

CONSERVATION SERVICE PROVIDER ("CSP") APPLICATION FOR REGISTRATION IN THE COMMONWEALTH OF PENNSYLVANIA

Conservation Service Providers ("CSPs") have a specific role under Act 129, which requires a covered Electric Distribution Company's Energy Efficiency and Conservation (EE&C) plan to include one or more CSPs to "provide information and technical assistance on *measures* [italics emphasized] that enable a person to increase energy efficiency or reduce energy consumption." 66 Pa.C.S. §§ 2806.1(b)(1)(i)(e) & 2806.1(m). As such, registration of business entities as approved CSPs is required before entering into a contract agreement with an EDC exclusively for the provision of consultation, design, administration, management or advisory services regarding that EDC's EE&C plan. This registry is not intended as a resource of business, whose sole purpose is the installation of measures, supplying equipment or other contracting work for use by the general public and EDC customers. If providing such services, registration as a CSP is not required for entering into an agreement with an EDC.

1. IDENTITY OF THE APPLICANT

a. Legal Name of Applicant: EnergySavvy Inc.

Attach proof of compliance with appropriate Pennsylvania Department of State filing requirements.¹

b. Trade or Commercial or Fictitious Names Used by Applicant (d/b/a)—List all that apply.

The Applicant will be using a fictitious name or doing business as ("d/b/a"). Identify names below. If more space is needed, list names on the back of this page or append list to completed application.

The Applicant will not be using a fictitious name.

c. Applicant Address: 159 S. Jackson St Ste. 420
Seattle, WA 98104

d. Applicant Telephone No: 206-462-2206

e. Applicant FAX No: 888-281-4765

f. Applicant Email Address: accounting@energysavvy.com

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¹ A copy of any document from the Pennsylvania Department of State (Pa. Dept. of State) documenting the Applicant's Pa. Dept. of State entity number is adequate. However, the document must indicate that the Applicant's Pa. Dept. of State registration is "active." Certified copies of Pa. Dept. of State documents are not required.



Corporations

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Business Entity Filing History

Date: 1/26/2015 (Select the link above to view the Business Entity's Filing History)

Business Name History

Name	Name Type
EnergySavvy Inc	Current Name
Evoworx, Inc.	Prior Name

Business Corporation - Foreign - Information

Entity Number: 4253171
Status: Active
Entity Creation Date: 3/14/2014
State of Business.: DE
Registered Office Address: % Corporation Service Company
 PA
 Dauphin
Mailing Address: No Address

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g. Contact Information for Applicant. PLEASE NOTE: Upon approval of this application, this Contact Information will be listed on the Commission's CSP Registry.

- Name Aaron Goldfeder
- Mailing Address 159. S. Jackson St. Ste. 420
Seattle, WA 98104
- Telephone and FAX Nos. 206-462-2006
- Email Address contact@energysavvy.com

h. Predecessor(s) & Other Names used by Applicant for past five (5) years of date of this application. Provide Name(s), Address(es) and Telephone No.(s).

- Check Box if any Predecessor(s) is currently or was previously registered in Pennsylvania as a CSP. If affirmative, please provide Docket No(s). (A-[Year]-[Seven (7) Digits]) and names for all registered CSPs.

Evoworx Inc.; DBA EnergySavvy 159 S. Jackson St Ste 420
Seattle, WA 98104

i. Parent & Subsidiary Companies & Affiliates:

- Parent Name and Contact Information. Check Box if any affiliate is currently doing business in Pennsylvania as a CSP or Electric Distribution Company (EDC).

N/A

- Subsidiaries and Contact Information. Check Box if any affiliate is currently doing business in Pennsylvania as a CSP or Electric Distribution Company (EDC).

N/A

- Affiliate(s) and Contact Information. Check Box if any affiliate is currently doing business in Pennsylvania as a CSP or Electric Distribution Company (EDC).

N/A

j. Contracts & Business Partnerships:

- Check Box if Applicant intends to or has operated under contract with or has partnered with an EDC within the past five (5) years.
- Check Box if Applicant intends to or has operated under contract (subcontractor) with or has partnered with a CSP within the past five (5) years.

Provide company name(s) and contact information and briefly describe the nature of business services associated with each contract and/or partnership. If "None," do not check the box and answer "None" below.

None

k. Identify principal officers (owners, executives, partners and/or directors), as appropriate for Applicant's organizational structure. Provide an organizational chart and the names, titles, business addresses and telephone numbers for each office.

All officers and directors are connected with the Seattle office at
159 S. Jackson St. Ste. 400 Seattle, WA 98104

Aaron Goldfeder (CEO, Director of Board); Karl Siebrecht (Chairman of the
Board), Michael Galgan (Director of Board); Brad Zenger (Director of Board);
Tim Woodward (Director of Board); Dean Sciorillo (Director of Board);
Scott Case (Chief Operating Officer)

l. Attach to this Application a brief biography or single page professional resume for all principal officers and management directly responsible for Applicant's operations.

Resumes have been attached for the following:

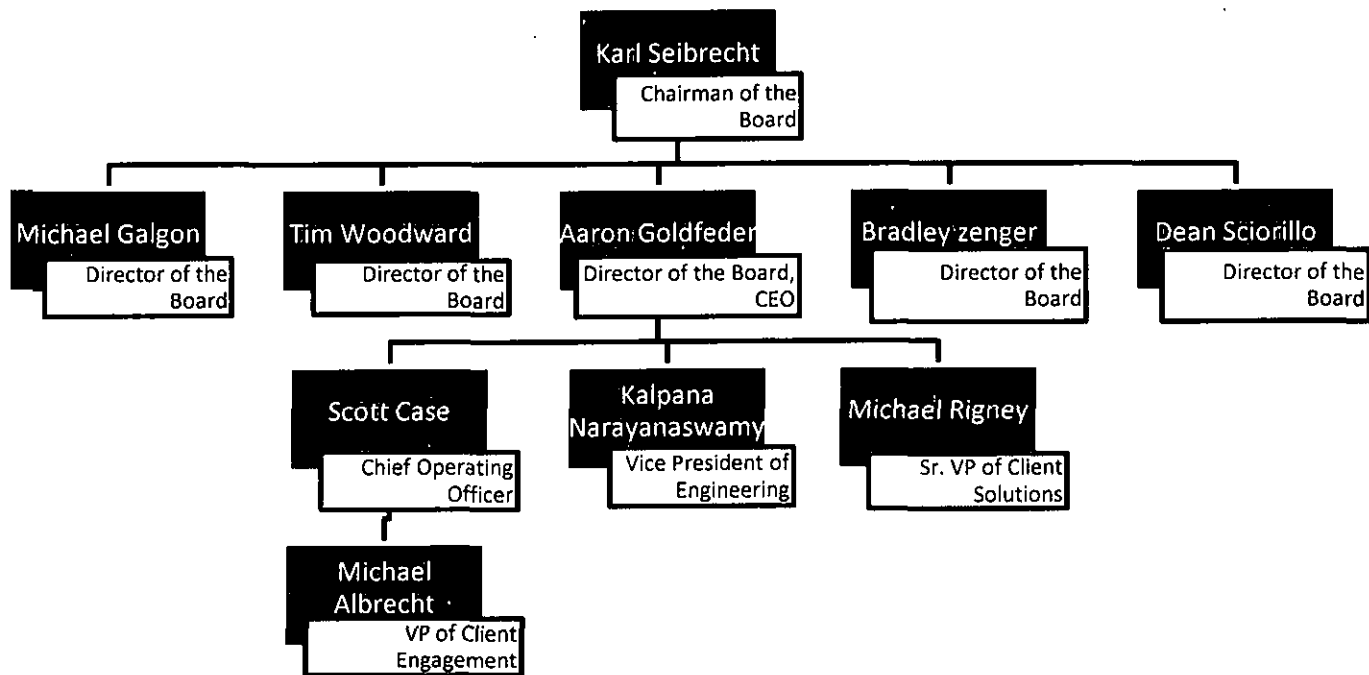
Aaron Goldfeder: Chief Executive officer

