

BEFORE THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Application of Eco-Energy, LLC, d/b/a n/a, for approval to offer, render, furnish, or supply natural gas supply services as a(n) [as specified in item #4b below] to the public in the Commonwealth of Pennsylvania (Pennsylvania).

To the Pennsylvania Public Utility Commission:

1. IDENTIFICATION AND CONTACT INFORMATION

- a. **IDENTITY OF THE APPLICANT:** Provide name (including any fictitious name or d/b/a), primary address, web address, and telephone number of Applicant:

*Eco-Energy, LLC (herein referred to as Eco-Energy)
725 Cool Springs Blvd. Ste. 500 Franklin, TN 37067
www.eco-energy.com
(615) 786-0382*

- b. **PENNSYLVANIA ADDRESS / REGISTERED AGENT:** If the Applicant maintains a primary address outside of Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's secondary office within Pennsylvania. If the Applicant does not maintain a physical location within Pennsylvania, provide the name, address, telephone number, and fax number of the Applicant's Registered Agent within Pennsylvania.

*Corporation Service Company
2595 Interstate Dr. Ste. 103 Harrisburg, PA 17110
Phone: (302) 636-5401 x.63638 Fax: (302) 636-5454*

- c. **REGULATORY CONTACT:** Provide the name, title, address, telephone number, fax number, and e-mail address of the person to whom questions about this Application should be addressed.

*Kim Hendershot, Tax Director
725 Cool Springs Blvd. Ste. 500 Franklin, TN 37067
Phone: (615) 786-0382 Fax: (615) 442-8328
kimh@eco-energy.com*

- d. **ATTORNEY:** Provide the name, address, telephone number, fax number, and e-mail address of the Applicant's attorney. If the Applicant is not using an attorney, explicitly state so.

Eco-Energy is not using an attorney.

- e. **CONTACTS FOR CONSUMER SERVICE AND COMPLAINTS:** Provide the name, title, address, telephone number, fax number, and e-mail of the person and an alternate person responsible for addressing customer complaints. These persons will ordinarily be the initial point(s) of contact for resolving complaints filed with the Applicant, the Natural Gas Distribution Company, the Pennsylvania Public Utility Commission, or other agencies. The main contact's information will be listed on the Commission website list of licensed NGSs.

*Carter Gaddis, Account Manager
725 Cool Springs Blvd. Ste. 500
Franklin, TN 37067
Phone: (866) 403-9272
Fax: (615) 778-7897
carterg@eco-energy.com*

*Jaimie Baker, Senior Manager
725 Cool Springs Blvd. Ste. 500
Franklin, TN 37067
Phone: (866) 403-9272
Fax: (615) 778-7897
jamieb@eco-energy.com*

2. BUSINESS ENTITY FILINGS AND REGISTRATION

a. **FICTITIOUS NAME:** *(Select appropriate statement and provide supporting documentation as listed.)*

The Applicant will be using a fictitious name or doing business as (“d/b/a”)

Provide a copy of the Applicant’s filing with Pennsylvania’s Department of State Pursuant to 54 Pa. C.S. §311.

Or

The Applicant will not be using a fictitious name.

b. **BUSINESS ENTITY AND DEPARTMENT OF STATE FILINGS:**

(Select appropriate statement and provide supporting documentation. As well, understand that Domestic means being formed within Pennsylvania and foreign means being formed outside Pennsylvania.)

The Applicant is a sole proprietor.

- If the Applicant is located outside the Commonwealth, provide proof of compliance with 15 Pa. C.S. §4124 relating to Department of State filing requirements.

Or

The Applicant is a:

- domestic general partnership (*)
- domestic limited partnership (15 Pa. C.S. §8511)
- foreign general or limited partnership (15 Pa. C.S. §4124)
- domestic limited liability partnership (15 Pa. C.S. §8201)
- foreign limited liability general partnership (15 Pa. C.S. §8211)
- foreign limited liability limited partnership (15 Pa. C.S. §8211)

- Provide proof of compliance with appropriate Department of State filing requirements as indicated above.
- Give name, d/b/a, and address of partners. If any partner is not an individual, identify the business nature of the partner entity and identify its partners or officers.
- Provide the state in which the business is organized/formed and provide a copy of the Applicant’s charter documentation.
- * If a corporate partner in the Applicant’s domestic partnership is not domiciled in Pennsylvania, attach a copy of the Applicant’s Department of State filing pursuant to 15 Pa. C.S. §4124.

or

- The Applicant is a:
- domestic corporation (15 Pa. C.S. §1308)
 - foreign corporation (15 Pa. C.S. §4124)
 - domestic limited liability company (15 Pa. C.S. §8913)
 - foreign limited liability company (15 Pa. C.S. §8981)
 - Other (Describe):

**Please see: [Exhibit 2b\(1\) – Pennsylvania Application for Registration](#)
[Exhibit 2b\(2\) – Tennessee Certificate of Existence & Charter Documentation](#)
& [Exhibit 2b\(3\) – Officers](#)**

3. AFFILIATES AND PREDECESSORS

(both in state and out of state)

- a. **AFFILIATES:** Give name and address of any affiliate(s) currently doing business and state whether the affiliate(s) are jurisdictional public utilities. If the Applicant does not have any affiliates doing business, explicitly state so. Also, state whether the applicant has any affiliates that are currently applying to do business in Pennsylvania.

Eco-Energy is currently in the business of marketing fuel grade ethanol in Pennsylvania as a Class 3 Wholesaler of Fuels and Evvo, LLC, a sister company to Eco-Energy, currently conducts business as a Class 2 Wholesaler of Liquid Fuels. Both Eco-Energy and Evvo are located at 725 Cool Springs Boulevard, Suite 500, Franklin, TN 37067

Eco-Energy does not have any affiliates currently doing, or applying to do, business as jurisdictional public utilities in Pennsylvania.

- b. **PREDECESSORS:** Identify the predecessor(s) of the Applicant and provide the name(s) under which the Applicant has operated within the preceding five (5) years, including address, web address, and telephone number, if applicable. If the Applicant does not have any predecessors that have done business, explicitly state so.

Eco-Energy, LLC previously operated as Eco-Energy, Inc. All contact information remained the same.

Please see [Exhibit 3b – Merger Information](#)

4. OPERATIONS

a. **APPLICANT'S PRESENT OPERATIONS:** *(select and complete the appropriate statement)*

Definitions

- Supplier – an entity which provides natural gas supply services to retail gas customers utilizing the jurisdictional facilities of a natural gas distribution company
- Broker/Marketer - an entity that acts as an intermediary in the sale and purchase of natural gas but does not take title to the natural gas.

The Applicant is presently doing business in Pennsylvania as a

- natural gas interstate pipeline
- municipality providing service outside its municipal limits
- local gas distribution company
- retail supplier of natural gas services in the Commonwealth
- a natural gas producer
- a broker/marketer engaged in the business of supplying natural gas services
- Other. (Identify the nature of service being rendered)

Eco-Energy is purchasing gas off of the Columbia Gas Transmission and exporting the gas via interstate pipeline for sale in neighboring states.

or

The Applicant is not presently doing business in Pennsylvania.

b. **APPLICANT'S PROPOSED OPERATIONS:** The Applicant proposes to operate as a:

- Supplier or Aggregator of natural gas services
- Municipal supplier of natural gas services
- Cooperative supplier of natural gas services
- Broker/Marketer engaged in the business of supplying natural gas services
 - Check here to verify that your organization will not be taking title to the natural gas nor will you be making payments for customers.
- Other (Describe):

c. PROPOSED SERVICES: Describe in detail the natural gas supply services which the Applicant proposes to offer.

Please see Exhibit 4c – Proposed Services

d. PROPOSED SERVICE AREA: Check the box of each Natural Gas Distribution Company for which the Applicant proposes to provide service.

- | | |
|---|---|
| <input type="checkbox"/> Columbia | <input type="checkbox"/> Philadelphia Gas Works |
| <input checked="" type="checkbox"/> National Fuel Gas | <input type="checkbox"/> UGI Central Penn |
| <input type="checkbox"/> PECO | <input type="checkbox"/> UGI Penn natural |
| <input type="checkbox"/> Peoples Gas – Equitable Div. | <input type="checkbox"/> UGI Utilities |
| <input type="checkbox"/> Peoples Natural Gas | <input type="checkbox"/> Valley Energy |
| <input type="checkbox"/> Peoples TWP | <input type="checkbox"/> All of the above |

e. CUSTOMERS: Applicant proposes to provide services to:

- Residential Customers
- Small Commercial Customers - (Less than 6,000 Mcf annually)
- Residential and Small Commercial as Mixed Meter ONLY (**CANNOT BE TAKEN WITH RESIDENTIAL AND/OR SMALL COMMERCIAL ABOVE**)
- Large Commercial Customers - (6,000 Mcf or more annually)
- Industrial Customers
- Governmental Customers
- All of above
- Other (Describe): Natural gas suppliers, brokers, and marketers

f. START DATE: Provide the approximate date the Applicant proposes to actively market within the Commonwealth.

April 1, 2015

5. COMPLIANCE

- a. **CRIMINAL/CIVIL PROCEEDINGS:** State specifically whether the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, has been or is currently the defendant of a criminal or civil proceeding within the last five (5) years.

Identify all such proceedings (active or closed), by name, subject and citation; whether before an administrative body or in a judicial forum. If the Applicant has no proceedings to list, explicitly state such.

First Dakota National Bank v. Eco Energy, LLC f/k/a Eco Energy, Inc., a Tennessee Limited Liability Company, Civil lawsuit for breach of contract, pending in the United States District Court for the District of Nebraska, Case No. 8:13cv270.

- b. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any such proceedings listed above.

Present status: In January 2015 Eco Energy, LLC was granted summary judgment on one of the two breach of contract claims brought by First Dakota National Bank. The remaining claim will likely be set for trial during the spring or summer of 2015.

- c. **CUSTOMER/REGULATORY/PROSECUTORY ACTIONS:** Identify all formal or escalated actions or complaints filed with or by a customer, regulatory agency, or prosecutory agency against the Applicant, an affiliate, a predecessor of either, or a person identified in this Application, for the prior five (5) years, including but not limited to customers, Utility Commissions, and Consumer Protection Agencies such as the Offices of Attorney General. If the Applicant has no actions or complaints to list, explicitly state such.

Eco-Energy has no actions or complaints to disclose currently or for the proceeding five years.

- d. **SUMMARY:** If applicable; provide a statement as to the resolution or present status of any actions listed above.

Not Applicable

6. PROOF OF SERVICE

(Example Certificate of Service is attached at Appendix C)

- a.) **STATUTORY AGENCIES:** Pursuant to Section 5.14 of the Commission's Regulations, 52 Pa. Code §5.14, provide proof of service of a signed and verified Application with attachments on the following:

Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of the Small Business Advocate
Commerce Building, Suite 1102
300 North Second Street
Harrisburg, PA 17101

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

Please see Exhibit 6 – Certificate of Service

- b.) **NGDCs:** Pursuant to Sections 1.57 and 1.58 of the Commission’s Regulations, 52 Pa. Code §§1.57 and 1.58, provide Proof of Service of the Application and attachments upon each of the Natural Gas Distribution Companies the Applicant proposed to provide service in. Upon review of the Application, further notice may be required pursuant to Section 5.14 of the Commission’s Regulations, 52 Pa. Code §5.14. Contact information for each NGDC is as follows.

Please see Exhibit 6 – Certificate of Service

<p>Columbia Gas of PA, Inc. Thomas C. Heckathorn 200 Civic Center Drive Columbus, OH 43215 PH: 614.460.4996 FAX: 614.460.6442 theckathorn@nisource.com</p>	<p>Peoples Gas – Equitable Division Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburg, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petrichevich@peoples-gas.com</p>
<p>National Fuel Gas Distribution Corp. David D. Wolford 6363 Main Street Williamsville, NY 14221 PH: 716.857.7483 FAX: 716.857.7479 e-mail: wolfordd@natfuel.com</p>	<p>PECO Carlos Thillet, Manager, Gas Supply and Transportation 2301 Market Street, S9-2 Philadelphia, PA 19103 PH: 215.841.6452 Email: carlos.thillet@exeloncorp.com</p>
<p>The Peoples Natural Gas Company Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburg, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petrichevich@peoples-gas.com</p>	<p>Philadelphia Gas Works Nicholas LaPergola 800 West Montgomery Avenue Philadelphia, PA 19122 PH: 215.684.6278 email: nicholas.lapergola@pgworks.com</p>
<p>Peoples TWP LLC (Formerly T. W. Phillips) Lynda Petrichevich 375 North Shore Drive, Suite 600 Pittsburg, PA 15212 PH: 412.208.6528 FAX: 412.208.6577 e-mail: Lynda.w.petrichevich@peoples-gas.com</p>	<p>UGI David Lahoff 2525 N. 12th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugj.com</p>
<p>UGI Central Penn David Lahoff 2525 N. 12th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugj.com</p>	<p>UGI Penn Natural David Lahoff 2525 N. 12th Street, Suite 360 Reading, PA 19612-2677 PH: 610.796.3520 Email: dlahoff@ugj.com</p>
<p>Valley Energy Inc. Robert Crocker 523 South Keystone Avenue Sayre, PA 18840-0340 PH: 570.888-9664 FAX: 570.888.6199 email: bobc@ctenterprises.org</p>	

7. FINANCIAL FITNESS

- a. **BONDING:** In accordance with 66 Pa. C.S. Section 2208(c), no natural gas supplier license shall be issued or remain in force unless the applicant or holder furnishes a bond or other security in a form and amount to ensure the financial responsibility of the natural gas supplier. The criteria used to determine the amount and form of such bond or other security shall be set by each NGDC. Provide documentation that the applicant has met the security requirement of each NGDC by submitting the letters sent by the NGDCs stating what bonding amounts they require.

Eco-Energy has requested a letter from National Fuel regarding security requirements. This letter will be forwarded to the PUC when it is received.

- b. **FINANCIAL RECORDS, STATEMENTS, AND RATINGS:** Applicant must provide sufficient information to demonstrate financial fitness commensurate with the service proposed to be provided. Examples of such information which may be submitted include the following:

*Please see: Exhibit 7b(1) – Organizational Structure
Exhibit 7b(2) – Credit Report
& Exhibit 7b(3) – Audited Financial Statements *CONFIDENTIAL**

- c. **SUPPLIER FUNDING METHOD:** If Applicant is operating as anything other than **Broker/Marketer only**, explain how Applicant will fund its operations. Provide all credit agreements, lines of credit, etc., and elaborate on how much is available on each item.

*Please see: Exhibit 7c(1) – Credit Agreement as of Nov. 1, 2013 *CONFIDENTIAL*
& Exhibit 7c(2) – Amendment to Credit Agreement *CONFIDENTIAL**

- d. **BROKER PAYMENT STRUCTURE:** If applicant is a broker/marketer, explain how your organization will be collecting your fees.

Not applicable

- e. **ACCOUNTING RECORDS CUSTODIAN:** Provide the name, title, address, telephone number, FAX number, and e-mail address of Applicant's custodian for its accounting records.

