIAL SECURITY NUMBER (OPTIONAL) NAME (PRINT) SOCIAL SECURITY NUMBER NAME (PRINT) NAME (PRINT) SOCIAL SECURITY NUMBER 9. LIST THE FOLLOWING STATE TAX IDENTIFICATION NUMBERS. (ALL ITEMS: A, B, AND C MUST BE COMPLETED). A. SALES TAX LICENSE (8 DIGITS) APPLICATION C. CORPORATE BOX NUMBER (7 DIGITS) APPLICATION Redacted YES 10. Do you have PA employes either resident or non-resident? x NO 11. Do you own any assets or have an office in PA? YES x NO NAME AND PHONE NUMBER OF PERSON(S) RESPONSIBLE FOR FILING TAX RETURNS James C. McDonnell James C. McDonnell PA SALES AND USE TAX **EMPLOYER TAXES** CORPORATE TAXES PHONE 888-484-8096 ext 202 PHONE 888-484-8096 ext 202 Telephone inquiries about this form may be directed to the Pennsylvania Department of Revenue at the

following numbers: (717) 772-2673, TDD# (717) 772-2252 (Hearing Impaired Only)

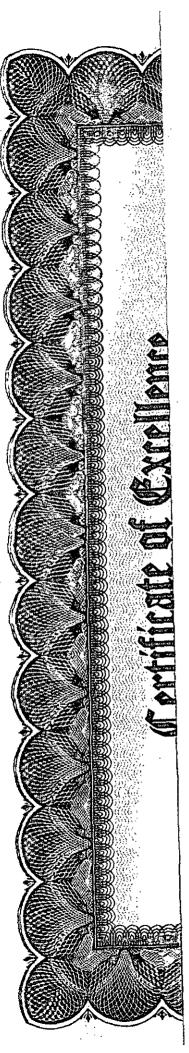
#### **Anne Elisa McDonnell**

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#### James C. McDonnell

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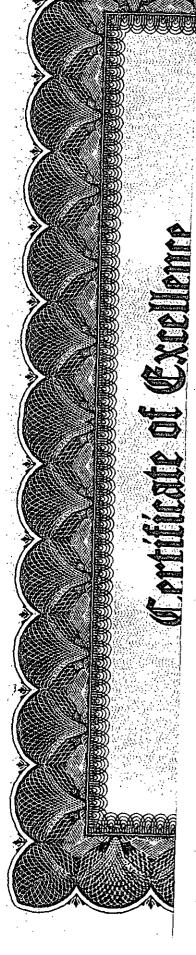
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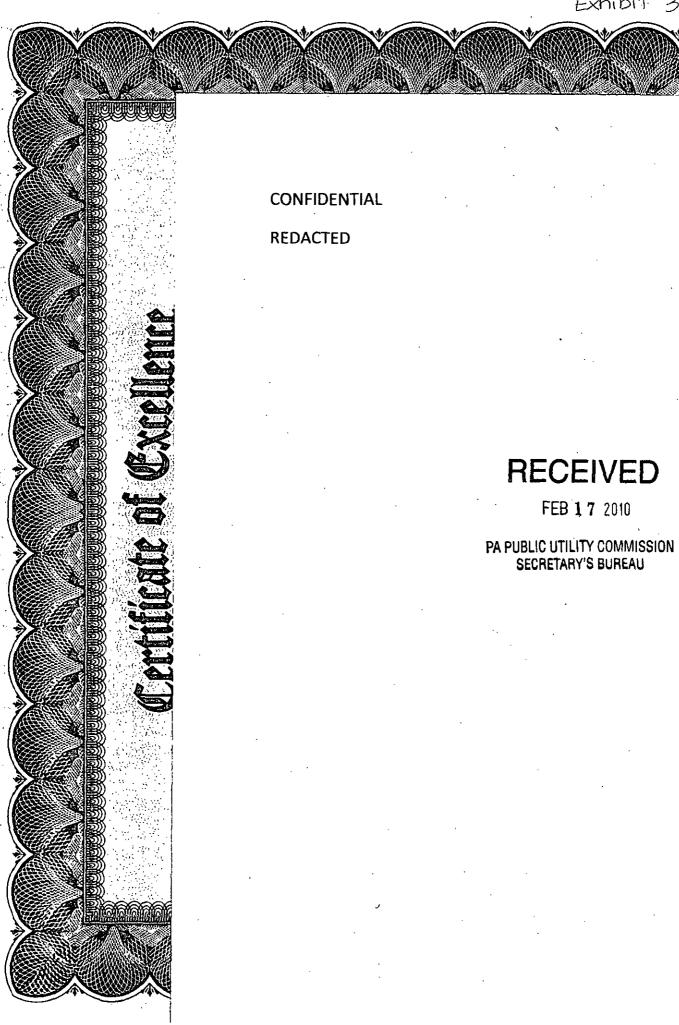
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Avalon Energy Services, LLC
Profit and Loss (cash basis), in Dollars
January through December, 2009
- Private and Confidential -

Attachment Page 1 of 3

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Exhibit 4.1 pg 2/3

Avalon Energy Services, LLC
Balance Sheet (cash basis), in Dollars
As of December 31, 2009
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Exhibit 4.1 pg 3/3

Avaion Energy Services, LLC
Cash Flow Statement, in Dollars
January through December, 2009
- Private and Confidential -

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Avalon Energy Services, LLC Revenue Projections (\$)

Attachment 02-12-10

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MR. JAMES J. M-NULTY

SECRETARY PENNSYLVANIA P.V.C.

P.O. BUX 3265

WARRISBURG, PA. 17-105-3265

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