Scott Case: Chief operating officer

Kalpana Narayanaswamy: Vice President of Engineering

Michael Bigney: Senior Vice President of Sales + Marketing

Michael Albrecht: Vice President of Client Engagement





Aaron Goldfeder

Co-Founder, Chief Executive Officer

Aaron has led EnergySavvy's overall success from day one. He has five years of experience working in energy efficiency. As a recognized thought leader, Aaron has spoken at conferences including ACI National, MEEA, and Better Buildings about energy efficiency program design and software's role in the industry. Aaron is a former Principal Group Program Manager at Microsoft, where he was a key leader on Internet Explorer, Windows XP, Local Search, and a Microsoft Internal Cloud Services Platform. Aaron holds a master's degree in mathematics from the University of Washington.

Business Development

- Responsible for EnergySavvy's vision, direction, and execution management.
- Led the company from inception, through financing with private investors, to substantial annual revenues with utility and government-backed energy efficiency program enterprise customers nationwide.
- Worked hands-on with numerous large utilities on complex energy efficiency, compliance, and customer issues.
- Attracted and retained top talent including alumni from Microsoft, Amazon, aQuantive, Redfin, and PG&E.

Software Expertise

- Guided the inception, development, and release of industry-leading, cloud-based energy efficiency software.
- Seasoned expert in leading innovative engineering teams in web, client application and systems development.
- More than a decade of professional software experience on systems used by hundreds of millions of users and businesses.
- Holds ten software patents.

Corporate Leadership

- As a co-founder of EnergySavvy, he has guided the company's growth, strategy and operations since day one.
- Speaks at and moderates for industry conferences on program best practices for successful energy efficiency program design.
- Versed in end-to-end business management including human resources, financial operations, sales, marketing, and R&D.

Professional Experience

Co-Founder, Chief Executive Officer
EnergySavvy
Seattle, WA
2008-Present

Principal Group Program Manager
Microsoft Corporation
Redmond, WA
2007-2008

Co-Founder, President
Digital Intrepid, Inc.
Seattle, WA
2006-2007

Lead Program Manager
Microsoft Corporation
Redmond, WA
2001-2005

Education

M.S. Mathematics with
Cryptography Focus
University of Washington
Seattle, WA
2001

B.S. Mathematics with Honors
University of Florida
Gainesville, FL
1999



Scott Case

Chief Operating Officer

Scott has provided product and service leadership, execution, and executive supervision to all of EnergySavvy's clients. He is an expert in online marketing technologies from his five years at aQuantive, where he led product management and marketing for Microsoft/aQuantive's ad serving tools. While at Microsoft, Scott led the 2008 acquisition of Rapt, Inc., provider of advertising data analysis and yield management tools for online publishers. Scott has an MBA from MIT and a B.A. from Williams College.

Product Direction

- Leads product strategy and software requirements to ensure good product-to-market fit.
- Responsible for EnergySavvy's four-person program management team, consisting of software PM veterans with over 30 years of combined experience leading software development teams at companies like Microsoft, QL2 Software, and Channel IQ.
- Launched Optix Manage product in 2010; direct responsibility for product's functionality through 2012.

Client Engagement Leadership

- Responsible for EnergySavvy's Client Engagement team, including mentoring new team members, resource allocation planning, and ensuring the viability of new projects.
- Ultimately accountable for the success of each client engagement. Acts as escalation point for client executives if problems arise.
- Directly led Optix implementations at CPS Energy, Clean Energy Works, Energy Trust of Oregon, and Utah Home Performance with ENERGY STAR.

Overall Company Management

- As member of EnergySavvy's management team since 2009, he has guided the company's growth, strategy, and operations.
- Contributes to marketing and business development efforts by EnergySavvy to ensure achievable implementations.
- Author thought leadership papers and speak at industry conferences on marketing and program best practices for successful home energy efficiency program design.

Professional Experience

Chief Operating Officer
EnergySavvy
Seattle, WA
2009- Present

Director of Product Management
Microsoft/aQuantive
Seattle, WA
2004-2009

Product Manager
Microsoft Corporation
Redmond, WA
2002

Senior Product Manager
Sallie Mae Solutions
Cambridge, MA
1998-2001

Education

MBA
MIT Sloan School of Management
Cambridge, MA
2002-2004

B.A. Economics and Political
Science (*cum laude*)
Williams College
Williamstown, MA
1994-1998

Michael Rigney

Sr. VP of Client Solutions, Marketing, and Regulatory Affairs

Michael has overall responsibility for the delivery of the Optix Demand Side Management (DSM) software platform to our clients. In this role, Michael oversees the development and execution of the company's sales, marketing, and regulatory affairs strategies, including working closely with senior utility executives on their most demanding DSM issues. Michael brings over ten years of energy industry experience to EnergySavvy, including prior leadership roles with Gridco Systems and EnerNOC. Michael has an MBA from the Stanford Graduate School of Business and a B.E. from the Thayer School of Engineering at Dartmouth College.

Client Solutions

- Leads EnergySavvy's sales team to ensure the delivery of world-class DSM software solutions that delight our utility clients and other stakeholders such as end users, trade allies, and implementers.
- Works closely with existing clients to identify unmet needs that can be addressed with EnergySavvy's DSM enterprise software.

Marketing

- Drives market awareness and product adoption through marketing strategies that demonstrate thought-leadership and communicate the unique value of EnergySavvy's DSM enterprise software.
- Aligns product and field marketing to closely support the client solutions team.

Regulatory Affairs

- Leads EnergySavvy's efforts to collaborate with utilities, regional energy efficiency organizations, and others to educate the regulatory and policy communities about the benefits of modern DSM software.

Executive Leadership Experience

- Worked closely with leading utilities throughout North America to establish the market need and product requirements for the Gridco Systems family of Intelligent Power Regulators (IPR). Executed the first two product trial agreements for the IPR.
- As a senior sales leader during EnerNOC's growth from \$1M to \$300M in annual revenue, led teams that booked \$99M in aggregate revenue.