*Kim Hendershot, Tax Director
725 Cool Springs Blvd. Ste. 500 Franklin, TN 37067
Phone: (615) 786-0382 Fax: (615) 442-8328
kimh@eco-energy.com*

- f. **TAXATION:** Complete the TAX CERTIFICATION STATEMENT attached as Appendix D to this application.

All sections of the Tax Certification Statement must be completed. Absence (submitting N/A) of any of the TAX identifications numbers (items 7A through 7C) shall be accompanied by supporting documentation or an explanation validating the absence of such information.

Items 7A and 7C on the Tax Certification Statement are designated by the Pennsylvania Department of Revenue. Item 7B on the Tax Certification Statement is designated by the Internal Revenue Service.

Please see Exhibit 7f – Tax Certification Statement

8. TECHNICAL FITNESS:

To ensure that the present quality and availability of service provided by natural gas distribution companies does not deteriorate, the Applicant shall provide sufficient information to demonstrate technical fitness commensurate with the service proposed to be provided.

- a. **EXPERIENCE, PLAN, STRUCTURE:** such information may include:

***Please see: Exhibit 8a(1) – Industry Experience
Exhibit 8a(2) – Proof of Licensing in Other Jurisdictions
& Exhibit 8a(3) – Key Technical Personnel***

- b. **PROPOSED MARKETING METHOD** (*check all that apply*)

- Internal – Applicant will use its own internal resources/employees for marketing
- External NGS – Applicant will contract with a **PUC LICENSED NGS**
- Affiliate – Applicant will use a **NON-NGS affiliate that is a nontraditional marketer and/or marketing services consultant**
- External Third-Party – Applicant will contract with a **NON-NGS third party nontraditional marketer and/or nonselling marketer**
- Other (Describe):

c. DOOR TO DOOR SALES: Will the Applicant be implementing door to door sales activities?

- Yes
 No

If yes, will the Applicant be using verification procedures?

- Yes
 No

If yes, describe the Applicant's verification procedures.

d. OVERSIGHT OF MARKETING: Explain all methods Applicant will use to ensure all marketing is performed in an ethical manner, for both employees and subcontractors.

Please see Exhibit 8d – Oversight of Marketing

e. OFFICERS: Identify Applicant's chief officers, and include the professional resumes for any officers directly responsible for operations.

A listing of Eco-Energy officers information can be found on Exhibit 2b(3). Please note that the company officers are not directly involved in the day-to-day natural gas operations but do provide oversight along with the Board of Directors as described in Exhibit 8d. The natural gas team which will be directly responsible for day-to-day operations are Jamie Baker and Carter Gaddis. A summary of their experience, along with their resumes are found in Exhibit 8a(3).

9. DISCLOSURE STATEMENT:

DISCLOSURE STATEMENTS: If proposing to serve Residential and/or Small Commercial (less than 6,000 Mcf annually) Customers, provide a Residential and/or Small Commercial disclosure statement. A sample disclosure statement is provided as Appendix E to this Application.

- Natural gas should be priced in clearly stated terms to the extent possible. Common definitions should be used. All consumer contracts or sales agreements should be written in plain language with any exclusions, exceptions, add-ons, package offers, limited time offers or other deadlines prominently communicated. Penalties and procedures for ending contracts should be clearly communicated.

Not applicable for an applicant applying for a license exclusively as a broker/marketer.

Not applicable as Eco-Energy does not intend to serve residential or small commercial customers.

10. VERIFICATIONS, ACKNOWLEDGEMENTS, AND AGREEMENTS

- a. **STANDARDS OF CONDUCT AND DISCLOSURE:** As a condition of receiving a license, Applicant agrees to conform to any Uniform Standards of Conduct and Disclosure as set forth by the Commission. Further, the Applicant agrees that it must comply with and ensure that its employees, agents, representatives, and independent contractors comply with the standards of conduct and disclosure set out in Commission regulations at 52 Pa. Code § 62.114.

AGREED

- b. **REPORTING REQUIREMENTS:** Applicant agrees to provide the following information to the Commission:
- Reports of Gross Receipts: Applicant shall file an annual report with the Commission on an annual basis no later than April 30th following the end of the calendar year per 52 Pa. Code § 62.110.

AGREED

- c. **TRANSFER OF LICENSE:** The Applicant understands that if it plans to transfer its license to another entity, it is required to request authority from the Commission for permission prior to transferring the license. See 66 Pa. C.S. § 2208(d). Transferee will be required to file the appropriate licensing application.

AGREED

- d. **ASSESSMENT:** The Commission does not presently assess Natural Gas Suppliers for the purposes of recovery of regulatory expenses.

ACKNOWLEDGED

- e. **FURTHER DEVELOPMENTS:** Applicant is under a continuing obligation to amend its application if substantial changes occur to the information upon which the Commission relied in approving the original filing. See 52 Pa. Code § 62.105.

AGREED

- f. **FALSIFICATION:** The Applicant understands that the making of false statement(s) herein may be grounds for denying the Application or, if later discovered, for revoking any authority granted pursuant to the Application. This Application is subject to 18 Pa. C.S. §§4903 and 4904, relating to perjury and falsification in official matters.

AGREED

- g. NOTIFICATION OF CHANGE:** If your answer to any of these items changes during the pendency of your application or if the information relative to any item herein changes while you are operating within the Commonwealth of Pennsylvania, you are under a duty to so inform the Commission, within thirty (30) days, as to the specifics of any changes which have a significant impact on the conduct of business in Pennsylvania. See 52 Pa. Code § 62.105.

AGREED

- h. CEASING OF OPERATIONS:** Applicant is also required to officially notify the Commission if it plans to cease doing business in Pennsylvania, 90 days prior to ceasing operations.

AGREED

- i. FEE:** The Applicant has enclosed or paid the required initial licensing fee of \$350.00 payable to the Commonwealth of Pennsylvania.

PAYMENT ENCLOSED

11. AFFIDAVITS

- a.) APPLICATION AFFIDAVIT:** Complete and submit with your filing an officially notarized Application Affidavit stating that all the information submitted in this application is truthful and correct. An example copy of this Affidavit can be found at Appendix A.

Please see Exhibit 11a – Application Affidavit

- b.) OPERATIONS AFFIDAVIT:** Provide an officially notarized affidavit stating that you will adhere to the Public Utility Code of Pennsylvania and applicable federal and state laws. An example copy of this Affidavit can be found at Appendix B.

See Exhibit 11b – Operations Affidavit

12. NEWSPAPER PUBLICATIONS

Notice of filing of this Application must be published in newspapers of general circulation covering each county in which the applicant intends to provide service. Below is a list of newspapers which cover the publication requirements for Natural Gas Suppliers looking to do business in Pennsylvania.

The newspapers in which proof of publication are required is dependent on the service territories the applicant is proposing to serve. The chart below dictates which newspapers are necessary for each NGDC. If the applicant is proposing to serve the entire Commonwealth, please file proof of publication in all seven newspapers.

Please file with the Commission the Certification of Publication, along with a Photostatic copy of the notice to complete the notice requirements.

Proof of newspaper publications must be filed with the initial application. Applicants **do not** need a docket number in their publication. Docket numbers will be issued when all criteria on the item 14 checklist (see below) are satisfied.

Please see Exhibit 12 – Newspaper Publication.

	Erie Times-News	Harrisburg Patriot-News	Philadelphia Daily News	Pittsburg Post-Gazette	Scranton Times-Tribune	Williamsport Sun-Gazette	Johnstown Tribune-Democrat
Columbia Gas	X	X		X		X	X
Equitable Gas	X			X			
National Fuel Gas				X			
PECO			X				
Peoples Natural Gas	X			X			X
Peoples TWP LLC				X			
Philadelphia Gas Works			X				
UGI		X	X		X		
UGI Central Penn	X	X	X	X	X	X	X
UGI Penn Natural		X			X	X	
Valley Energy					X	X	
Entire Commonwealth	X	X	X	X	X	X	X

(Example Publications are provided at Appendices F and G)

13. SIGNATURE



Applicant: Eco-Energy, LLC

By: Bruce M. Pennington

Title: Secretary

14. CHECKLIST

For the applicant's convenience, please use the following checklist to ensure all relevant sections are complete. The Commission Secretary's Bureau will not accept an application unless each of the following sections is complete.

Applicant: Eco-Energy, LLC

Applicant's Use	<input checked="" type="checkbox"/>	Signature	
	<input checked="" type="checkbox"/>	Filing Fee	
	<input checked="" type="checkbox"/>	Application Affidavit	
	<input checked="" type="checkbox"/>	Operations Affidavit	
	<input type="checkbox"/>	Proof of Publication	
	<input checked="" type="checkbox"/>	Tax Certification Statement	
	<input checked="" type="checkbox"/>	Commonwealth Department of State Verification	
	<input checked="" type="checkbox"/>	Certificate of Service	

PUC Secretary's Bureau Use

Eco-Energy, LLC
Natural Gas Supplier License Application

Exhibit 2b(1): Pennsylvania Application for Registration

Pease see attached - 4 pages

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS
401 NORTH STREET, ROOM 206
P.O. BOX 8722
HARRISBURG, PA 17105-8722
WWW.CORPORATIONS.STATE.PA.US/CORP

ECO-ENERGY, LLC

THE BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS IS HAPPY TO SEND YOU YOUR FILED DOCUMENT. THE BUREAU IS HERE TO SERVE YOU AND WANTS TO THANK YOU FOR DOING BUSINESS IN PENNSYLVANIA.

IF YOU HAVE ANY QUESTIONS PERTAINING TO THE BUREAU, PLEASE VISIT OUR WEB SITE LOCATED AT WWW.CORPORATIONS.STATE.PA.US/CORP OR PLEASE CALL OUR MAIN INFORMATION TELEPHONE NUMBER (717)787-1057. FOR ADDITIONAL INFORMATION REGARDING BUSINESS AND / OR UCC FILINGS, PLEASE VISIT OUR ONLINE "SEARCHABLE DATABASE" LOCATED ON OUR WEB SITE.

ENTITY NUMBER: 4202896

ECO-ENERGY, LLC ATTN; ANNA HOLLAND
725 Cool Springs Blvd., Suite 500
Franklin, TN 37067

PENNSYLVANIA DEPARTMENT OF STATE
CORPORATION BUREAU

Application for Registration - Foreign
(15 Pa.C.S.)

- Registered Limited Liability General Partnership (§ 8211)
- Registered Limited Liability Limited Partnership (§ 8211)
- Limited Partnership (§ 8582)
- Limited Liability Company (§ 8981)

Name		
ECO-ENERGY, LLC, ATTN: ANNA HOLLAND		
Address		
725 COOL SPRINGS BLVD., SUITE 500		
City	State	Zip Code
FRANKLIN	TN	37067

Document will be returned to the name and address you enter to the left.

Commonwealth of Pennsylvania
APPLICATION FOR REGISTRATION 4 Page(s)



Fee: \$250

In compliance with the requirements of the applicable provisions (relating to registration), the undersigned, desiring to register to do business in this Commonwealth, hereby states that:

1. The name of the limited liability company/limited liability partnership/limited partnership in the jurisdiction in which it is formed:

ECO-ENERGY, LLC

2. The name under which the limited liability company/limited liability partnership/limited partnership proposes to register and do business in this Commonwealth is:

ECO-ENERGY, LLC

3. The name of the jurisdiction under the laws of which it was organized and the date of its formation:

Jurisdiction: TN Date of Formation: 11/30/2012

4. The (a) address of its initial registered office in this Commonwealth or (b) name of its commercial registered office provider and the county of venue is:

(a) Number and street	City	State	Zip	County
(b) Name of Commercial Registered Office Provider				County
C T CORPORATION SYSTEM				DAUPHIN

PA DEPT. OF STATE

JUL 02 2013

PA DEPT. OF STATE

JUL 23 2013

5. Check and complete one of the following:

The address of the office required to be maintained by it in the jurisdiction of its organization by the laws of that jurisdiction is:

275 COOL SPRINGS BLVD., SUITE 500 FRANKLIN TN 37067

Number and street City State Zip

It is not required by the laws of its jurisdiction of organization to maintain an office therein and the address of its principal office is:

Number and street City State Zip

6. For Restricted Professional Limited Liability Company Only. Strike out if inapplicable: The company is a ~~restricted professional company organized to render the following professional service(s):~~

~~_____~~

Limited Liability Partnership and Limited Partnership: Complete paragraphs 7 and 8

7. The name and business address of each general partner.

Name	Business Address

8. The address of the office at which is kept a list of the names and addresses of the limited partners and their capital contribution is:

Number and street City State Zip County

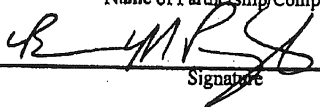
The registered partnership hereby undertakes to keep those records until its registration to do business in the Commonwealth is canceled or withdrawn.

IN TESTIMONY WHEREOF, the undersigned has caused this Application for Registration to be signed by a duly authorized officer/member or manager thereof this

27 day of June, 2013

ECO-ENERGY, LLC

Name of Partnership/Company



Signature

SECRETARY

Title

**PENNSYLVANIA DEPARTMENT OF STATE
BUREAU OF CORPORATIONS AND CHARITABLE ORGANIZATIONS**

Consent to Appropriation of Name
(19 Pa.Code § 17.2)

Pursuant to 19 Pa. Code § 17.2 (relating to appropriation of the name of a senior corporation) the undersigned association, desiring to consent to the appropriation of its name by another association, hereby certifies that:

1. The name of the association executing this Consent of Name is:
ECO-ENERGY, INC.

2. The (a) address of this corporation's current registered office in this Commonwealth or (b) name of its commercial registered office provider and the county of venue is (the Department is hereby authorized to correct the following information to conform to the records of the Department):

(a) Number and Street City State Zip County

(b) Name of Commercial Registered Office Provider
c/o CT CORPORATION SYSTEM

County
DAUPHIN

3. The date of its incorporation or other organization is:
11/05/1997

4. The statute under which it was incorporated or otherwise organized is:
ORGANIZED UNDER THE LAWS OF TENNESSEE

5. The association(s) entitled to the benefit of this Consent of Name is(are):
ECO-ENERGY, LLC

6. The consenting association is about to (check one):

Change its name Cease to do business Withdraw from doing business in PA Is being wound up

IN TESTIMONY WHEREOF, the undersigned association has caused this consent to be signed by a duly authorized officer thereof this

27 day of June, 2013.

Bruce M. Pennington
Signature

BRUCE M. PENNINGTON, SECRETARY

Title

PA DEPT. OF STATE

JUL 23 2013

Eco-Energy, LLC
Natural Gas Supplier License Application

Exhibit 2b(2): TN Certificate of Existence & Charter Documentation

Pease see attached – Tennessee Certificate of Existence for Eco-Energy, LLC (1 pages)

Eco-Energy, LLC Charter documentation (9 pages)



STATE OF TENNESSEE
Tre Hargett, Secretary of State
Division of Business Services
William R. Snodgrass Tower
312 Rosa L. Parks AVE, 6th FL
Nashville, TN 37243-1102

ECO-ENERGY
TAX DEPARTMENT
SUITE 500
725 COOL SPRINGS BLVD.
FRANKLIN, TN 37067

August 27, 2014

Request Type: Certificate of Existence/Authorization

Request #: 0137524

Issuance Date: 08/27/2014

Copies Requested: 1

Document Receipt

Receipt #: 1624147

Filing Fee: \$22.25

Payment-Credit Card - State Payment Center - CC #: 157977357

\$22.25

Regarding: Eco-Energy, LLC

Filing Type: Limited Liability Company - Domestic

Control #: 340199

Formation/Qualification Date: 11/05/1997

Date Formed: 11/05/1997

Status: Active

Formation Locale: TENNESSEE

Duration Term: Perpetual

Inactive Date:

Business County: WILLIAMSON COUNTY

CERTIFICATE OF EXISTENCE

I, Tre Hargett, Secretary of State of the State of Tennessee, do hereby certify that effective as of the issuance date noted above

Eco-Energy, LLC

* is a Limited Liability Company duly formed under the law of this State with a date of incorporation and duration as given above;

* has paid all fees, taxes and penalties owed to this State (as reflected in the records of the Secretary of State and the Department of Revenue) which affect the existence/authorization of the business;

* has filed the most recent annual report required with this office;

* has appointed a registered agent and registered office in this State;

* has not filed Articles of Dissolution or Articles of Termination. A decree of judicial dissolution has not been filed.

Tre Hargett
Secretary of State

Processed By: Cert Web User

Verification #: 008486936

Phone 615-741-6488 * Fax (615) 741-7310 * Website: <http://tnbear.tn.gov/>



STATE OF TENNESSEE
Tre Hargett, Secretary of State
Division of Business Services
William R. Snodgrass Tower
312 Rosa L. Parks AVE, 6th FL
Nashville, TN 37243-1102

Eco-Energy, LLC
STE 500
725 COOL SPRINGS BLVD
FRANKLIN, TN 37067-2710

November 30, 2012

Control # 340199

Effective Date: 11/30/2012

Document Receipt

Receipt #: 853190

Filing Fee: \$320.00

Payment-Check/MO - BAKER, DONELSON, BEARMAN, CALDWELL & BERKOWITZ

\$320.00

ACKNOWLEDGMENT OF CONVERSION

ECO-ENERGY, INC converted from a **TENNESSEE Corporation For-Profit** to
Eco-Energy, LLC
a **TENNESSEE Limited Liability Company**

This will acknowledge the filing of the attached Articles of Conversion with an effective date as indicated above.

When corresponding with this office or submitting documents for filing, please refer to the control number given above.

Tre Hargett
Secretary of State

Processed By: Carol Dickerson

FILED

**CERTIFICATE OF CONVERSION
OF
ECO-ENERGY, INC.
INTO
ECO-ENERGY, LLC**

(Domestic For-Profit Corporation into LLC under TCA §48-21-111)

Pursuant to the provisions of §48-21-111 of the Tennessee Business Corporation Act and §48-249-703 of the Tennessee Revised Limited Liability Company Act, the undersigned domestic for-profit corporation hereby adopts the following certificate of conversion:

1. Eco-Energy, Inc. (the "Converting Corporation"), a Tennessee corporation, formed December 31, 1997 (TN SOS Control #340199) was converted to a Tennessee limited liability company from a corporation. The name of the limited liability company into which the Converting Corporation was converted, as set forth in its Articles of Organization, is: Eco-Energy, LLC.

2. The name and principal business address of the Converting Corporation is:

Eco-Energy, Inc.
725 Cool Springs Blvd., Suite 500
Franklin, Tennessee 37067-2710


3. The Plan of Conversion is attached as Exhibit 1 to this Certificate of Conversion and is incorporated herein by reference.

4. The terms and conditions of the conversion have been approved by the Converting Corporation's sole shareholder and all required approvals of the conversion have been obtained by the Converting Corporation.

5. The number of members of the limited liability company at the date of conversion is one (1).

6. The effective date of the conversion is upon the filing of the Certificate of Conversion and Articles of Organization.

November 30, 2012
Signature Date


Signature

Chief Financial Officer and Chief Operating Officer
Signer's Capacity

Gwaine Ton
Name (typed or printed)

Received by Tennessee Secretary of State Tre Hargrett, 11/30/2012, 10:12:26, 7119.0215

EXHIBIT 1

Plan of Conversion

see attached

**PLAN OF CONVERSION
OF
ECO-ENERGY, INC.
TO
ECO-ENERGY, LLC**

(Pursuant to § 48-21-111 of the Tennessee Business Corporation Act)

The sole member of the board of director of Eco-Energy, Inc., a Tennessee corporation, has adopted, and its sole shareholder has approved, the conversion of the corporation to a limited liability company, as follows:

1. The sole member of the board of director of Eco-Energy, Inc., pursuant to and in the manner provided in Tennessee Code Annotated §48-21-111, recommended this Plan of Conversion to its sole shareholder, and thereafter the sole shareholder approved the Plan of Conversion on November 30, 2012.

2. The name of the limited liability company into which the corporation will be converted is Eco-Energy, LLC (the "Limited Liability Company").

3. On the effective date of the conversion, the conversion of shares of the Corporation shall be one share of Class A Common Stock equal to one unit of membership interest in the Limited Liability Company. The Corporation has no shares of Class B Common Stock outstanding.

4. The effective date of the conversion shall be the date and time the Articles of Organization are filed with the Secretary of State of the State of Tennessee.

5. The Articles of Organization of Eco-Energy, LLC are attached as Exhibit A to this Plan of Conversion. Notification of the approval of the conversion will be deemed to be execution of the Operating Agreement by the sole member of the limited liability company.

[Signature contained on following page.]

Dated: November 30, 2012.

ECO-ENERGY, INC.



By: Gwaine Ton

Title: Chief Financial Officer and Chief
Operating Officer

Received by Tennessee Secretary of State The Hargett, 11/30/2012, 10:12:28, 7119.0218

**ARTICLES OF ORGANIZATION
OF
ECO-ENERGY, LLC**

Pursuant to the provisions of §48-249-202 of the Tennessee Revised Limited Liability Company Act (the "Act"), the undersigned, converting from the entity, Eco-Energy, Inc. pursuant to §48-21-111 of the Tennessee Business Corporation Act, hereby adopts the following Articles of Organization for the purpose of organizing a limited liability company under the Act:

1. **Name of Limited Liability Company.** The name of the limited liability company shall be Eco-Energy, LLC (the "Company").

2. **Initial Registered Office and Agent.** The street address and zip code of the Company's initial registered office in Tennessee is 725 Cool Springs Blvd., Suite 500, Franklin, Tennessee, 37067-2710 which is located in Williamson County. The name of the initial registered agent at such office is Chad Martin.

3. **Principal Executive Office.** The street address and zip code of the Company's principal executive office is 725 Cool Springs Blvd., Suite 500, Franklin, Tennessee, 37067-2710, which is located in Williamson County.

4. **Management.** The Company shall be member-managed.

5. **Sole Member.** The Company has one (1) Member as of the filing date of these Articles.

6. **Effective Date.** The existence of the Company shall begin upon the filing of the Articles of Organization.

7. **Fiscal Year.** The fiscal year of the Company shall end on December 31 of each year unless amended by the Member.

8. **Written Operating Agreement.** The Operating Agreement of the Company and all amendments thereto shall be in writing.

9. **Inconsistent or Contradictory Provisions.** To the extent that any express provisions in these Articles of Organization or in the Operating Agreement of the Company are inconsistent with or contradict any provisions of the Act that are waivable or subject to alteration or modification under § 48-249-205(a) of the Act, such inconsistent or contradictory provisions of the Act are hereby waived (rendered inapplicable), modified and altered to the extent necessary to give full effect to the express provisions of these Articles of Organization and the Operating Agreement of the Company.

Received by Tennessee Secretary of State Tre Hargett, 11/30/2012, 10:12:29, 7119.0219

These Articles of Organization are dated as of November 30, 2012.



Gwaine Ton, Organizer



Eco-Energy Holdings, Inc., Sole Member

By: Gwaine Ton

Its: Chief Financial Officer and Chief Operating
Officer

Received by Tennessee Secretary of State Tre Hargett, 11/30/2012, 10:12:29, 7119.0220

FILED

**ARTICLES OF ORGANIZATION
OF
ECO-ENERGY, LLC**

Pursuant to the provisions of §48-249-202 of the Tennessee Revised Limited Liability Company Act (the "Act"), the undersigned, converting from the entity, Eco-Energy, Inc. pursuant to §48-21-111 of the Tennessee Business Corporation Act, hereby adopts the following Articles of Organization for the purpose of organizing a limited liability company under the Act:

1. **Name of Limited Liability Company.** The name of the limited liability company shall be Eco-Energy, LLC (the "Company").
2. **Initial Registered Office and Agent.** The street address and zip code of the Company's initial registered office in Tennessee is 725 Cool Springs Blvd., Suite 500, Franklin, Tennessee, 37067-2710 which is located in Williamson County. The name of the initial registered agent at such office is Chad Martin.
3. **Principal Executive Office.** The street address and zip code of the Company's principal executive office is 725 Cool Springs Blvd., Suite 500, Franklin, Tennessee, 37067-2710, which is located in Williamson County.
4. **Management.** The Company shall be member-managed.
5. **Sole Member.** The Company has one (1) Member as of the filing date of these Articles.
6. **Effective Date.** The existence of the Company shall begin upon the filing of the Articles of Organization.
7. **Fiscal Year.** The fiscal year of the Company shall end on December 31 of each year unless amended by the Member.
8. **Written Operating Agreement.** The Operating Agreement of the Company and all amendments thereto shall be in writing.
9. **Inconsistent or Contradictory Provisions.** To the extent that any express provisions in these Articles of Organization or in the Operating Agreement of the Company are inconsistent with or contradict any provisions of the Act that are waivable or subject to alteration or modification under § 48-249-205(a) of the Act, such inconsistent or contradictory provisions of the Act are hereby waived (rendered inapplicable), modified and altered to the extent necessary to give full effect to the express provisions of these Articles of Organization and the Operating Agreement of the Company.

Received by Tennessee Secretary of State Tre Hargett, 11/30/2012, 10:12:30, 7119.0221

These Articles of Organization are dated as of November 30, 2012.



Gwaine Ton, Organizer



Eco-Energy Holdings, Inc., Sole Member

By: Gwaine Ton

Its: Chief Financial Officer and Chief Operating Officer

Eco-Energy, LLC
Natural Gas Supplier License Application

Exhibit 2b(3): Officers

Chief Executive Officer

Name: Chad A. Martin
Home Address: 535 Brennan Lane
Franklin, TN 37067

Chief Financial Officer

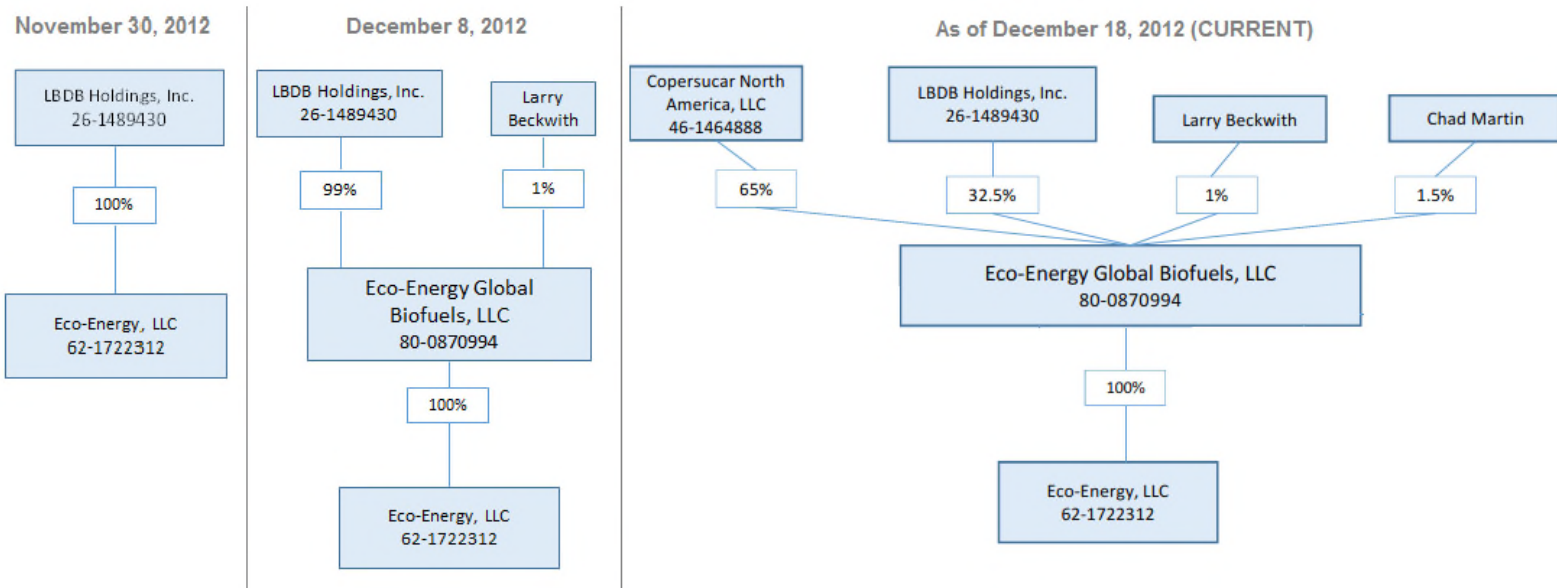
Name: Mark Cox
Home Address: 680 Legends Crest Drive
Franklin, TN 37069

Secretary

Name: Bruce M. Pennington
Home Address: 1264 Scarcroft Lane
Nashville, TN 37221

Exhibit 3b: Merger Information

On November 30, 2012 Eco-Energy, Inc. (FEIN: 62-1722312), a TN S-Corporation, converted to a Single Member Limited Liability Company thus becoming Eco-Energy, LLC (“Eco-Energy”). Due to its status as a disregarded entity for Federal Tax purposes (before and after the conversion) its FEIN remained the same. As of November 30, 2012 Eco-Energy was owned 100% by LBDB Holdings, Inc. (“LBDB”). On December 7, 2012 LBDB reorganized its business structure and created a new 100% wholly owned subsidiary named Eco-Energy Global Biofuels, LLC (“EEGB”) (FEIN: 80-0870994) and assigned all corporate assets and equity interests (Including 100% of Eco-Energy) to this company. On December 8, 2012 LBDB then sold a 1% interest in EEGB to Larry Beckwith (controlling owner of LBDB). On December 18, 2012 LBDB Holdings, Inc. sold a 65% ownership interest in EEGB to Copersucar North America, LLC (FEIN: 46-1464888) and a 1.5% interest to Chad Martin (CEO of Eco-Energy). Please see the below graphical timeline:



*Supporting Documentation for
Eco-Energy, LLC
Natural Gas Supplier License Application
Application was e-filed on*

Exhibit 4c: Proposed Services

Immediate plans for operations in Pennsylvania include partnering with Pennsylvania producers and either exporting supply for sale in neighboring states or selling directly to customers within the state who have in-house owned pipelines which tap into interstate pipelines. The company's intended customer base will exist predominately of large industrial users. Considering that neither scenario for immediate plans utilizes the jurisdictional facilities of a natural gas distribution company, no license is required.