Professional Experience

Sr. VP of Client Solutions,
Marketing and Regulatory Affairs
EnergySavvy
Boston, MA
2014-Present

VP of Business Development
Gridco Systems, Inc.
Boston, MA
2011-2013

VP of C&I Marketing
EnerNOC, Inc.
New York, NY
2009-2011

Sr. Director of Business
Development
EnerNOC, Inc.
New York, NY
2006-2009

Business Development Manager
EnerNOC, Inc.
San Francisco, CA
2005-2006

BlueTarp Financial, Inc.
Co-Founder, VP of Business
Development
Portland, ME
1999-2002

Education

MBA
Stanford University Graduate
School of Business
Stanford, CA
2004

B.Eng. & B.A. Engineering
Sciences (*Phi Beta Kappa, magna
cum laude*)
Dartmouth College
Hanover, NH
1992-1997



EnergySavvy



Kalpana Narayanaswamy

VP of Engineering

Kalpana leads EnergySavvy's engineering team and is responsible for all development initiatives. Kalpana has more than 15 years of software development experience and holds several patents. She is an expert in cloud computing, data visualization, and operational intelligence platforms for large-scale enterprises. Kalpana holds an M.S. in computer science from the University of Texas.

Technology Leadership

- Seasoned technology leader with experience in designing, developing and launching successful enterprise scale products and cloud services.
- Track record in creating high performance teams and establishing the right processes and procedures to deliver measureable, profitable results.
- Strong relationship builder with the ability to influence at all levels of the organization.

Development Management

- Leads EnergySavvy's engineering team; provides leadership for recruiting and hiring software engineers.
- Responsible for all software development and SaaS operations.
- Ensures development resources are allocated appropriately to ensure timely, successful implementations.
- Responsible for the overall success of all customer implementations on the Optix platform.

Past Software Expertise

- Directly supervised the engineering team of 100+ staff responsible for four different product lines generating \$100+ million in annual revenue, servicing fortune 50/ global 2000 customers at Attachmate Corporation.
- Aligned engineering roadmap for buy-in across business and engineering while managing multiple priorities – customer driven features, strategic partnerships and keeping up with scale and quality while experiencing high growth from 1K to 7K + servers and over 5 PB of data at Doyenz Inc.
- Co-founded SynerG Software Corp., which delivered efficient access to complex, disparate business data via a single unified business application enabling them to maximize the value of every business decision or customer interaction.

Professional Experience

VP of Engineering
EnergySavvy
Seattle, WA
2012-Present

Dir. Engineering & Product Mgmt
Doyenz Inc
Redmond, WA
2011-2012

VP Products & Co-founder
SynerG Software Corporation
Redmond, WA
2005-2010

Dir. of Development, SOA Services
Attachmate Corporation
Bellevue, WA
2003-2005

Development Manager
Attachmate Corporation
Bellevue, WA
1999-2000

Senior Software Engineer
Attachmate Corporation
Bellevue, WA
1997-1998

Education

M.S. Computer Science
M.S. Industrial Engineering
University of Texas
Arlington, TX

B.E. Mechanical Engineering
University of Madras
Chennai, India





Michael Albrecht

VP of Client Engagement

Michael is responsible for leading EnergySavvy's Client Engagement team for all products and services. He has extensive experience leading software implementation teams in multiple industries including online advertising, digital licensing, and ERP/CRM implementations. Michael has developed best-of-breed implementation methodologies and strategic offerings to assist customers in multiple industries to improve performance and operational efficiency. Michael has an MBA from Columbia University and a B.S. in electrical engineering from University of Michigan.

Client Engagement Leadership

- Responsible for EnergySavvy's Client Engagement team, including mentoring new team members, resource allocation planning, and ensuring the viability of new projects.
- Accountable for the success of each client engagement. Acts as escalation point for client executives if problems arise.
- Responsible for scoping complex projects and developing partner-focused implementation approaches with clients.

Project and Service Management

- Responsible for developing implementation methodologies and ensuring consistent client engagement practices across EnergySavvy's portfolio of projects.
- Leads the development of strategic service offerings to enable customers to evaluate the effectiveness of their Energy Efficiency programs and implement leading partner practices.

Implementation and Support Management

- Responsible for coordinating implementation efforts within the Client Engineering team. Drive prioritization of client needs and facilitate client escalations.
- Engage and manage EnergySavvy implementation partners to ensure consistency across implementation projects.
- Manage EnergySavvy's reactive support operations to ensure execution within target service level agreements and that any system issues are addressed in a timely fashion.

Professional Experience

Vice President, Client Engagement
EnergySavvy
Seattle, WA
2014- Present

Director, Online Fraud Protection
Director/VP, Publisher Services
Microsoft/Rapt
Seattle, WA
2007-2014

Director, North America Services
Macrovision (Flexera)
Schaumburg, IL
2005-2007

Director, Business Development
Breakthrough Technologies
Evanston, IL
2003-2005

Senior Manager
BearingPoint/KPMG Consulting
Seattle, WA
1999-2003

Education

MBA
Columbia Business School
New York, NY
1997-1999

B.S. Electrical Engineering
University of Michigan
Ann Arbor, MI
1987-1990

2. REGISTERED AGENT

- a. If the Applicant does not maintain a principal office in the Commonwealth, the Applicant is required by the Pennsylvania Department of State to designate an approved Registered Agent as its representative in the Commonwealth. Check one of the Boxes below, as applicable:

YES, the Applicant has registered its business with the Pennsylvania Department of State. Following is the Name and Contact information for the Applicant's Department-Approved Registered Agent.

- Registered Agent's Name Corporation Service Company
- Registered Agent's Mailing Address 2915 Interstate Drive
Suite 103 Harrisburg, PA
- Registered Agent's Telephone and FAX Nos. 17110
- Registered Agent's Email Address c1sweatma@cscinfo.com

NO, the Applicant has not registered its business with the Pennsylvania Department of State. STOP—To avoid denial of your application and forfeiture of your application fee, you should contact the Pennsylvania Department of State Bureau of Corporations to register as a business entity within the Commonwealth PRIOR TO completion and filing of this application with the Pennsylvania Public Utility Commission.

- b. Applicant has registered its business with the Pennsylvania Department of State: Please check appropriate registration type for Applicant as designated with the Department.

- Sole proprietor (15 Pa. C.S. §4124)
- Domestic corporation (none)
- Domestic general partnership (15 Pa. C.S. §4124)
- Domestic limited liability company (15 Pa. C.S. §8913)
- Domestic limited liability partnership (15 Pa. C.S. §8201)
- Foreign corporation (15 Pa. C.S. §4124)
- Foreign general or limited partnership (15 Pa. C.S. §4124)
- Foreign limited liability company (15 Pa. C.S. §8981)
- Foreign limited liability general partnership (15 Pa. C.S. §8211)
- Foreign limited liability limited partnership (15 Pa. C.S. §8211)

If Applicant is not domiciled in the Commonwealth of Pennsylvania and is registered as a "foreign" entity, please identify all other states where applicant is registered by the appropriate state department:

Incorporated in Delaware
Registered in State of Washington

3. **APPLICANT'S OPERATIONS**

Respond to each of the following four questions relating to Applicant's operations and its technical and financial fitness. Respond to each item and attach any additional information and/or statements to this Application as appropriate.

a. Describe nature of business:

Provide Software as a service to energy efficiency programs

- Applicant is presently doing business in Pennsylvania
- Applicant is presently not doing business in Pennsylvania

b. The Applicant proposes to provide the following information and technical services to an EDC. Check all services that apply and identify all EDCs with whom Applicant intends to conduct business.