However, Eco-Energy does intend to provide additional services which do utilize the jurisdictional facilities of a natural gas distribution company in the near future. Eco-Energy will take title to the gas in PA and deliver to the client's specified utility. In this scenario, the clients are comprised of those who manage their own utility risk and simply prefer to buy wholesale supply. Arrangements between Eco-Energy and the utilities for pooling purposes are likely not necessary because the client will be responsible for any credit relationships with the utility. The client will be setup with the utility, take title to the gas at the city gate, and transport to the burner tip.

Furthermore, potential future services may include the delivery of gas to utility interconnects via interstate or intrastate pipelines. In this scenario, Eco-Energy will hold a credit position with the utility and manage the exposure between clients and the utility. Any penalties will be assessed to Eco-Energy and the onus to collect those from the clients will be between Eco-Energy and the client.

Under all previously outlined proposed services, Eco-Energy will manage all client credit risk, utility balancing and pools, and the invoicing of clients. The typical billing cycle will be net 10 billed by the 15th of the following month with terms depending on the credit viability of the client. Deal contracts will range in duration from 1 month to 2 years.

Eco-Energy, LLC
Natural Gas Supplier License Application

Exhibit 6: Certificate of Service

On this day, February 26, 2015, I certify that a true and correct copy of the foregoing application form for licensing within the Commonwealth of Pennsylvania as a Natural Gas Supplier and all non-confidential attachments have been served as a hardcopy upon the following:

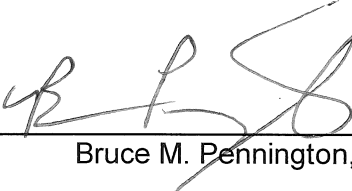
Office of Consumer Advocate
5th Floor, Forum Place
555 Walnut Street
Harrisburg, PA 17120

Office of the Attorney General
Bureau of Consumer Protection
Strawberry Square, 14th Floor
Harrisburg, PA 17120

Office of the Small Business Advocate
Commerce Building, Suite 1102
300 North Second Street
Harrisburg, PA 17101

Commonwealth of Pennsylvania
Department of Revenue
Bureau of Compliance
Harrisburg, PA 17128-0946

National Fuel Gas Distribution Corp.
David D. Wolford
6363 Main Street
Williamsville, NY 14221

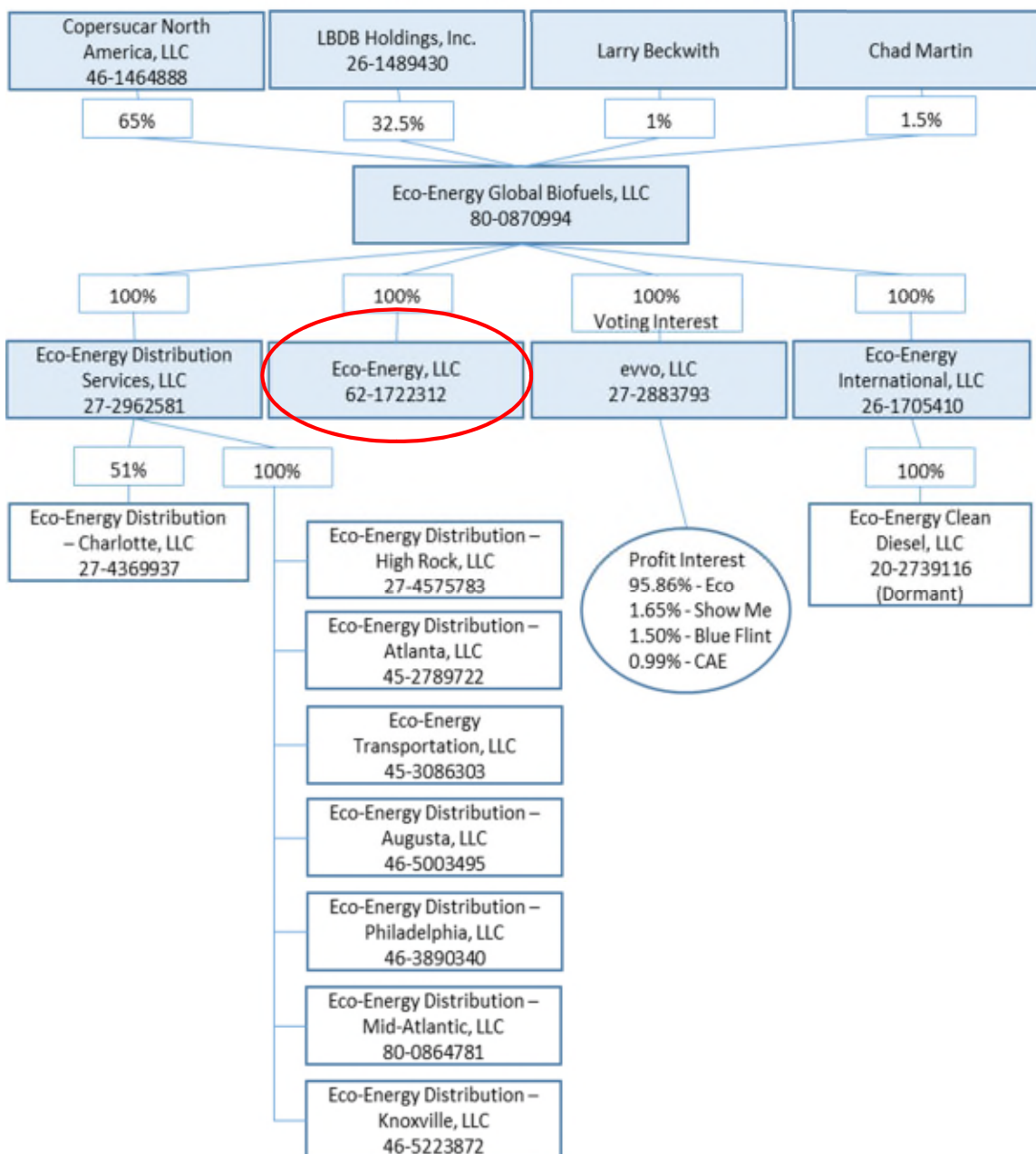


Bruce M. Pennington, Secretary

Exhibit 7b(1): Organizational Structure

Eco-Energy, LLC (“Eco-Energy”) is a Single Member LLC owned directly by Eco-Energy Global Biofuels, LLC (“EEGB”), a multimember LLC. EEGB is owned by four partners: controlling interest - Copersucar North America, LLC (65%) and minority interests - LBDB Holdings, Inc. (32.5%), Chad Martin - Eco-Energy CEO (1.5%), Larry Beckwith (1%). There are thirteen subsidiaries (direct and indirect) under EEGB and they are listed below in the graphic depiction of the corporate structure. None of these Eco-Energy affiliates supply retail or wholesale natural gas or electricity to customers in North America. See the graphical depiction below.

Eco-Energy Legal Entity Structure



Eco-Energy, LLC
Natural Gas Supplier License Application

Exhibit 7b(2): Credit Report

Pease see attached – Dunn & Bradstreet Credit Report for Eco-Energy LLC (21 pages)

Live Report : ECO-ENERGY, LLC

D-U-N-S® Number: 82-949-8971

Trade Names: (SUBSIDIARY OF LBDB HOLDINGS, INC., FRANKLIN, TN) - ECO ENERGY HOLDINGS - ECO-ENERGY

Endorsement/Billing Reference: nathanm@eco-energyinc.com

D&B Address	
Address	725 Cool Springs Blvd Ste 500 Franklin, TN - 37067
Phone	615 567-5009
Fax	615-778-2897
Location Type	Headquarters (Subsidiary)
Web	

Added to Portfolio: 09/04/2014




Last View Date: 09/04/2014

Endorsement : nathanm@eco-energyinc.com

Company Summary

Currency: Shown in USD unless otherwise indicated 

Score Bar

PAYDEX®		80	Paying on time.
Commercial Credit Score Percentile		83	Low to Moderate Risk of severe payment delinquency.
Financial Stress Score National Percentile		42	Moderate Risk of severe financial stress.
D&B Viability Rating		4 6 B Z	View More Details
Bankruptcy Found		No	
D&B Rating		--	Unavailable.

Detailed Trade Risk Insight™

Days Beyond Terms Past 3 Months

1

Days

Dollar-weighted average of **17** payment experiences reported from **15** Companies

Recent Derogatory Events

	May-14	Jun-14	Jul-14
Placed for Collection	-	-	-
Bad Debt Written Off	-	-	-

D&B Viability Rating


4	Viability Score: 4
6	Portfolio Comparison: 6
B	Data Depth Indicator: B
Z	Company Profile: Z Subsidiary

D&B Company Overview

This is a headquarters (subsidiary) location

Branch(es) or Division(s) exist Y

Manager	CHAD MARTIN, MBR-CEO
Year Started	1997
Employees	50 (Undetermined Here)
Financing	SECURED
SIC	6221
Line of business	Commodity contract broker
NAICS	523130
History Status	CLEAR

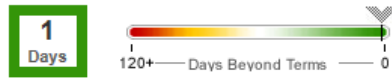
To learn more, [Click here](#) 

Detailed Trade Risk Insight™

Detailed Trade Risk Insight provides detailed updates on over 1.5 billion commercial trade experiences collected from more than 260 million unique supplier/purchaser relationships. To learn more [Click here](#)

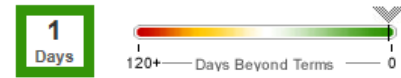
Days Beyond Terms - Past 3 & 12 Months

3 months from Jul 14 to Sep 14



Dollar-weighted average of 17 payment experiences reported from 15 companies

12 months from Oct 13 to Sep 14

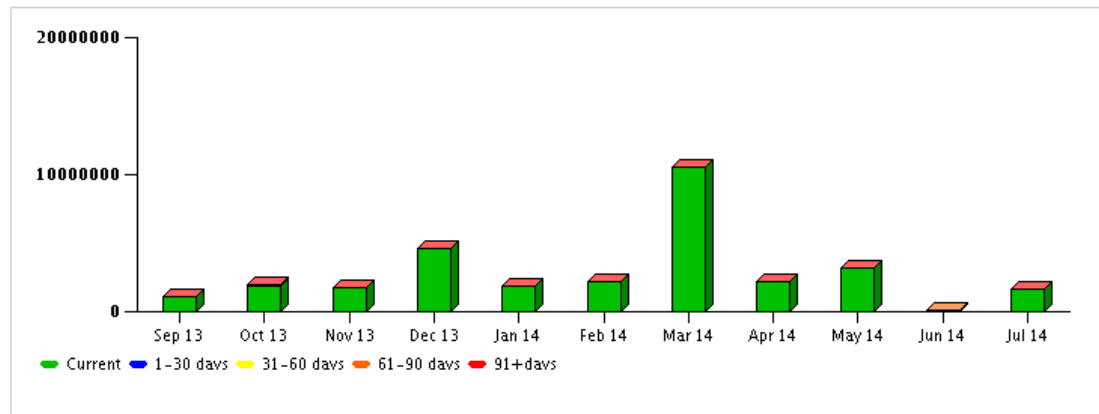


Dollar-weighted average of 25 payment experiences reported from 22 companies

Derogatory Events Last 11 Months from Sep 13 to Jul 14

No Derogatory trade Event has been reported on this company for the past 13 Months

Total Amount Current and Past Due - 11 month trend from Sep 13 to Jul 14



▼ Hide Table

Status	Sep-13	Oct-13	Nov-13	Dec-13	Jan-14	Feb-14	Mar-14	Apr-14	May-14	Jun-14	Jul-14
Total	1,095,400	1,991,231	1,778,155	4,585,175	1,816,325	2,174,681	10,511,103	2,213,061	3,167,102	72,808	1,702,829
Current	1,045,352	1,868,888	1,773,160	4,585,175	1,816,289	2,169,786	10,500,846	2,211,975	3,164,281	72,405	1,702,973
1-30 Days Past Due	4,273	72,934	620	-	36	4,895	10,096	1,086	2,418	4,909	-144
31-60 Days Past Due	27,075	3,634	-	-	-	-	161	-	403	102	-
61-90 Days Past Due	18,700	27,075	-	-	-	-	-	-	-	-	-
90+ Days Past Due	-	18,700	4,375	-	-	-	-	-	-	-4,608	-

Company Summary

Detailed Trade Risk Insight

Corporate Linkage

Predictive Scores

Trade Payments

Public Filings

Special Events

History & Operations

Financials

Notes

Your Information

View Snapshots

How helpful was the D&B information for this company?



- Company Summary
- Detailed Trade Risk Insight
- Corporate Linkage
- Predictive Scores**
- Trade Payments
- Public Filings
- Special Events
- History & Operations
- Financials
- Notes
- Your Information
- View Snapshots

How helpful was the D&B information for this company?

☆☆☆☆☆

Predictive Scores i

- [» D&B Viability Rating Summary](#)
- [» Credit Capacity Summary](#)
- [» D&B Credit Limit Recommendation](#)
- [» Financial Stress Class Summary](#)
- [» Credit Score Summary](#)

Currency: Shown in USD unless otherwise indicated

D&B Viability Rating Summary i

The D&B Viability Rating uses D&B's proprietary analytics to compare the most predictive business risk indicators and deliver a highly reliable assessment of the probability that a company will go out of business, become dormant/inactive, or file for bankruptcy within the next 12 months.

4	Viability Score i View Score Details ▶	<p>9 High Risk 5 1 Low Risk</p>
6	Portfolio Comparison i View Score Details ▶	<p>9 High Risk 5 1 Low Risk</p>
B	Data Depth Indicator i View Score Details ▶	<p>G Descriptive A Predictive</p>
Z	Company Profile i	Subsidiary

[Back To Top](#)

Credit Capacity Summary i

This credit rating was assigned because of D&B's assessment of the company's creditworthiness. For more information, see the [D&B Rating Key](#)

D&B Rating : --

The blank rating symbol should not be interpreted as indicating that credit should be denied. It simply means that the information available to D&B does not permit us to classify the company within our rating key and that further enquiry should be made before reaching a decision. Some reasons for using a "--" symbol include: deficit net worth, bankruptcy proceedings, insufficient payment information, or incomplete history information.

Below is an overview of the company's rating history since 01-20-2001

D&B Rating	Date Applied
--	03-21-2013
1R3	01-09-2010
1R2	04-16-2008
1R3	01-10-2003
--	01-20-2001

Number of Employees Total: 50 (Undetermined here)

Payment Activity: (based on 66 experiences)

Average High Credit:	500,459
Highest Credit:	8,000,000
Total Highest Credit:	20,921,650

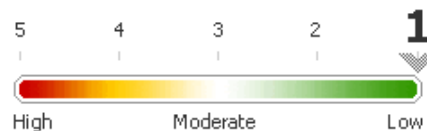
[Back To Top](#)

D&B Credit Limit Recommendation i

Conservative credit Limit 20,000
Aggressive credit Limit: 30,000

Risk category for this business :

LOW



The Credit Limit Recommendation (CLR) is intended to serve as a directional benchmark for all businesses within the same line of business or industry, and is not calculated based on any individual business. Thus, the CLR is intended to help guide the credit limit decision, and must be balanced in combination with other elements which reflect the individual company's size, financial strength, payment history, and credit worthiness, all of which can be derived from D&B reports.