- Consultation EDCs:
- Design Expect to provide SaaS implementation services to a number of EDCs including PECA, PPL, PLOW, Dequesar Light
- Administration _____
- Management _____
- Advisory _____

- c. Attach to this Application a copy of any certification(s) or similar documentation that would demonstrate the technical fitness of Applicant, such as membership in trade associations, professional licenses, technical certifications, and/or names of current or past clients with a description of dates and types of services provided by Applicant.
We maintain a proper business license in WA and have attached client references
- d. Attach to this Application proof of current liability insurance coverage.

Attache of



City of Seattle Customer #: 716070
 State of Washington UBI #: 602895253-001-0001
 Tax period: Quarterly*
 Tax Reporting: Separate

Expiration Date

BUSINESS LICENSE

12/31/2015

2015

* Tax returns due: Jan 31 Apr 30 Jul 31 Oct 31
 IF you have not received a blank return within 20 days of a due date, contact the Licensing & Tax Administration office.

ENERGYSAVVY
 159 S JACKSON ST # 420
 SEATTLE, WA 98104

Not Transferable

Post Conspicuously

Business License
 Expiration Date: 12/31/2015



THE CITY OF SEATTLE

Dept. of Finance and Administrative Services
 700 5th Avenue Suite 4250
 P.O. BOX 34214
 Seattle WA 98124-4214
 (206) 684-8484 Fax (206) 684-5170
 email rca@seattle.gov
www.seattle.gov/rca/

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BUSINESS MAILING ADDRESS:

716070 000 5
 ENERGYSAVVY INC
 ENERGYSAVVY
 159 S JACKSON ST # 420
 SEATTLE, WA 98104

EnergySavvy References

New Jersey Natural Gas
Susan Ellman
Energy Efficiency Marketing Manager
1415 Wyckoff Road
Wall, NJ 07719
(732) 378-4924
sellman@njng.com

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New Jersey Natural Gas (NJNG), the primary subsidiary of New Jersey Resources (NJR), operates and maintains 7,000 miles of natural gas transportation and distribution infrastructure to serve half a million customers in New Jersey's Monmouth, Ocean and parts of Morris and Middlesex counties. Through Conserve To Preserve® and The SAVEGREEN Project®, NJR helps customers save energy and money by promoting conservation, encouraging efficiency and providing innovative financing and customer assistance for energy efficiency improvements.

Before working with EnergySavvy, NJNG used a legacy customer-engagement platform and an Excel-based infrastructure for managing projects, both of which led to data management challenges. NJNG replaced their older systems with Optix Manage + Optix Engage in 2013 to improve customer experience, increase program awareness and uptake, and streamline project processing for program staff and trade allies. The solution has already reduced per-project data entry time by 34 percent--more than 40 total hours per week--and streamlined project delivery, freeing up internal staff from repetitive tasks like manual document upload and filing, allowing staff to focus instead on higher-value interactions with customers. The web-based Optix Manage interface has also been employed during in-home audits to reduce post-job paperwork and speed presentation of customized materials such as the DOE's Home Energy Score.

PSEG Long Island
John Franceschina
Manager of Residential Efficiency Programs
15 Park Drive
Melville, NY 11747
(516) 660-3049
john.franceschina@pseg.com

PSEG Long Island (PSEGLI) (formerly Long Island Power Authority) is a non-profit municipal electric provider that powers more than 1.1 million customers, making it the third largest municipal utility nationwide in terms of customers served. With such a significant demand, PSEGLI recognized the opportunity for substantial energy savings and established a variety of energy efficiency programming.

Despite their commitment to superior service, PSEGLI struggled to maintain consistent participants in their programs, finding that homeowners would begin online energy audits and then fail to complete them or take further action. PSEGLI implemented Optix Engage in September 2012 to generate qualified leads for CoolHomes, their program targeted at homeowners with air conditioning. Since employing Engage, 88 percent of participants who begin the online audit complete it, a significant improvement from their prior auditing tool.

The success of Optix Engage has provided PSEGLI with qualified leads that are subsequently used to test the effectiveness of different marketing techniques, including traditional print campaigns and targeted online outreach tracked through referral codes in the URLs of web banners. PSEGLI continues to strive for high participation and completion rates, and EnergySavvy looks forward to continuing support for them in that effort.

Arizona Public Service
Maggie Gibbs
Account Executive
400 N. 5th St.
Phoenix AZ, 85004
(602) 250-5444
maggie.gibbs@aps.com

Arizona Public Service (APS) provides electricity to more than 1.1 million retail and residential customers across 11 of Arizona's 15 counties. Facing significant customer load and aggressive energy efficiency regulations, APS required a user-friendly data management system that would allow them to reach their compliance targets while maintaining accurate reporting.

Prior to adopting Optix Manage, APS lacked a holistic system that effectively organized data submitted by stakeholders managing QA, rebate allocations, trade ally activities, and other program processes. APS chose Optix Manage to eliminate data silos in its Home Performance with Energy Star (HPwES) and Duct Test and Repair programs. After centralizing data into one system, APS was able to improve program workflow and streamline trade-ally management and reporting. APS anticipates 20 percent greater cost effectiveness through streamlined data entry, QA, and increased customer conversion rates. An APS survey revealed a 77 percent increase in contractor satisfaction over the previous reporting system, and trade allies report a 31 percent reduction in reporting time.

Spectrum[®]

Business Owner's Policy





PRODUCER COMPENSATION NOTICE

You can review and obtain information on The Hartford's producer compensation practices at www.TheHartford.com or at 1-800-592-5717.



THIS ENDORSEMENT IS ATTACHED TO AND MADE PART OF YOUR POLICY IN RESPONSE TO THE DISCLOSURE REQUIREMENTS OF THE TERRORISM RISK INSURANCE ACT.

DISCLOSURE PURSUANT TO TERRORISM RISK INSURANCE ACT

SCHEDULE

Terrorism Premium (Certified Acts):

\$ 20.00

A. Disclosure Of Premium

In accordance with the federal Terrorism Risk Insurance Act, as amended ("TRIA"), we are required to provide you with a notice disclosing the portion of your premium, if any, attributable to coverage for certified acts of terrorism under TRIA. The portion of your premium attributable to such coverage is shown in the Schedule of this endorsement.

B. Disclosure Of Federal Share Of Terrorism Losses

The United States Department of the Treasury will reimburse insurers for 85% of that portion of insured losses attributable to "certified acts of terrorism" under TRIA that exceeds the applicable insurer deductible.

However, if aggregate industry insured losses under TRIA exceed \$100 billion in a Program Year (January 1 through December 31), the Treasury shall not make any payment for any portion of the amount of such losses that exceeds \$100 billion. The United States government has not charged any premium for their participation in covering terrorism losses.

C. Cap On Insurer Liability for Terrorism Losses

If aggregate industry insured losses attributable to "certified acts of terrorism" under TRIA exceed \$100 billion in a Program Year (January 1 through December 31) and we have met, or will meet, our insurer deductible under TRIA, we shall not be liable for the payment of any portion of the amount of such losses that exceed \$100 billion. In such case, your coverage for terrorism losses may be reduced on a pro-rata basis in accordance with procedures established by the Treasury, based on its estimates of aggregate industry losses and our estimate that we will exceed our insurer deductible. In accordance with the Treasury's procedures, amounts paid for losses may be subject to further adjustments based on differences between actual losses and estimates.

D. All other terms and conditions remain the same.



IMPORTANT NOTICE TO POLICYHOLDERS

To help your insurance keep pace with increasing costs, we have increased your amount of insurance . . . giving you better protection in case of either a partial, or total loss to your property.

If you feel the new amount is not the proper one, please contact your agent or broker.

12 This **Spectrum Policy** consists of the Declarations, Coverage Forms, Common Policy Conditions and any
66 other Forms and Endorsements issued to be a part of the Policy. This insurance is provided by the stock
ZV insurance company of The Hartford Insurance Group shown below.

SBA

INSURER: SENTINEL INSURANCE COMPANY, LIMITED
ONE HARTFORD PLAZA, HARTFORD, CT 06155
COMPANY CODE: A

Policy Number: 52 SBA ZV6612 SC



SPECTRUM POLICY DECLARATIONS

Named Insured and Mailing Address: ENERGY SAVVY INC.
(No., Street, Town, State, Zip Code)

159 S JACKSON ST STE 420
SEATTLE WA 98104

Policy Period: From 11/19/14 To 11/19/15 1 YEAR
12:01 a.m., Standard time at your mailing address shown above. **Exception:** 12 noon in New Hampshire.

Name of Agent/Broker: PARKER SMITH & FEEK INC
Code: 815037

Previous Policy Number: 52 SBA ZV6612

Named Insured is: CORPORATION

Audit Period: NON-AUDITABLE

Type of Property Coverage: SPECIAL

Insurance Provided: In return for the payment of the premium and subject to all of the terms of this policy, we agree with you to provide insurance as stated in this policy.

TOTAL ANNUAL PREMIUM IS: \$3,162

IN RECOGNITION OF THE MULTIPLE COVERAGES INSURED WITH THE HARTFORD, YOUR POLICY PREMIUM INCLUDES AN ACCOUNT CREDIT.

Countersigned by

Suarez F. Castaneda

Authorized Representative

10/03/14
Date

SPECTRUM POLICY DECLARATIONS (Continued)

POLICY NUMBER: 52 SBA ZV6612

Location(s), Building(s), Business of Named Insured and Schedule of Coverages for Premises as designated by Number below.

Location: 001 **Building:** 001

159 S JACKSON ST
SEATTLE WA 98104

Description of Business:

Consultant - NOC

Deductible: \$ 1,000 PER OCCURRENCE

BUILDING AND BUSINESS PERSONAL PROPERTY LIMITS OF INSURANCE

BUILDING

NO COVERAGE

BUSINESS PERSONAL PROPERTY

REPLACEMENT COST \$ 29,900

PERSONAL PROPERTY OF OTHERS

REPLACEMENT COST NO COVERAGE

MONEY AND SECURITIES

INSIDE THE PREMISES \$ 10,000
OUTSIDE THE PREMISES \$ 5,000

SPECTRUM POLICY DECLARATIONS (Continued)

POLICY NUMBER: 52 SBA ZV6612

Location(s), Building(s), Business of Named Insured and Schedule of Coverages for Premises as designated by Number below.

Location: 002 **Building:** 001

1 BROADWAY
CAMBRIDGE MA 02142

Description of Business:

Consultant - NOC

Deductible: \$ 1,000 PER OCCURRENCE
WINDSTORM OR HAIL: 2% (FORM SS 82 10)

BUILDING AND BUSINESS PERSONAL PROPERTY LIMITS OF INSURANCE

BUILDING

NO COVERAGE

BUSINESS PERSONAL PROPERTY

REPLACEMENT COST \$ 3,200

PERSONAL PROPERTY OF OTHERS

REPLACEMENT COST NO COVERAGE

MONEY AND SECURITIES

INSIDE THE PREMISES \$ 10,000
OUTSIDE THE PREMISES \$ 5,000

SPECTRUM POLICY DECLARATIONS (Continued)

POLICY NUMBER: 52 SBA ZV6612

**PROPERTY OPTIONAL COVERAGES APPLICABLE LIMITS OF INSURANCE
TO ALL LOCATIONS**

**BUSINESS INCOME AND EXTRA EXPENSE
COVERAGES** 12 MONTHS ACTUAL LOSS SUSTAINED
COVERAGES INCLUDES THE FOLLOWING
COVERAGES EXTENSIONS:

ACTION OF CIVIL AUTHORITY: 30 DAYS
EXTENDED BUSINESS INCOME: 30 CONSECUTIVE DAYS

**EQUIPMENT BREAKDOWN COVERAGES
COVERAGES FOR DIRECT PHYSICAL LOSS
DUE TO:
MECHANICAL BREAKDOWN,
ARTIFICIALLY GENERATED CURRENT
AND STREAM EXPLOSION**

**THIS ADDITIONAL COVERAGES INCLUDES
THE FOLLOWING EXTENSIONS**

HAZARDOUS SUBSTANCES \$ 50,000
EXPEDITING EXPENSES \$ 50,000

**MECHANICAL BREAKDOWN COVERAGES ONLY
APPLIES WHEN BUILDING OR BUSINESS
PERSONAL PROPERTY IS SELECTED ON
THE POLICY**

IDENTITY RECOVERY COVERAGES \$ 15,000
FORM SS 41 12

SPECTRUM POLICY DECLARATIONS (Continued)

POLICY NUMBER: 52 SBA ZV6612

BUSINESS LIABILITY	LIMITS OF INSURANCE
LIABILITY AND MEDICAL EXPENSES	\$1,000,000
MEDICAL EXPENSES - ANY ONE PERSON	\$ 10,000
PERSONAL AND ADVERTISING INJURY	\$1,000,000
DAMAGES TO PREMISES RENTED TO YOU ANY ONE PREMISES	\$1,000,000
AGGREGATE LIMITS	
PRODUCTS-COMPLETED OPERATIONS	\$2,000,000
FORM SS 05 09	
GENERAL AGGREGATE	\$2,000,000
EMPLOYMENT PRACTICES LIABILITY	
COVERAGE: FORM SS 09 01	
EACH CLAIM LIMIT	\$ 10,000
DEDUCTIBLE - EACH CLAIM LIMIT	
NOT APPLICABLE	
AGGREGATE LIMIT	\$ 10,000
RETROACTIVE DATE: 11192010	

This **Employment Practices Liability Coverage** contains claims made coverage. Except as may be otherwise provided herein, specified coverages of this insurance are limited generally to liability for injuries for which claims are first made against the insured while the insurance is in force. Please read and review the insurance carefully and discuss the coverage with your Hartford Agent or Broker.

The Limits of Insurance stated in this Declarations will be reduced, and may be completely exhausted, by the payment of "defense expense" and, in such event, The Company will not be obligated to pay any further "defense expense" or sums which the insured is or may become legally obligated to pay as "damages".