Risk is assessed using D&B's scoring methodology and is one factor used to create the recommended limits. See Help for details.

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Financial Stress Class Summary



The Financial Stress Score predicts the likelihood of a firm ceasing business without paying all creditors in full, or reorganization or obtaining relief from creditors under state/federal law over the next 12 months. Scores were calculated using a statistically valid model derived from D&Bs extensive data files.

The Financial Stress Class of 3 for this company shows that firms with this class had a failure rate of 0.24% (24 per 10,000), which is lower than the average of businesses in D & B's database

Financial Stress Class :



Moderately lower than average risk of severe financial stress, such as a bankruptcy or going out of business with unpaid debt, over the next 12 months.

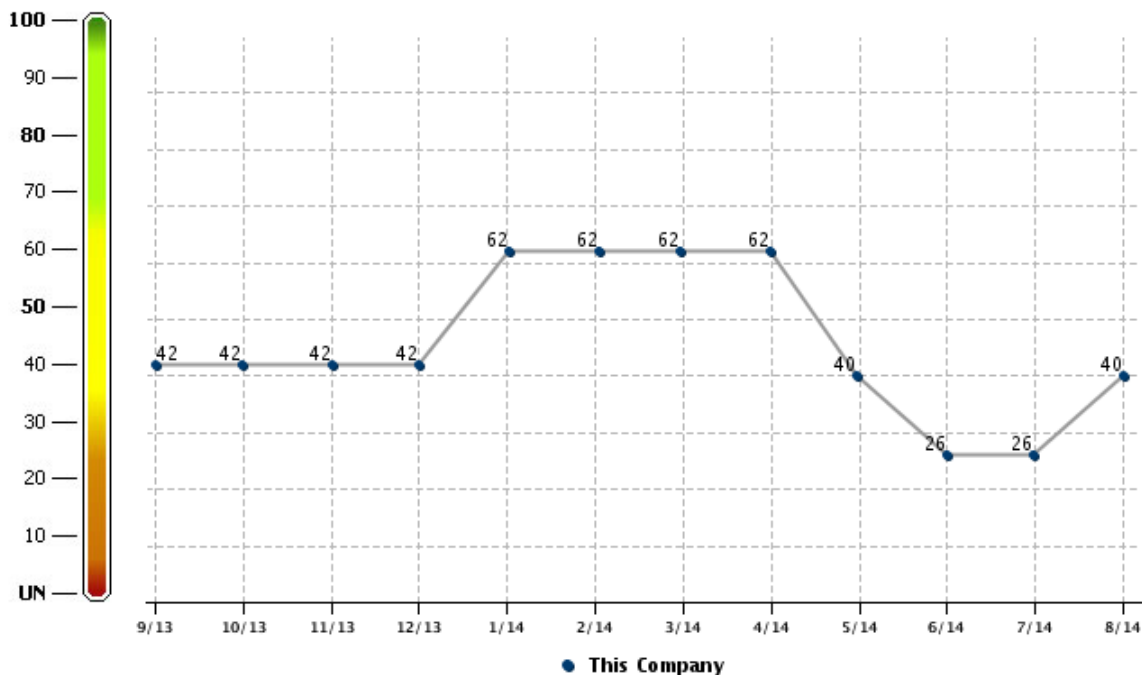
Probability of Failure:

Risk of Severe Financial Stress for Businesses with this Class: **0.24 %** (24 per 10,000)
 Financial Stress National Percentile : **42** (Highest Risk: 1; Lowest Risk: 100)
 Financial Stress Score : **1465** (Highest Risk: 1,001; Lowest Risk: 1,875)
 Average Risk of Severe Financial Stress for Businesses in D&B database: **0.48 %** (48 per 10,000)

The Financial Stress Class of this business is based on the following factors:

- Low proportion of satisfactory payment experiences to total payment experiences.
- UCC Filings reported.
- High number of inquiries to D & B over last 12 months.
- Business does not own facilities.

Financial Stress Percentile Trend:



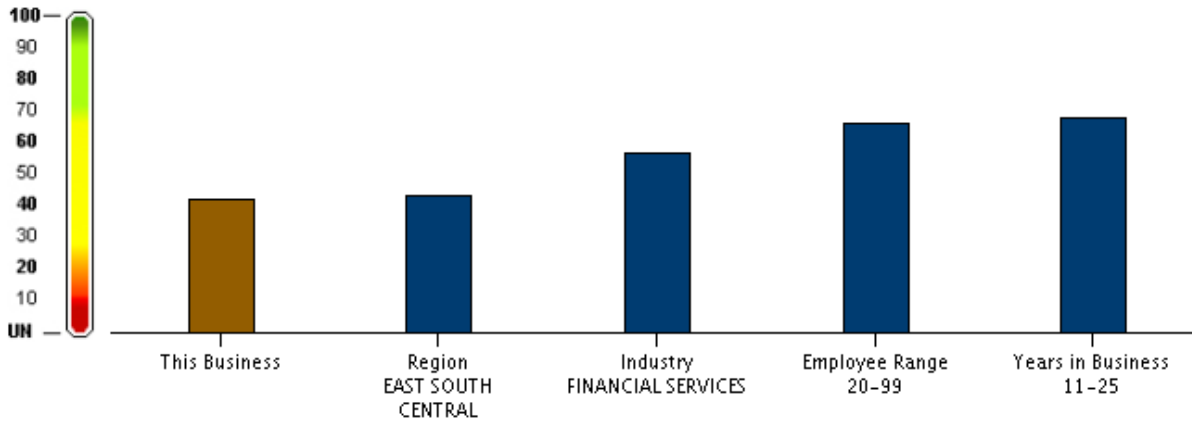
Notes:

The Financial Stress Class indicates that this firm shares some of the same business and financial characteristics of other companies with this classification. It does not mean the firm will necessarily experience financial stress.

The Probability of Failure shows the percentage of firms in a given Class that discontinued operations over the past year with loss to creditors. The Probability of Failure - National Average represents the national failure rate and is provided for comparative purposes.

The Financial Stress National Percentile reflects the relative ranking of a company among all scorable companies in D&Bs file.

The Financial Stress Score offers a more precise measure of the level of risk than the Class and Percentile. It is especially helpful to customers using a scorecard approach to determining overall business performance.



Norms	National %
This Business	42
Region: EAST SOUTH CENTRAL	43
Industry: FINANCIAL SERVICES	57
Employee range: 20-99	66
Years in Business: 11-25	68

This Business has a Financial Stress Percentile that shows:

- Higher risk than other companies in the same region.
- Higher risk than other companies in the same industry.
- Higher risk than other companies in the same employee size range.
- Higher risk than other companies with a comparable number of years in business.

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Credit Score Summary



The Commercial Credit Score (CCS) predicts the likelihood of a business paying its bills in a severely delinquent manner (91 days or more past terms), obtaining legal relief from its creditors or ceasing operations without paying all creditors in full over the next 12 months.

The Credit Score class of 2 for this company shows that 2.5% of firms with this class paid one or more bills severely delinquent, which is lower than the average of businesses in D & B's database.

Credit Score Class :



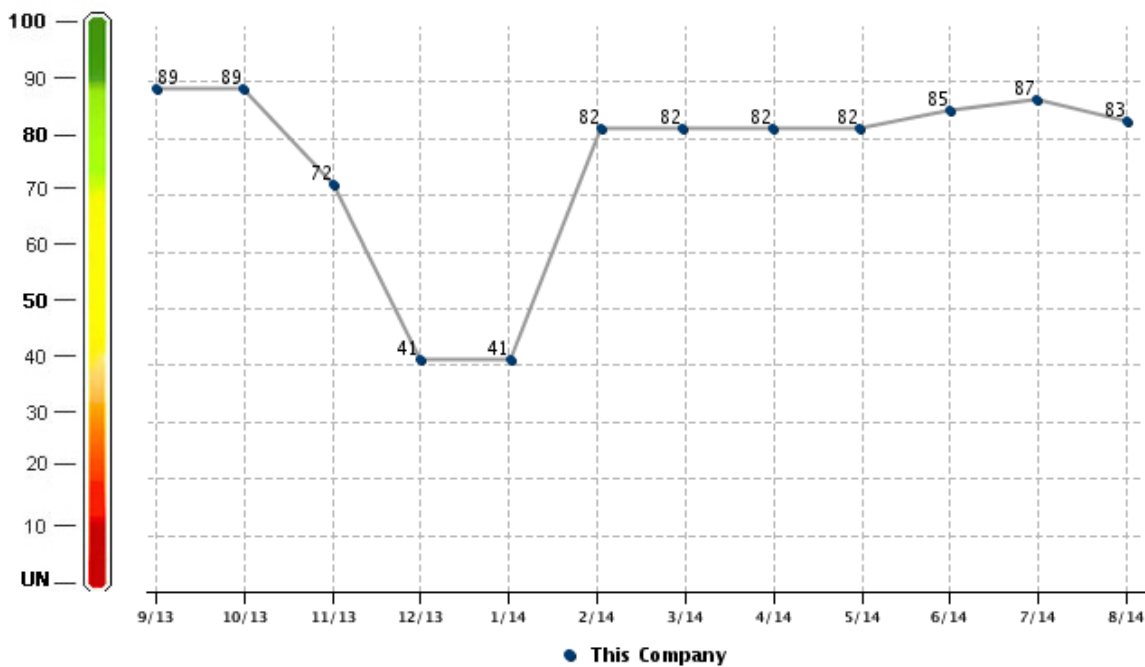
Incidence of Delinquent Payment

Among Companies with this Classification: **2.50 %**
 Average compared to businesses in D&B's database: **10.20 %**
 Credit Score Percentile : **83** (Highest Risk: 1; Lowest Risk: 100)
 Credit Score : **559** (Highest Risk: 101; Lowest Risk: 670)

The Credit Score Class of this business is based on the following factors:

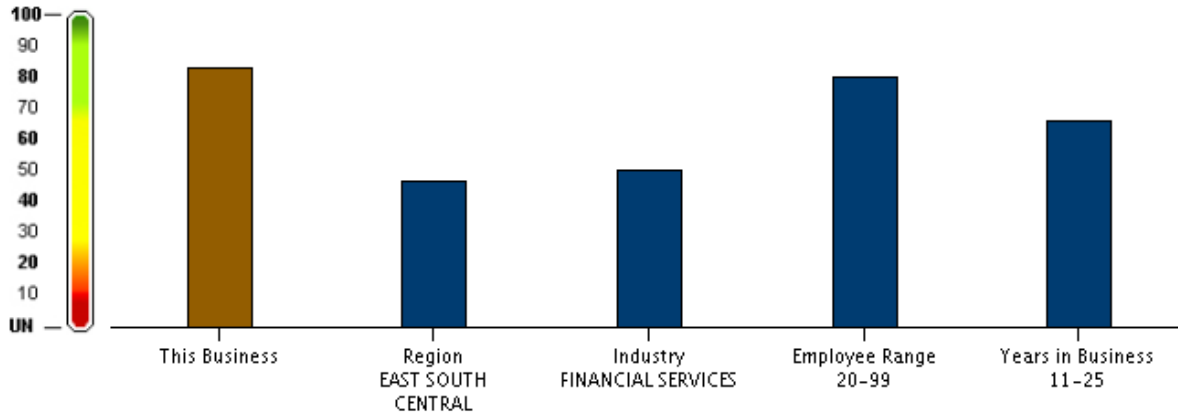
Higher risk industry based on delinquency rates for this industry
 Proportion of slow payments in recent months
 Evidence of open suits

Credit Score Class Percentile Trend:



Notes:

The Commercial Credit Score Risk Class indicates that this firm shares some of the same business and financial characteristics of other companies with this classification. It does not mean the firm will necessarily experience severe delinquency. The Incidence of Delinquent Payment is the percentage of companies with this classification that were reported 91 days past due or more by creditors. The calculation of this value is based on D&B's trade payment database. The Commercial Credit Score percentile reflects the relative ranking of a firm among all scorable companies in D&B's file. The Commercial Credit Score offers a more precise measure of the level of risk than the Risk Class and Percentile. It is especially helpful to customers using a scorecard approach to determining overall business performance.



Norms	National %
This Business	83
Region: EAST SOUTH CENTRAL	47
Industry: FINANCIAL SERVICES	50
Employee range: 20-99	80
Years in Business: 11-25	66

This business has a Credit Score Percentile that shows:

- Lower risk than other companies in the same region.
- Lower risk than other companies in the same industry.
- Lower risk than other companies in the same employee size range.
- Lower risk than other companies with a comparable number of years in business.

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How helpful was the D&B information for this company?

Trade Payments i

[» D&B PAYDEX®](#)
[» D&B PAYDEX® Comparison](#)
[» Payment Habits](#)
[» Payment Summary](#)
[» Detailed payment history for this company](#)

Currency: Shown in USD unless otherwise indicated

D&B PAYDEX® i

The D&B PAYDEX is a unique, weighted indicator of payment performance based on payment experiences as reported to D&B by trade references. Learn more about the D&B PAYDEX

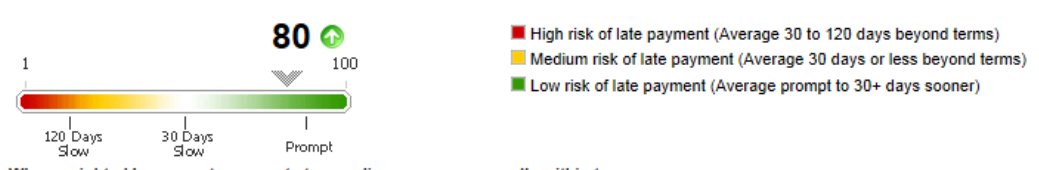
Timeliness of historical payments for this company.

Current PAYDEX is	80	Equal to generally within terms (Pays more promptly than the average for its industry of 2 days beyond terms)
Industry Median is	79	Equal to 2 days beyond terms
Payment Trend currently is	↔	Unchanged, compared to payments three months ago

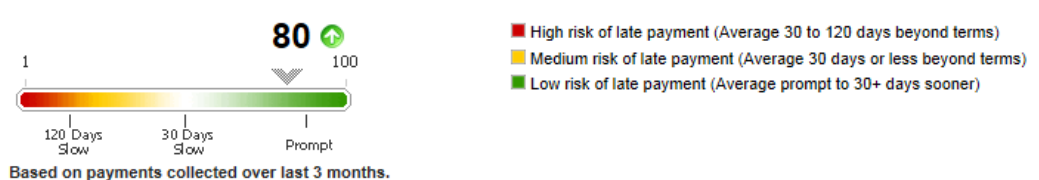
Indications of slowness can be the result of dispute over merchandise, skipped invoices etc. Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed.