**BUSINESS LIABILITY OPTIONAL
COVERAGES**

HIRED/NON-OWNED AUTO LIABILITY	\$1,000,000
FORM: SS 01 70	
UMBRELLA LIABILITY - SEE SCHEDULE ATTACHED	

SPECTRUM POLICY DECLARATIONS (Continued)

POLICY NUMBER: 52 SBA ZV6612

BUSINESS LIABILITY OPTIONAL COVERAGES LIMITS OF INSURANCE
(Continued)

EMPLOYEE BENEFITS LIABILITY

COVERAGE: FORM SS 40 50

EACH CLAIM

\$1,000,000

AGGREGATE

\$2,000,000

SPECTRUM POLICY DECLARATIONS (Continued)

POLICY NUMBER: 52 SBA ZV6612

Form Numbers of Forms and Endorsements that apply:

SS 00 01 03 14	SS 00 05 10 08	SS 00 07 07 05	SS 00 08 04 05
SS 00 45 12 06	SS 84 15 09 07	SS 01 01 07 08	SS 01 28 08 10
SS 01 70 09 09	438BFUNS	SS 04 19 04 09	SS 04 22 07 05
SS 04 30 07 05	SS 04 39 07 05	SS 04 41 04 09	SS 04 42 09 07
SS 04 44 07 05	SS 04 45 07 05	SS 04 46 09 14	SS 04 47 04 09
SS 04 74 09 07	SS 04 78 07 05	SS 04 80 03 00	SS 04 86 03 00
SS 40 18 07 05	SS 40 50 10 08	SS 40 93 07 05	SS 41 12 12 07
SS 41 51 10 09	SS 41 62 06 11	SS 41 63 06 11	IH 10 01 09 86
SS 05 09 07 00	SS 05 47 09 01	SS 09 01 10 08	SS 09 25 10 08
SS 10 04 09 98	SS 50 19 03 12	IH 99 40 04 09	IH 99 41 04 09
SX 80 01 06 97	SS 82 10 12 04	SS 38 25 12 07	SS 83 76 03 12

SPECTRUM POLICY DECLARATIONS (Continued)

POLICY NUMBER: 52 SBA ZV6612

SUPPLEMENTAL DECLARATIONS:

A service fee of \$ 6.00 is charged for each installment when your premium is paid in installments. The service fee is \$ 6.00 per withdrawal when you select an electronic fund transfer payment plan. The service fee will be added to the premium amount shown on your premium billing statement.

Form SS 00 45 12 06

Process Date: 10/03/14

Policy Expiration Date: 11/19/15



SUPER STRETCH SUMMARY

SUMMARY OF COVERAGE LIMITS

This is a summary of the Coverages and the Limits of Insurance provided by the Super Stretch Coverage form SS 04 74 which is included in this policy. No coverage is provided by this summary. Refer to coverage form SS 04 74 to determine the scope of your insurance protection.

The Limits of Insurance for the following Additional Coverages are in addition to any other limit of insurance provided under this policy:

Blanket Coverage Limit of Insurance: \$150,000
Blanket Coverages
Accounts Receivable- On/Off Premises
Computers and Media
Debris Removal
Personal Property of Others
Temperature Change
Valuable Papers and Records- On/Off Premises

Coverage	Limit
Brands and Labels	Up to Business Personal Property Limit
Claim Expenses	\$ 10,000
Computer Fraud	\$ 5,000
Employee Dishonesty (including ERISA)	\$ 25,000
Fine Arts	\$ 25,000
Forgery	\$ 25,000
Laptop Computers- Worldwide Coverage	\$ 10,000
Off Premises Utility Services – Direct Damage	\$ 25,000
Outdoor Signs	Full Value
Pairs or Sets	Up to Business Personal Property Limit
Property at Other Premises	\$ 10,000
Salespersons' Samples	\$ 5,000
Sewer and Drain Back Up	Included Up to Covered Property Limits
Sump Overflow or Sump Pump Failure	\$ 25,000
Tenant Building and Business Personal Property Coverage-Required by Lease	\$ 20,000
Transit Property in the Care of Carriers for Hire	\$ 10,000
Unauthorized Business Card Use	\$ 5,000

The Limits of Insurance for the following Coverage Extensions are a replacement of the Limit of Insurance provided under the Standard Property Coverage Form or the Special Property Coverage Form, whichever applies to the policy:

Coverage	Limit
Newly Acquired or Constructed Property – 180 Days	
Building	\$1,000,000
Business Personal Property	\$ 500,000
Business Income and Extra Expense	\$ 500,000
Outdoor Property	\$ 25,000 aggregate/ \$1,000 per item
Personal Effects	\$ 25,000
Property Off-Premises	\$ 25,000

The following changes apply only if Business Income and Extra Expense are covered under this policy. The Limits of Insurance for the following Business Income and Extra Expense Coverages are in addition to any other Limit of Insurance provided under this policy:

Coverage	Limit
Business Income Extension for Off-Premises Utility Services	\$ 25,000
Business Income Extension for Web Sites	\$ 50,000/7 days
Business Income from Dependent Properties	\$ 50,000

The following Limit of Insurance for the following Business Income Coverage is a replacement of the Limit of Insurance provided under the Standard Property Coverage Form or the Special Property Coverage Form, whichever applies to the policy:

Coverage	Limit
Extended Business Income	90 Days

The following changes apply to Loss Payment Conditions:

Coverage	Limit
Valuation Changes	
Commodity Stock	Included
"Finished Stock"	Included
Mercantile Stock - Sold	Included



1690 New Britain Avenue
Suite 101
Farmington, CT 06032

November 19, 2014

Risk Manager
EnergySavvy Inc.
159 S Jackson Street
Suite 420
Seattle, WA 98104

Type of Insurance: ForceFieldSM Private Company Management Liability Package Policy

Re: Policy Number: 0306-1457

Dear EnergySavvy Inc. :

Thank you for selecting Allied World Assurance Company to serve your management liability insurance needs. Attached please find electronic copies of your policy and application.

Please review these materials to assure the information contained in the application is correct as we relied upon it in proposing the coverage, price and other policy terms which you have chosen to accept. Please review the insurance policy itself for expected coverage provisions and terms.

If you find any errors in the application or have concerns with the coverage contained in your policy, please contact your insurance agent immediately.

We appreciate your business and will work with your agent should any questions or service needs arise during the term of your policy.

Sincerely,

A handwritten signature in black ink, appearing to read 'Susan R. Chmielecki', written in a cursive style.

Susan R. Chmielecki, APRN, FASHRM, JD
President



DARWIN NATIONAL ASSURANCE COMPANY
(A member company of Allied World Assurance Company Holdings Ltd.)
1690 New Britain Ave., Suite 101, Farmington, CT 06032 Tel. (860) 284-1300 Fax (860) 284-1301

FORCEFIELDSM
PRIVATE COMPANY
MANAGEMENT LIABILITY PACKAGE POLICY

POLICY NUMBER: RENEWAL OF:

NOTICES

THE FOLLOWING NOTICES ARE APPLICABLE TO ALL COVERAGE SECTIONS, EXCEPT THE CRIME AND THE KIDNAP AND RANSOM/EXTORTION COVERAGE SECTIONS.

EXCEPT TO SUCH EXTENT AS MAY OTHERWISE BE PROVIDED HEREIN, THE COVERAGE OF THIS POLICY IS GENERALLY LIMITED TO LIABILITY FOR ONLY THOSE CLAIMS THAT ARE FIRST MADE AGAINST THE INSURED DURING THE POLICY PERIOD AND REPORTED IN WRITING TO THE INSURER PURSUANT TO THE TERMS HEREIN.