Total payment Experiences in D&Bs File (HQ)	66
Payments Within Terms (not weighted)	85 %
Trade Experiences with Slow or Negative Payments(%)	15.15%
Total Placed For Collection	0
High Credit Average	500,459
Largest High Credit	8,000,000
Highest Now Owing	1,000,000
Highest Past Due	100,000

D&B PAYDEX



3-Month D&B PAYDEX



When weighted by amount, payments to suppliers average within terms

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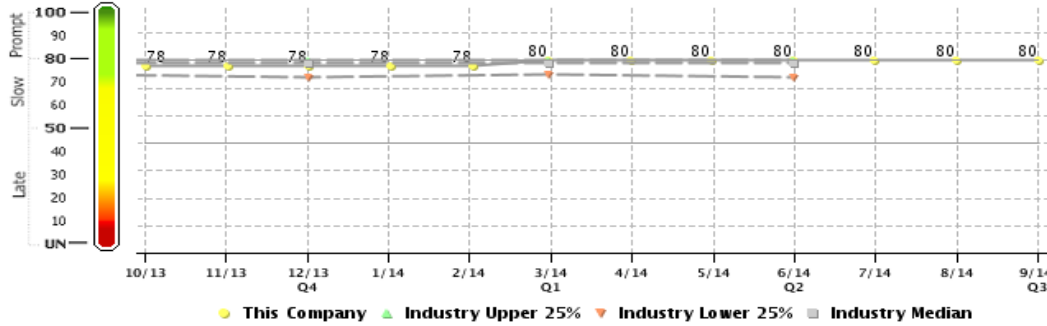
D&B PAYDEX® Comparison



Current Year

PAYDEX® of this Business compared to the Primary Industry from each of the last four quarters. The Primary Industry is Commodity contract broker , based on SIC code 6221 .

Shows the trend in D&B PAYDEX scoring over the past 12 months.

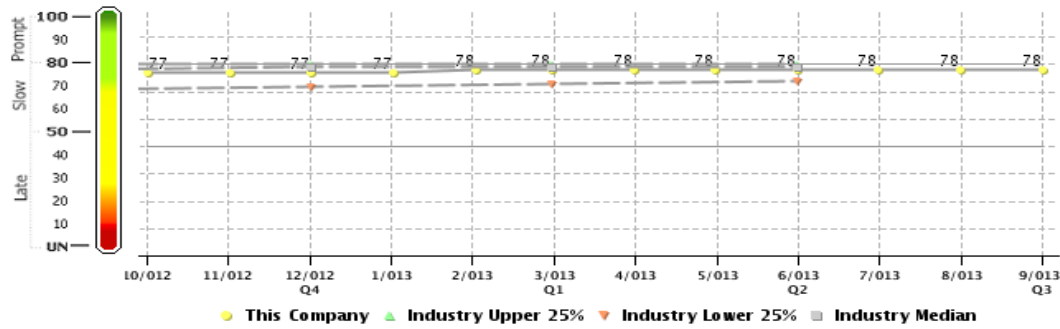


	10/13	11/13	12/13	1/14	2/14	3/14	4/14	5/14	6/14	7/14	8/14	9/14
This Business	78	78	78	78	78	80	80	80	80	80	80	80
Industry Quartiles												
Upper	-	-	80	-	-	80	-	-	80	-	-	-
Median	-	-	79	-	-	79	-	-	79	-	-	-
Lower	-	-	74	-	-	75	-	-	74	-	-	-

Current PAYDEX for this Business is 80 , or equal to generally within terms
 The 12-month high is 80 , or equal to GENERALLY WITHIN terms
 The 12-month low is 78 , or equal to 3 DAYS BEYOND terms

Previous Year

Shows PAYDEX of this Business compared to the Primary Industry from each of the last four quarters. The Primary Industry is Commodity contract broker , based on SIC code 6221 .



Previous Year	09/12 Q3'12	12/12 Q4'12	03/13 Q1'13	06/13 Q2'13
This Business	UN	77	78	78
Industry Quartiles				
Upper	80	80	80	80
Median	78	79	79	79
Lower	71	72	73	74

Based on payments collected over the last 4 quarters.

Current PAYDEX for this Business is 80 , or equal to generally within terms
 The present industry median Score is 79 , or equal to 2 days beyond terms
 Industry upper quartile represents the performance of the payers in the 75th percentile
 Industry lower quartile represents the performance of the payers in the 25th percentile

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Payment Habits



For all payment experiences within a given amount of credit extended, shows the percent that this Business paid within terms. Provides number of experiences to calculate the percentage, and the total credit value of the credit extended.

\$ Credit Extended	# Payment Experiences	Total Amount	% of Payments Within Terms
Over 100,000	11	20,050,000	100%
50,000-100,000	4	315,000	90%
15,000-49,999	3	75,000	70%
5,000-14,999	8	65,000	81%
1,000-4,999	6	10,500	90%
Under 1,000	9	3,350	73%

0% 50% 100%

Based on payments collected over last 24 months.

All Payment experiences reflect how bills are paid in relation to the terms granted. In some instances, payment beyond terms can be the result of disputes over merchandise, skipped invoices etc.

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Payment Summary



- There are 66 payment experience(s) in D&Bs file for the most recent 24 months, with 39 experience(s) reported during the last three month period.
- The highest Now Owes on file is 1,000,000 . The highest Past Due on file is 100,000

Below is an overview of the companys currency-weighted payments, segmented by its suppliers primary industries:

	Total Revd (#)	Total Amts	Largest High Credit	Within Terms (%)	Days Slow <31 31-60 61-90 90> (%) (%) (%) (%)			
Top Industries								
Nonclassified	9	1,120,300	400,000	100	0	0	0	0
Railroad	6	7,515,000	3,000,000	100	0	0	0	0
Short-trm busn credit	2	60,000	45,000	62	38	0	0	0
Mfg computers	2	15,250	15,000	100	0	0	0	0
Radiotelephone comun	2	20,000	10,000	100	0	0	0	0
Electric services	2	10,250	10,000	100	0	0	0	0
Help supply service	2	3,250	2,500	100	0	0	0	0
Telephone communictns	2	750	750	100	0	0	0	0
Petroleum refining	1	8,000,000	8,000,000	100	0	0	0	0
Whol grain/field bean	1	3,000,000	3,000,000	100	0	0	0	0
Mfg organic chemicals	1	600,000	600,000	100	0	0	0	0
Misc water cargo trns	1	85,000	85,000	100	0	0	0	0
Misc business service	1	65,000	65,000	50	50	0	0	0
Whol computers/softwr	1	7,500	7,500	50	50	0	0	0
Whol petroleum prdts	1	7,500	7,500	50	50	0	0	0
Whol industrial suppl	1	2,500	2,500	100	0	0	0	0
Whol service paper	1	2,500	2,500	100	0	0	0	0
Radio station	1	1,000	1,000	100	0	0	0	0
Data processing svcs	1	1,000	1,000	50	50	0	0	0
Industrial launderer	1	1,000	1,000	50	0	0	0	50
Transportation svcs	1	750	750	0	100	0	0	0
Whol misc profsn eqpt	1	250	250	100	0	0	0	0
Lithographic printing	1	50	50	100	0	0	0	0
Other payment categories								
Cash experiences	21	2,700	750					
Payment record unknown	3	400,100	400,000					
Unfavorable comments	0	0	0					
Placed for collections	0	N/A	0					
Total in D&B's file	66	20,921,650	8,000,000					

Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed.

Indications of slowness can be result of dispute over merchandise, skipped invoices etc.

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Detailed payment history for this company

Date Reported (mm/yy)	Paying Record	High Credit	Now Owes	Past Due	Selling Terms	Last Sale Within (month)
07/14	Ppt	8,000,000	700,000	0		1 mo
	Ppt	3,000,000	900,000	0		1 mo
	Ppt	3,000,000	1,000,000	50		1 mo
	Ppt	800,000	400,000	0		1 mo
	Ppt	700,000	200,000	0		1 mo
	Ppt	600,000	0	0		4-5 mos
	Ppt	400,000	0	0	N30	6-12 mos
	Ppt	250,000	250,000	1,000	N30	1 mo
	Ppt	200,000	200,000	100,000		1 mo
	Ppt	100,000	100,000	55,000		1 mo
	Ppt	95,000	95,000	45,000		1 mo
	Ppt	85,000	2,500	0		1 mo
	Ppt	70,000	70,000	35,000		1 mo
	Ppt	15,000	0	0		2-3 mos
	Ppt	15,000	15,000	0		1 mo
	Ppt	10,000	0	0		4-5 mos
	Ppt	10,000	2,500	0		1 mo
	Ppt	10,000	5,000	0		1 mo
	Ppt	10,000	5,000	0		1 mo
	Ppt	5,000	0	0		1 mo
	Ppt	2,500	0	0		6-12 mos
	Ppt	750	0	0	N30	2-3 mos
	Ppt	250	250	0		1 mo
	Ppt-Slow 15	45,000	0	0		1 mo
	Ppt-Slow 30	65,000	40,000	0		1 mo
	Ppt-Slow 30	7,500	7,500	0	N30	1 mo
	Ppt-Slow 60	250	100	0		1 mo
	Ppt-Slow 90+	1,000	100	0		1 mo
	Slow 30	750	0	0		4-5 mos
	Slow 15-45	5,000	2,500	2,500		1 mo
	Slow 70	50	0	0		1 mo
	(032)	400,000	0	0		2-3 mos
	(033)	500			Cash account	2-3 mos
	(034)	50			Cash account	1 mo

06/14	Ppt	3,000,000	0	0		1 mo
	Ppt	2,500	0	0		1 mo
	Ppt	750	0	0		1 mo
	Ppt-Slow 30	1,000	250	0		1 mo
	(039)	50			Cash account	1 mo
05/14	Ppt-Slow 30	7,500	0	0		6-12 mos
	(041)	50			Cash account	1 mo
04/14	(042)	50			Cash account	1 mo
	(043)	50			Cash account	1 mo
	(044)	50			Cash account	1 mo
02/14	(045)	100			Cash account	1 mo
11/13	Ppt	0	0	0		6-12 mos
10/13	Ppt	1,000	0	0		6-12 mos
08/13	(048)	250			Cash account	1 mo
	(049)	250			Cash account	1 mo
06/13	(050)	50	0	0		6-12 mos
05/13	(051)	50			Cash account	1 mo
	(052)	50			Cash account	1 mo
	(053)	50			Cash account	1 mo
	(054)	50			Cash account	1 mo
	(055)	50			Cash account	2-3 mos
04/13	(056)	50			Cash account	1 mo
	(057)	50			Cash account	1 mo
03/13	Ppt	2,500	0	0		6-12 mos
	Ppt	250	0	0	N30	6-12 mos
	Ppt	250	0	0		6-12 mos
	(061)	50			Cash account	6-12 mos
12/12	(062)	750			Cash account	1 mo
11/12	Ppt	50				1 mo
	(064)	100			Cash account	4-5 mos
	(065)	50			Cash account	6-12 mos
10/12	(066)	50				1 mo

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How helpful was the D&B information for this company?

☆☆☆☆☆

Public Filings i

» [Summary](#) » [Liens](#) » [Suits](#) » [UCC Filings](#) » [Government Activity](#)

Currency: Shown in USD unless otherwise indicated

Summary i

The following data includes both open and closed filings found in D&B's database on this company.

Record Type	# of Records	Most Recent Filing Date
Bankruptcy Proceedings	0	-
Judgments	0	-
Liens	1	01/15/14
Suits	1	06/18/14
UCCs	18	03/07/14

The following Public Filing data is for information purposes only and is not the official record. Certified copies can only be obtained from the official source.

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Liens i

A lien holder can file the same lien in more than one filing location. The appearance of multiple liens filed by the same lien holder against a debtor may be indicative of such an occurrence.

Amount	427 (POLLUTANTS TAX)
Status	Released
FILING NO.	4622/106
Type	State Tax
Filed By	STATE OF FLORIDA DEPARTMENT OF REVENUE
Against	ECO-ENERGY LLC
Where Filed	LEON COUNTY RECORDERS OFFICE, TALLAHASSEE, FL
Date Status Attained	04/16/14
Date Filed	01/15/14
Latest Info Received	05/16/14

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Suits i

Status	Pending
CASE NO.	2014SC002110
Plaintiff	BOHN TRUCKING OF WAUKAU INC, WAUKAU, WI
Defendant	ECO ENERGY INC AND OTHERS
Cause	SM CLAIM, CLAIM UNDER \$ LIMIT
Where filed	WINNEBAGO COUNTY CIRCUIT COURT, OSHKOSH, WI
Date status attained	06/18/14
Date filed	06/18/14
Latest Info Received	07/04/14

If it is indicated that there are defendants other than the report subject, the lawsuit may be an action to clear title to property and does not necessarily imply a claim for money against the subject.

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UCC Filings



Collateral Negotiable instruments and proceeds - Inventory and proceeds - Account(s) and proceeds - Assets and proceeds - and OTHERS

Type Original

Sec. Party RUSKIN, LARRY I.

Debtor ECO-ENERGY HOLDINGS, INC.

Filing No. 208-015980

Filed With SECRETARY OF STATE/UCC DIVISION, NASHVILLE, TN

Date Filed 2008-04-02

Latest Info Received 04/28/08

Collateral Negotiable instruments and proceeds - Inventory and proceeds - Account(s) and proceeds - Assets and proceeds - and OTHERS

Type Original

Sec. Party RUSKIN, LARRY I.

Debtor ECO-ENERGY, INC.

Filing No. 208-015979

Filed With SECRETARY OF STATE/UCC DIVISION, NASHVILLE, TN

Date Filed 2008-04-02

Latest Info Received 04/28/08

Collateral Inventory including proceeds and products - Account(s) including proceeds and products - Assets including proceeds and products - Computer equipment including proceeds and products - and OTHERS

Type Original

Sec. Party BNP PARIBAS, AS ADMINISTRATIVE AGENT, NEW YORK, NY

Debtor ECO-ENERGY DISTRIBUTION-AUGUSTA, LLC

Filing No. 2014 0895466

Filed With SECRETARY OF STATE/UCC DIVISION, DOVER, DE

Date Filed 2014-03-07

Latest Info Received 05/02/14

Collateral Inventory including proceeds and products - Account(s) including proceeds and products - Computer equipment including proceeds and products - Chattel paper including proceeds and products - and OTHERS

Type Original

Sec. Party BNP PARIBAS, A FRENCH BANKING CORPORATION IN ITS CAPACITY AS ADMINISTRATIV, NEW YORK, NY

Debtor ECO-ENERGY DISTRIBUTION - ATLANTA, LLC

Filing No. 12114000303

Filed With RICHMOND COUNTY SUPERIOR COURT, AUGUSTA, GA

Date Filed 2014-02-19

Latest Info Received 03/18/14

Collateral Inventory including proceeds and products - Account(s) including proceeds and products - Assets including proceeds and products - Computer equipment including proceeds and products - and OTHERS

Type Original

Sec. Party BNP PARIBAS, AS ADMINISTRATIVE AGENT, NEW YORK, NY

Debtor ECO-ENERGY DISTRIBUTION-PHILADELPHIA, LLC

Filing No. 2013 4186681

Filed With SECRETARY OF STATE/UCC DIVISION, DOVER, DE

Date Filed 2013-10-25

Latest Info Received 11/15/13

Collateral Inventory including proceeds and products - Account(s) including proceeds and products - Computer equipment including proceeds and products - Chattel paper including proceeds and products - and OTHERS

Type Original

Sec. Party BNP PARIBAS, A FRENCH BANKING CORPORATION IN ITS CAPACITY AS ADMINISTRATIV, NEW YORK, NY

Debtor ECO-ENERGY DISTRIBUTION - ATLANTA, LLC

Filing No. 00813000236

Filed With BARTOW COUNTY SUPERIOR COURT CLERKS OFFICE, CARTERSVILLE, GA

Date Filed 2013-02-01

Latest Info Received 04/25/13

Collateral Inventory including proceeds and products - Account(s) including proceeds and products - Assets including proceeds and products - Computer equipment including proceeds and products - and OTHERS

Type Original

Sec. Party BNP PARIBAS, AS ADMINISTRATIVE AGENT, NEW YORK, NY

Debtor ECO-ENERGY GLOBAL BIOFUELS, LLC

Filing No. 2012 4654838

Filed With SECRETARY OF STATE/UCC DIVISION, DOVER, DE

Date Filed 2012-12-03

Latest Info Received 01/03/13

.....


Collateral	Inventory including proceeds and products - Account(s) including proceeds and products - Assets including proceeds and products - Computer equipment including proceeds and products - and OTHERS
Type	Original
Sec. Party	BNP PARIBAS, AS ADMINISTRATIVE AGENT, NEW YORK, NY
Debtor	ECO-ENERGY DISTRIBUTION - MID-ATLANTIC, LLC
Filing No.	2012 4433134
Filed With	SECRETARY OF STATE/UCC DIVISION, DOVER, DE
Date Filed	2012-11-16
Latest Info Received	12/13/12

.....

Collateral	Inventory including proceeds and products - Accounts receivable including proceeds and products - Assets including proceeds and products - Account(s) including proceeds and products - and OTHERS
Type	Original
Sec. Party	ECO-ENERGY DISTRIBUTION SERVICES, LLC, FRANKLIN, TN
Debtor	ECO-ENERGY DISTRMUTION-CHARLOTTE, LLC
Filing No.	2011 4062173
Filed With	SECRETARY OF STATE/UCC DIVISION, DOVER, DE
Date Filed	2011-10-20
Latest Info Received	11/11/11

.....

Collateral	Inventory including proceeds and products - Accounts receivable including proceeds and products - Assets including proceeds and products - Account(s) including proceeds and products - and OTHERS
Type	Original
Sec. Party	ECO-ENERGY DISTRIBUTION SERVICES, LLC, FRANKLIN, TN
Debtor	ECO-ENERGY DISTRIBUTION-HIGH ROCK, LLC
Filing No.	2011 3744011
Filed With	SECRETARY OF STATE/UCC DIVISION, DOVER, DE
Date Filed	2011-09-29
Latest Info Received	10/21/11

There are additional UCCs in D&Bs file on this company available by contacting 1-800-234-3867 

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Government Activity

Activity summary

Borrower (Dir/Guar)	NO
Administrative Debt	YES
Contractor	NO
Grantee	NO
Party excluded from federal program(s)	NO

Possible candidate for socio-economic program consideration

Labour Surplus Area	N/A
Small Business	YES (2013)
8(A) firm	N/A

The details provided in the Government Activity section are as reported to Dun & Bradstreet by the federal government and other sources.

History & Operations



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How helpful was the D&B information for this company?



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Currency: Shown in USD unless otherwise indicated

Company Overview



Company Name: ECO-ENERGY, LLC
Doing Business As : (SUBSIDIARY OF LBDB HOLDINGS, INC., FRANKLIN, TN), ECO ENERGY HOLDINGS, ECO-ENERGY
Street Address: 725 Cool Springs Blvd Ste 500
Franklin, TN 37067
Phone: 615 567-5009
Fax: 615-778-2897
History: Is clear
Present management control: 17 years

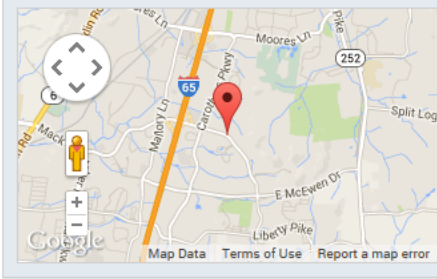
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Location on Map



View:

Map View: [Larger View »](#)



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History



The following information was reported: 08/15/2014

Officer(s):
CHAD MARTIN, MBR-CEO
DAVE JOHNSON, MBR
JOSH BAILEY, MBR-V PRES
CHAD CONN, MBR-V PRES
MIKE OCHELTREE, MBR-V PRES

The Tennessee Secretary of State's business registrations file showed that Eco-Energy, LLC was registered as a Limited Liability Company on November 5, 1997.

Business started 1997 by Larry Beckwith.

RECENT EVENT :

On May 11, 2012, sources stated that Eco-Energy Inc., dba Eco Energy Holdings, Franklin, TN, announced on April 9, 2012, that it is developing an ethanol unit train and storage facility to serve the Atlanta, GA market area. Further details are unavailable.

Business name changed from Eco-Energy, Inc. to Eco-Energy, LLC.

CHAD MARTIN. Antecedents not available.

DAVE JOHNSON. Antecedents are unknown.

JOSH BAILEY. Antecedents not available.

CHAD CONN. Antecedents not available.

MIKE OCHELTREE. Antecedents not available.

Business address has changed from 730 Cool Springs Blvd Ste 130, Franklin, TN, 37067 to 730 Cool Springs Blvd Ste 500, Franklin, TN, 37067.

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How helpful was the D&B information for this company?

☆☆☆☆☆

Financials i

» [Company Financials](#) » [Additional Financial Data](#) » [Request Financials Statements](#) » [Key Business Ratios](#)

Currency: Shown in USD unless otherwise indicated

Company Financials Export

View Company Financials From D&B Spread Financials

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Additional Financial Data i

D & B has updated this report using available sources.

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Request Financial Statements

You can ask D&B to make a personalized request to this company on your behalf to obtain its latest financial information by clicking the button below.

Request Financial Statements

Financial Date Requested	Requested Period	Requested Year	Requested By	Received Date	Status
No financial data found.					

Requested financials are provided by ECO-ENERGY, LLC and are not DUNSRight certified.

Key Business Ratios i

Business ratios are not available for this company or its industry. Certain segments, such as financial services, insurance companies, government agencies and public institutions, have distinctive financial reporting characteristics that do not allow for calculation of these measures.

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Eco-Energy, LLC
Natural Gas Supplier License Application
Exhibit 7b(3): Audited Financial Statements

CONFIDENTIAL

Documents can be found in the confidential envelope mailed separately to the Secretary's Office

Please see attached – copies of the two most recent years of audited consolidated financial statements of the parent company, Eco-Energy Global Biofuels, LLC and its subsidiaries are provided separately under confidential seal. We respectfully request confidential treatment, as Eco-Energy Global Biofuels is a privately held company. It is important to note that the audited financial statements are for Eco-Energy Global Biofuels, LLC and Subsidiaries, which include the separate company activity of Eco-Energy, LLC. (87 pages)

Eco-Energy, LLC
Natural Gas Supplier License Application

CONFIDENTIAL

**Documents can be found in the confidential envelope mailed
separately to the Secretary's Office**

Exhibit 7c(1): Credit Agreement as of Nov. 1 2013

Please see attached – Second Amended and Restated Credit Agreement (140 pages)

We respectfully request confidential treatment, as Eco-Energy Global Biofuels is a privately held company.

CONFIDENTIAL

Documents can be found in the confidential envelope mailed separately to the Secretary's Office

Exhibit 7c(2): Amendment to Credit Agreement

Please see attached – Amendment No. 2 to Credit Agreement and Consent (50 pages)

We respectfully request confidential treatment, as Eco-Energy Global Biofuels is a privately held company.

This amendment was issued October 17, 2014 to amend the definition of product on page 34 of the credit agreement dated November 1, 2013 which does not include natural gas. The definition of product was adjusted to include natural gas on page 3 of the amendment.

Eco-Energy, LLC
Natural Gas Supplier License Application

Exhibit 7f: Tax Certification Statement

Pease see attached – Tax Certification Statement (1 page)

COMMONWEALTH OF
PENNSYLVANIA
PUBLIC UTILITY COMMISSION

Appendix D
TAX CERTIFICATION
STATEMENT

A completed Tax Certification Statement must accompany all applications for new licenses, renewals or transfers. Failure to provide the requested information and/or any outstanding state income, corporation, and sales (including failure to file or register) will cause your application to be rejected. If additional space is needed, please use white 8 1/2" x 11" paper. Type or print all information requested.

1. CORPORATE OR APPLICANT NAME
Eco-Energy, LLC

2. BUSINESS PHONE NO. (615) 778-2898
CONTACT PERSON(S) FOR TAX ACCOUNTS:
Kim Hendershot, Tax Director: 615-786-0382

3. TRADE/FICTITIOUS NAME (IF ANY)
n/a

4. LICENSED ADDRESS (STREET, RURAL ROUTE, P.O. BOX NO.) (POST OFFICE) STATE)
(ZIP)
725 Cool Springs Blvd. Ste. 500 Franklin, TN 37067

5. TYPE OF ENTITY SOLE PROPRIETOR PARTNERSHIP CORPORATION LLC
 OTHER (Describe...)

6. LIST OWNER(S), GENERAL PARTNERS, OR CORPORATE OFFICERS(S)

NAME (PRINT)	SOCIAL SECURITY NUMBER - For Sole Proprietor with NO EIN ONLY
	- -
NAME (PRINT) Chad A. Martin, CEO	
NAME (PRINT) Mark Cox, CFO	
NAME (PRINT) Bruce M. Pennington, Secretary	
NAME (PRINT)	

7. LIST THE FOLLOWING STATE & FEDERAL TAX IDENTIFICATION NUMBERS (ALL ITEMS A,B, & C MUST BE COMPLETED)

Applicant must provide explanation if submitting N/A for any items

Item A- Designated by the Pennsylvania Department of Revenue.

Item B - Designated by the Internal Revenue Service.

Item C - Designated by the Pennsylvania Department of Revenue. The Corporate Box number may also be referred to as the Corporate Account number.

A. SALES TAX LICENSE (8 DIGITS)	APPLICATION PENDING <input checked="" type="checkbox"/> N/A <input type="checkbox"/>	C. CORPORATE BOX No. (7 DIGITS)	APPLICATION PENDING <input type="checkbox"/> N/A <input type="checkbox"/>
- -			
B. EMPLOYER ID (EIN) (9 DIGITS)	APPLICATION PENDING <input type="checkbox"/> N/A <input type="checkbox"/>	OR REVENUE ID NUMBER (10 DIGITS)	
6 2 1 7 2 2 3 1 2		1 0 0 1 1 4 4 1 7 3	

8. Do you have PA employees; resident or non-resident? YES NO

9. Do you own any assets or have an office in PA? YES NO

NAME AND PHONE NUMBER OF PERSON(S) RESPONSIBLE FOR FILING TAX RETURNS

PA SALES AND USE TAX Kim Hendershot	EMPLOYER TAXES Not Applicable	CORPORATE TAXES Kim Hendershot
PHONE 615-786-0382	PHONE Not Applicable	PHONE 615-786-0382

Telephone inquiries about this form may be directed to the Pennsylvania Department of Revenue at the following numbers: (717) 787-1064, TDD# (800) 447-3020 (Hearing Impaired Only)

Exhibit 8a(1): Industry Experience

Eco-Energy has over 20 years of industry experience in the biofuels markets and has developed a leading biofuel platform in North America encompassing over 10% of the market share. Building on its strong balance sheet, extensive credit capacity, and experience in risk management in the renewable fuels markets, Eco-Energy is building a new team of seasoned retail energy leaders. The energy team has over 20 years of combined experience in the natural gas sector and has served markets in over 16 states, including Ohio.

Although Eco-Energy is relatively new to the natural gas sector as a company, our energy team has extensive experience encompassing scheduling, trading and marketing of natural gas. The customer base of the team has predominately been industrial users, and the team possesses experience working with multiple utility service areas including: Duke Energy of Ohio/Kentucky, Columbia Gas of Ohio/Kentucky/Pennsylvania, Vectren, Atmos Energy of Kentucky/Tennessee, Citizens Gas, MichCon Gas, Pacific Gas & Electric, SoCal Gas, Louisville Gas & Electric and Ameren Illinois/Missouri among others. Pipeline scheduling and trading experience include Texas Gas, ANR, Dominion, TCO, CGT, PEPL, Tennessee Gas Pipeline and Rockies Express with trading volumes approximating 50 BCFs annually.

Eco-Energy started its natural gas business unit in September 2014. Since starting, Eco has contracted with over 50 counterparties with multiple existing in queue and has been activated on over 20 interstate pipelines including Columbia Gas and Texas Eastern pipeline. Currently, Eco-Energy, LLC manages over 50,000 mmbtu per day of firm transportation on various pipelines throughout eight states.

Building on the previous experience of our energy team members, many of our initial target customers will come from previous client contacts. Eco-Energy will also leverage our existing relationship in the biofuels sector, adding ethanol facilities and refineries to our target customer base.

Complete resumes for the key technical personnel of the natural gas operations can be found in Exhibit 8a(3).

Eco-Energy, LLC
Natural Gas Supplier License Application

Exhibit 8a(2): Proof of Licensing in Other Jurisdictions

As discussed in Exhibit 8a(1), Eco-Energy is providing natural gas services in multiple jurisdictions. The only jurisdictions currently requiring Eco-Energy to be licensed for natural gas operations are Ohio and Iowa.

Please see attached - Ohio Competitive Retail Natural Gas Marketer Certificate (1 page)

Iowa Order Approving Application and Issuing Certificate to Provide Service to Large Volume Customers (4 pages)

FILE

3

The Public Utilities Commission of Ohio Ohio Competitive Retail Natural Gas Marketer Certificate

Issued pursuant to Case Number(s):
14-1662-GA-CRS

is
Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate Number: -
14-393G(1)

Granted to:
Eco-Energy, LLC

Whose office or principal place of business is located at:
725 Cool Springs Blvd., Suite 500, Franklin, TN 37067

And is hereby certified to provide:
Retail Natural Gas Marketer Services
within the state of Ohio, for a two-year period.


Certification Effective:
October 24, 2014 through October 24, 2016

The certification of Ohio competitive retail natural gas marketers is governed by Chapter 4901:1-27 of the Ohio Administrative Code and section 4929.20 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) as well as those under law, are not met.

Certified entity is subject to all rules and regulations of the commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio
Dated: **October 27, 2014**

By Order of
The Public Utilities Commission of Ohio

Barcy F. McNeal, Secretary
Tanowa M. Troupe, Acting Secretary
Felicia D. Burdett, Acting Secretary

STATE OF IOWA
DEPARTMENT OF COMMERCE
UTILITIES BOARD

IN RE: ECO-ENERGY, LLC	DOCKET NO. CGP-2014-0004
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**ORDER APPROVING APPLICATION AND ISSUING
CERTIFICATE TO PROVIDE SERVICE TO LARGE
VOLUME CUSTOMERS**

(Issued December 16, 2014)

On November 13, 2014, Eco-Energy, LLC (Eco-Energy), filed an application with the Utilities Board (Board) for a certificate to provide service as a competitive natural gas provider (CNGP) to large volume customers in Iowa. Board rules at 19.14 establish the filing requirements for an application for a CNGP certificate. Subrule 19.14(4) provides that an applicant will be notified when its application is complete and the 90-day review period begins. On November 17, 2014, the Board notified Eco-Energy that the application was complete and the 90-day review period had begun. The 90-day deadline for granting a certificate to Eco-Energy is February 15, 2015.