THE LIMIT OF LIABILITY AVAILABLE TO PAY JUDGMENTS OR SETTLEMENTS SHALL BE REDUCED BY AMOUNTS INCURRED FOR DEFENSE COSTS. AMOUNTS INCURRED FOR DEFENSE COSTS SHALL BE APPLIED AGAINST THE RETENTION AMOUNT.

THE INSURER DOES NOT ASSUME THE DUTY TO DEFEND ANY CLAIM UNDER THIS POLICY; HOWEVER, IF THE INSURED TENDERS THE DEFENSE OF ANY CLAIM TO THE INSURER IN ACCORDANCE WITH THE TERMS HEREIN, THE INSURER SHALL ASSUME THE DEFENSE OF SUCH CLAIM.

PLEASE READ THE ENTIRE POLICY CAREFULLY AND DISCUSS THE COVERAGE HEREUNDER WITH YOUR INSURANCE BROKER.

DECLARATIONS

ITEM 1. NAMED INSURED: EnergySavvy Inc. SERVICE FEE: \$300
ADDRESS: 159 S Jackson Street
Suite 420
Seattle, WA 98104

ITEM 2. POLICY PERIOD: Inception Date: November 19, 2014 Expiration Date: November 19, 2015
(12:01 a.m. Standard Time at the address stated in Item 1)

ITEM 3. COVERAGE SECTIONS AND PREMIUM

This Policy provides coverage under a Coverage Section only if purchased by the **Insured** and indicated by an "X" below.

	Liability Coverage Section	Premium
<input checked="" type="checkbox"/>	Directors and Officers Liability Coverage Section	\$2,724
<input checked="" type="checkbox"/>	Employment Practices Liability Coverage Section	\$3,238
<input type="checkbox"/>	Fiduciary Liability Coverage Section	N/A
<input type="checkbox"/>	Employed Lawyers Coverage Section	N/A
<input type="checkbox"/>	Crime Coverage Section	N/A
<input type="checkbox"/>	Kidnap and Ransom/Extortion Coverage Section	N/A
	Total Policy Premium	\$5,962

ITEM 4. LIMITS OF LIABILITY AND RETENTIONS OR DEDUCTIBLES

A. LIMIT OF LIABILITY AND RETENTION FOR EACH COVERAGE SECTION

(Other than the Crime and Kidnap and Ransom/Extortion Coverage Sections.)

Coverage Section	Separate Limit of Liability	Shared Limit of Liability	Retention*
Directors and Officers Liability Coverage Section	\$1,000,000	Shared With: N/A	All Claims: \$0
Employment Practices Liability Coverage Section	\$1,000,000	Shared With: N/A	All Claims: \$7,500
Third Party Liability Coverage Sublimit of Liability	Included	N/A	
Fiduciary Liability Coverage Section	N/A	N/A Shared With: N/A	All Claims: N/A
Employed Lawyers Coverage Section	N/A	N/A Shared With: N/A	All Claims: N/A

*With respect to all Coverage Sections listed above, no Retention amount is applicable to Non-Indemnifiable Loss.

B. AGGREGATE LIMIT OF LIABILITY

\$2,000,000

The Aggregate Limit of Liability set forth above is the maximum Limit of Liability of the Insurer for all Loss for which coverage is provided under all Coverage Sections listed in Item 4.A. above. This Aggregate Limit of Liability does not apply to the Crime and Kidnap and Ransom/Extortion Coverage Sections.

C. LIMITS OF LIABILITY AND DEDUCTIBLES FOR CRIME COVERAGE SECTION

Insuring Agreement	Limit of Liability for a Single Loss	Deductible, each Single Loss
Insuring Agreement A "Employee Theft" Coverage	N/A	N/A
Insuring Agreement B "Forgery or Alteration" Coverage	N/A	N/A
Insuring Agreement C "Inside the Premises" Coverage	N/A	N/A
Insuring Agreement D "In Transit" Coverage	N/A	N/A
Insuring Agreement E "Computer Fraud" Coverage	N/A	N/A

Insuring Agreement F "Funds Transfer Fraud" Coverage	N/A	N/A
Insuring Agreement G "Money Orders and Counterfeit Currency Fraud" Coverage	N/A	N/A
Insuring Agreement H "Credit Card Fraud" Coverage	N/A	N/A

D. CRIME COVERAGE SECTION AGGREGATE LIMIT OF LIABILITY

N/A

This Aggregate Limit of Liability set forth above is the maximum Limit of Liability of the Insurer for all loss for which coverage is provided under the Crime Coverage Section.

E. LIMITS OF INSURANCE AND RETENTIONS FOR KIDNAP AND RANSOM/EXTORTION COVERAGE SECTION

Insuring Agreement	Limit of Insurance, Per Insured Event	Annual Aggregate Limit of Insurance	Retention, Per Insured Event
Insuring Agreement A "Kidnap and Ransom/Extortion"	N/A	N/A	N/A
Insuring Agreement B "In-Transit/Custody"	N/A	N/A	N/A
Insuring Agreement C "Expenses"	N/A	N/A	N/A
Insuring Agreement D "Personal Loss"	N/A Per Insured Person; N/A Per Insured Event	N/A	N/A
Insuring Agreement E "Legal Costs"	N/A	N/A	N/A

F. OTHER COVERAGE LIMITS AND SUBLIMITS

Coverage	Limit of Liability
Dedicated Excess Coverage for Insured Persons (D&O)	\$250,000
Sublimit of Liability for:	
Derivative Demand Coverage (D&O)	\$100,000
Strategic Response Costs Coverage (D&O)	\$5,000
Voluntary Compliance Program Coverage (Fiduciary)	N/A
HIPAA Claim Coverage (Fiduciary)	N/A
Restoration Expenses (Crime)	N/A
Authentication Expenses (Crime)	N/A
Punitive Damages Coverage Options for D&O and EPL Coverage Sections:	
<input type="checkbox"/> D&O Punitive Damages Sublimit of Liability:	
<input type="checkbox"/> EPL Punitive Damages Sublimit of Liability:	
<input type="checkbox"/> Shared Punitive Damages Sublimit of Liability for D&O and EPL:	
<input checked="" type="checkbox"/> No Punitive Damages Sublimit of Liability for D&O or EPL*	

** With respect to Punitive Damages Coverage, if "No Punitive Damages Sublimit of Liability for D&O or EPL" is selected above, the Limit of Liability for Punitive Damages shall be equal to either the D&O or the EPL Coverage Section Limit of Liability, as applicable, set forth above in Item 4.A.*

ITEM 5. COVERAGE DATES

Coverage Section	Date
Directors and Officers Liability Coverage Section	Pending or Prior Date: November 19, 2010
Employment Practices Liability Coverage Section	Pending or Prior Date: November 19, 2010
Fiduciary Liability Coverage Section	Pending or Prior Date: N/A
Employed Lawyers Liability Coverage Section	Pending or Prior Date:
Crime Coverage Section	N/A
Kidnap and Ransom/Extortion Coverage Section	N/A

ITEM 6. DISCOVERY PERIOD

1 Year:	75%
2 Years:	100%
3 Years:	125%
4 Years:	150%
5 Years:	175%
6 Years:	200%

ITEM 7. ADDRESS OF INSURER FOR NOTICES UNDER THIS POLICY

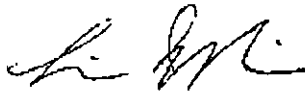
A. Claim-Related Notices:

DARWIN NATIONAL ASSURANCE COMPANY
ATTN: CLAIMS DEPARTMENT
1690 New Britain Ave., Suite 101
Farmington, CT 06032
or
noticeofloss@darwinpro.com

B. All Other Notices:

DARWIN NATIONAL ASSURANCE COMPANY
ATTN: PROFESSIONAL LIABILITY UNDERWRITING
199 WATER STREET
NEW YORK, NY 10038

In Witness Whereof, the **Insurer** has caused this Policy to be executed and attested. This Policy shall not be valid unless countersigned by a duly authorized representative of the **Insurer**.



President



Secretary



AUTHORIZED REPRESENTATIVE



DARWIN NATIONAL ASSURANCE COMPANY

(A member company of Allied World Assurance Company Holdings Ltd.)

1690 New Britain Ave., Suite 101, Farmington, CT 06032 Tel. (860) 284-1300 Fax (860) 284-1301

**FORCEFIELDSM
PRIVATE COMPANY**

INSURANCE APPLICATION FOR

MANAGEMENT LIABILITY PACKAGE POLICY

(Inclusive of Directors & Officers Liability, Employment Practices Liability Fiduciary Liability,
Employed Lawyers Liability, Crime and Kidnap and Ransom/ Extortion Insurance)

THE FOLLOWING NOTICES ARE APPLICABLE TO ALL PROPOSED COVERAGE, EXCEPT THE CRIME AND THE KIDNAP AND RANSOM/EXTORTION COVERAGE.

THE INSURANCE FOR WHICH THIS APPLICATION IS SUBMITTED, IS GENERALLY LIMITED TO COVERAGE FOR ONLY THOSE CLAIMS THAT ARE FIRST MADE AGAINST THE INSURED DURING THE POLICY PERIOD AND REPORTED IN WRITING TO THE INSURER PURSUANT TO THE TERMS HEREIN.

THE LIMIT OF LIABILITY TO PAY JUDGMENTS OR SETTLEMENTS WILL BE REDUCED AND MAY BE EXHAUSTED BY PAYMENT OF DEFENSE COSTS. DEFENSE COSTS WILL BE APPLIED AGAINST THE RETENTION AMOUNT.

THE INSURER DOES NOT ASSUME THE DUTY TO DEFEND ANY CLAIM UNDER THE POLICY; HOWEVER, IF THE INSURED TENDERS THE DEFENSE OF ANY CLAIM TO THE INSURER IN ACCORDANCE WITH THE TERMS THEREIN, THE INSURER SHALL ASSUME THE DEFENSE OF SUCH CLAIM.

THIS APPLICATION MUST BE COMPLETED IN FULL. PLEASE READ THE ENTIRE APPLICATION CAREFULLY, BEFORE SIGNING.

Note: If additional space is required for any response, please provide in a separate attachment, labeled with the question number.

I. GENERAL INFORMATION

1. Name of Applicant: EnergySavvy Inc.

Web Site Address of Applicant:

2. Address of Applicant: 159 S Jackson Street
Suite 420
Seattle, WA 98104

3. State of Incorporation:

4. Years in Operation :N/A

5. Business Type: Corporation Limited Liability Company Sole Proprietorship
 Joint Venture Limited Liability Partnership General Partnership
 Other (please specify): _____

6. NAICS Code(s):

7. Nature of Operations: Professional, Scientific and Technical Services - All Other

4. **COMPLIANCE**

Respond to each of the following Questions. Provide a statement as to the resolution or present status of any such proceedings, if applicable.

- a. In the past three years has the Applicant, the parent company, an affiliate or predecessor or partner (contractor/subcontractor business relationship) of the Applicant, or any person identified by the Applicant in this Application, been investigated or convicted of a crime involving fraud, theft, larceny, deceit, violation of consumer protection law, violation of deceptive trade law or similar activity, whether before an administrative body or in a judicial forum, in which the Applicant, an affiliate, a predecessor of either, or a person identified herein has been a defendant or a respondent?

- No
 Yes. Provide explanation.

- b. Is the Applicant, the parent company, an affiliate, or a predecessor of either, currently delinquent with any state or federal taxing authority?

- No
 Yes. Provide explanation.

- c. Identify all bankruptcy or liquidation proceedings for the prior three years. If none, provide a statement to this effect.

None

- d. Identify all customer complaints filed with a regulatory or prosecutor agency for the prior three years of the date of this application. In none, provide a statement to this effect.

None

RECEIVED

FEB 17 2015

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

AFFIDAVIT

[Commonwealth/State] of Washington :

: ss.

County of King :

Avon Goldfeder, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the CEO (Office of Affiant) of Energy Savvy (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein Energy Savvy Inc. has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be registered as a conservation service provider pursuant to Act 129 of 2008.

That the Applicant herein Energy Savvy Inc. acknowledges that it has answered the questions on the application correctly, truthfully and completely and has provided supporting documentation as required.

That the Applicant herein Energy Savvy Inc. acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Energy Savvy Inc. acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

[Signature]
Signature of Affiant

Sworn and subscribed before me this 27 day of January, 2015.

[Signature]
Signature of official administering oath

My commission expires: 06-07-16 :



5. FALSIFICATION

The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application, or if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

6. FEES

The Applicant must submit a check payable to the Commonwealth of Pennsylvania with this Application. The fee for this Initial Application is \$125. Check should be made payable to the Commonwealth of Pennsylvania.

7. AFFIDAVIT

Applicant must complete and submit with this Application and any subsequent documentation submitted to the Commission, such as emails, letters, and written responses to Commission data requests, the attached Affidavit, which must be signed by the Affiant and signed and dated by the official administering the oath, that to the best of the Applicant's knowledge, information and belief that the facts set forth in this Application and all subsequent submissions are true and correct.

8. FILING & SIGNING OF APPLICATION

Either electronically file (eFile) with the Commission the completed application along with any attachments and electronic payment (if applicable) or file a signed and verified original paper version of the completed application along with any attachments in person, by first class mail, or overnight delivery along with your check (if applicable) to the following address:

Filing in person: Secretary
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street
Harrisburg, PA 17120

RECEIVED

FEB 17 2015

Filing by first-class mail or overnight delivery: Secretary
Pennsylvania Public Utility Commission
P. O. Box 3265
Harrisburg, PA 17105-3265

PA PUBLIC UTILITY COMMISSION
SECRETARY'S BUREAU

Signature of Principal Official: 

Official's Name & Title: AARON GOLDFEDER CEO

Date: 1/27/15

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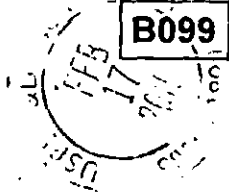
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SEATTLE WA 98 104-4435

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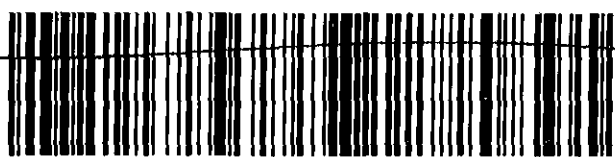


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