On December 3, 2014, the Consumer Advocate Division of the Department of Justice (Consumer Advocate) filed a response to Eco-Energy's application for a certificate. In the response, Consumer Advocate indicated that it did not object to the application.

Iowa Code § 476.87 requires that an applicant for a CNGP certificate must reasonably demonstrate that it has the managerial, technical, and financial capability sufficient to obtain and deliver the services it proposes to offer. Subrule 199 IAC 19.14(3) describes the basic information that is required to be provided by an applicant for a CNGP certificate. The Board also has developed application forms that describe additional information that must be provided depending on the type of CNGP service that is proposed to be provided.

In support of its application to provide CNGP services to large volume customers, Eco-Energy provided the following information:

(1) Eco-Energy is a limited liability company organized in the state of Tennessee.

(2) Eco-Energy attached a copy of its Certificate of Authority to transact business in the state of Iowa.

(3) Eco-Energy provided the contact information for its principal officers and the telephone number at which Eco-Energy personnel can be reached 24 hours a day.

(4) Eco-Energy has no affiliates engaged in the provision of competitive natural gas services in Iowa or any other state.

(5) Eco-Energy has not had a license or certificate to supply competitive natural gas services suspended, revoked, or denied in any other state or jurisdiction. In addition, there are no legal actions regarding competitive natural gas service pending against Eco-Energy.

(6) Eco-Energy made a commitment to comply with all applicable conditions of certification, including a requirement to file an annual report and notifying the Board within 14 days of any significant changes in the corporations operations. Eco-Energy acknowledged that a failure to comply with all of the applicable conditions of certification may result in the revocation of the CNGP certificate.

Based upon the above information, the Board finds that Eco-Energy has reasonably demonstrated that it has the managerial, technical, and financial capability sufficient to obtain and deliver CNGP services to large volume customers in Iowa. If Eco-Energy chooses to serve small volume CNGP customers in the future, the company must file the additional information required to obtain a certificate to serve small volume customers as described on the application form on the Board's Web site. Eco-Energy must receive an amended certificate before beginning service to small volume customers.

Since the Board has found that Eco-Energy has provided sufficient information to meet the requirements in Iowa Code § 476.87 and Board requirements, the Board will grant Eco-Energy a CNGP certificate to provide service to large volume customers in Iowa. Certificate No. G-0039 will be issued concurrently with this order.

IT IS THEREFORE ORDERED:

1. The application filed on November 13, 2014, by Eco-Energy, LLC, for a certificate as a competitive natural gas provider to serve large volume customers in Iowa is approved.

2. Eco-Energy Energy, LLC, shall comply with the reporting requirements of 199 IAC 19.14(5).

3. Certificate No. G-0039 shall be issued to Eco-Energy Energy, LLC, concurrently with this order.

UTILITIES BOARD

/s/ Elizabeth S. Jacobs

/s/ Nick Wagner

ATTEST:

/s/ Joan Conrad
Executive Secretary

/s/ Sheila K. Tipton

Dated at Des Moines, Iowa, this 16th day of December 2014.

Exhibit 8a(3): Key Technical Personnel

Eco-Energy's natural gas business unit is comprised of two key technical personnel, Jamie Baker and Carter Gaddis, who will be directly involved in the daily execution of natural gas transactions. Below is contact information and a brief summary of Jamie and Carter's experience. Their full resumes are attached. (4 pages)

Jamie Baker – Senior Manager, Natural Gas

Jaimeb@eco-energy.com, 615-224-8239

Jaimie joined Eco-Energy in August 2014 and is instrumental to the company's natural gas initiatives. Jamie has been in the natural gas sector for the last 11 years starting in operations and moving up to trading. He career has primarily been focused in the Midwest with pipeline scheduling and trading experience spanning multiple pipelines in Ohio including PEPL, Texas Gas, Tennessee Gas Pipeline, Rockies Express, Dominion, TCO, CGT and ANR. Jamie has transacted in over 15 states. His previous experience includes CIMA Energy, LTD, out of Louisville, KY where he provided wholesale natural gas supplies to various choice and retail providers in the state. He also worked with Constellation New Energy Gas/ Division in Louisville, KY and most recently with ProLiance Energy out of Indianapolis, IN where he was part of the team that managed the Vectren Retail Choice program. While at ProLiance, Jaimie managed assets and daily deliverability requirements throughout Ohio and worked as part of the ProLiance Energy team providing gas to large industrial customers in the FT and IT programs

Carter Gaddis – Account Manager, Natural Gas

Carterg@eco-energy.com, 615-224-8239

Carter became part of the Eco-Energy team in November 2011. He has personally operated in Ohio scheduling, trading and marketing natural gas for over six years dealing with customers including Procter & Gamble, Owens Corning, AK Steel, Marathon, Nucor Steel and many more. He started his career in the natural gas sector at Atmos Energy Marketing where he marketed to large end users in OH, KY, TN, PA, IN and IL. He also worked at ProLiance Energy as an operations analyst scheduling multiple pipelines including Texas Gas, ANR, Dominion, TCO, CGT, PEPL, Trunkline LNG Company and PEPL and gaining experience with utilities including Columbia Gas of OH/KY/PA, Duke Energy, Vectren LDCs, Atmos Energy of KY/TN and CIPS among others. Before joining Eco-Energy Carter was employed by CIMA Energy where he marketed to large end users across five states. He assisted in day to day physical trading, long term supply and capacity strategy.

Jamie Baker

jamieb@eco-energy.com
(615) 224-8139

Eco-Energy, LLC

Franklin, TN

Senior Manager, Natural Gas (September 2014 – Present)

- *Started a Natural Gas Business unit in September 2014.*
- *Negotiated and completed over 60 NAESBs since inception.*
- *Successfully implemented Allegro system for trade capture, accounting, invoicing, risk management, and scheduling ahead of time frame and under budget.*
- *Developed successful marketing programs for Producers in Marcellus/Utica.*
- *Group has generated over \$ 500,000 in gross margin since inception.*
- *Currently manage interstate transportation in excess of 50,000 Mmbtus per day.*

CIMA ENERGY, LTD

Louisville, KY

Manager of Gas Trading (September 2007 –August 2014)

- *Built a natural gas Midwest presence for CIMA ENERGY, LTD including origination of transportation, storage, and large industrial customers. Annualized volumes grew to over 50 BCF annualized despite limited credit conditions.*
- *Originated and Presented deals to Management that would support company portfolio including AMAs, Storage, and Transportation.*
- *Duties included optimizing daily and long term storage and transportation assets thru segmentation.*
- *Developed short/long term pricing strategies to continue the growth of CIMA'S Midwest presence. Built pricing curves for multiple pipelines and LDCs including Rockies Express, Midwestern, Panhandle, Trunkline, Texas Gas, Southern Star, TCO, Columbia Gulf, ANR, Vectren, Citizens Gas, LG&E, Duke Ohio, Duke Kentucky, Memphis, and many more.*
- *Originated and grew relationships with Producers, Utilities, Marketers, and End Users. Producers included Antero Resources, Encana Energy Marketing, QEP, Chesapeake Energy, Southwestern Energy, Talisman Energy, Hess (Direct Energy), Texla Energy, Cross Timbers (XTO), Wildhorse Resources, and BP Energy.*
- *Managed over 10 BCF of natural gas storage on Texas Gas, Southern Star, and TCO.*
- *Physically and Financially traded Natural Gas basis to optimize assets.*
- *Group generated in excess of \$ 21 million of margin since inception.*
- *Responsible for forecasting, tracking, and reporting P&L to Management on a monthly, quarterly, and yearly budgets.*

Constellation New Energy Gas Division/Fellon McCord

Louisville, KY

Senior Gas Supply Analyst (January 2006 –August 2007)

- *Analyzed, acquired, and optimized natural gas transportation on various intrastate and interstate pipelines including Texas Gas, Midwestern, Panhandle, and Trunkline.*
- *Developed pricing models for multiple interstate pipelines and LDC's for CNEG Pricing Desk.*

- *Worked closely with originators and marketers to develop market strategies.*
- *Traded various U.S. and Canadian locations including AECO, Dawn, SoCal, PGE, PEPL, Trunkline, TGT, Carthage, Chicago, and Transco.*
- *Responsible for Short/Long Term basis exposure for end user business.*
- *Helped grow CNE retail natural gas book to over 300 BCF annually.*

Proliance Energy

Indianapolis, IN

Junior Trader & Pipeline Analyst (March 2003 –December 2005)

- *Daily Cash Trader*
- *Managed Vectren Retail Choice Program by coordinating all supply requirements on various interstate pipelines and LDCs.*
- *Scheduled volumes on multiple pipelines and LDCs in excess of 1 BCF per day.*
- *Generated excess margin by optimizing transportation thru segmentation.*
- *Developed and maintained key relationships with pipelines to ensure daily and hourly flows.*
- *Created scheduling spreadsheets that improved the efficiency of the department to meet timely deadlines.*
- *Reconciled and approved pipeline imbalance and invoice reports.*

Indiana State University

Terre Haute, IN

Student Athlete (August 2001 –December 2002)

- B.S. Economics
- Played NCAA Division 1 Baseball

Scott Carter Gaddis II

466 Finnhorse Lane
Franklin, TN 37064
(502)-938-6406
cartergaddis@yahoo.com

PROFESSIONAL SUMMARY

Extensive experience in all facets of the natural gas industry. Scheduled over 10 pipelines as well as managed multiple end users behind utility gates. Managed daily positions on TGT, Trunk, MGT, and REX trading around optimal routes to fulfill wholesale and end user markets moving 45,000 to 150,000 per day. Specialized in markets off of Texas Gas and utilities ranging from KY, TN, IN, and OH. Began career with intentions of trading and marketing. Left ProLiance after offered trading job to move back home to Louisville to work on the Pricing Desk at Constellation. Company reorganization lead us to part ways as I took my job with Atmos Energy to stay in Louisville.

While at Atmos I developed a book of over 50 end users while working with customers from the plant level up to executive positions. Managed customer's commodity risk and continued to make purchasing recommendations to keep costs below budget. Worked with trading and senior officers to creatively structure delivered type products through capacity or supply opportunities as well as to keep Atmos' pricing comparable to aggressive competition. Continued to evaluate new pipeline projects and pipeline expansions to ensure Atmos can stay ahead of other marketing companies or implement strategies to defend our competitive advantage. Increased books profitability by roughly 32% from year 1 to year 2 and roughly 33% from year 2 to 3.

Currently I am leading CIMA Energy's break into the retail end user market for the Louisville office. We had successfully leveraged my producer relationships on TCO pipeline to secure 1.68 bcf/annual business until company policy lead us out of OH for 18 months. Switched focus to KY, IN, and TN where we have 9 large industrials with demand of over 6 bcf annually and growing. We've laid the groundwork and have continually enhanced a business plan to use my end user relationships and behind the utility management expertise to maximize our TGT storage and transportation assets with end user business.

EXPERIENCE

August 2014- Present, **Eco-Energy, LLC**, Franklin, TN

Manager of Marketing, Natural Gas: Manage launch of Allegro internal system to implement within Eco-Energy. Develop producer clients in multiple supply basins within the US. Monitor existing pipeline capacity and future pipeline expansions to understand how Midwest will be impacted within the next 5-10 years. Manage end user relationships in order to develop a premium sales channel for our producers. Assist in pipeline and utility scheduling to ensure surety of flow.

October 2013- August 2014, **Eco-Energy, LLC**, Franklin, TN

Account Manager: Maintained buyer relationships for ethanol clients. Prospected for new buyers within the US to fit the needs of Eco-Energy ethanol plants. Developed expertise on rail and truck logistics to effectively manage clients' needs and how our supply infrastructure can be deployed to market. Updated clients on current market movements to assist in buying decisions weekly. Monitored destination turn times on railcars to ensure turn times were manageable for our ethanol plants.

Apr 2011- Present, **CIMA Energy, LTD**, Louisville, KY

Manager – Gas Marketing: Working producer relationships to create arbitrage opportunities for end user clients. Assisting trading group in daily activities such as fulfilling NYMEX orders, trading cash, and engaging the group in strategies for storage, transport, and supply. Using end user relationships to maximize rate of return on transportation assets. Assist trading in developing new capacity opportunities through discounted supply with delivery options to premium markets. Primary markets include TN, OH, KY, IN.

Oct 2007- Apr 2011, **Atmos Energy Marketing**, Louisville, KY

Regional Marketing Manager: Manage a book of clients spanning Central and Northern KY, all of Ohio, and Pennsylvania. Provide industrial end users with market Intel to assist in commodity risk strategies. Actively prospect clients in region as well as build relationships with plant and company contacts to increase repeat business and bring in prospective business to book. Work with local suppliers and intrastate pipelines to enhance book of business. Use experience from pricing and scheduling to provide excess value not only internally but also externally to industrial end users. Educate customers on how to manage basis and commodity risk and decrease potential utility penalties from over 15+ different utility companies.

June 2007- Oct 2007, **Constellation New Energy**, Louisville, KY

Pricing Analyst I: Provided sales quotes for our marketing department within their allotted time period. Worked hand in hand with the supply desk to maintain competitive pricing as well as explore capacity opportunities too add value to our retail markets. Continued to stay knowledgeable of the basis and index prices in each of our retail markets as well as creating pricing models for each pipeline. Served as the interface between our supply desk and our salespeople.

January 2006-June 2007, **ProLiance Energy**, Indianapolis, IN

Pipeline Analyst: Scheduled daily activity on Dominion, NGPL, Trunkline, ANR, Union, and ProLiance's most active pipe, Texas Gas. Worked as primary backup on Midwestern, Panhandle, Texas Eastern, and Columbia Gas. Structured historical cash market and physical basis analysis for open season capacity auction arbitrage opportunities. Worked with counterparties to help negotiate capacity releases based on market expectations and fundamentals as they fit ProLiance's portfolio. Actively studied existing transportation and storage contracts as well as the current pipeline tariffs.

EDUCATION

The University of Kentucky, Lexington, KY

Bachelor of Science in Business Finance, Business Marketing

Dec 2004

Academic Honors

Dean's List, Fall 2000, Fall 2001, Spring 2002, Fall 2002, Spring 2003, **Magna Cum Laude**

SKILLS

Proficient in GasQuest, E Script, GEMS, Messenger Plus, Unionline, LinkSystem, Columbia Navigator, Kinder Morgan iDart, Word, Excel, Access, Powerpoint, and common Internet browsers.

Exhibit 8d: Oversight of Marketing

Eco-Energy, LLC was founded on two core premises – a passion for the business of alternative energy and a commitment to provide reliable solutions in the transportation and distribution sector. The company has a high-standing reputation in the biofuels market with more than 20 years of experience and is known for putting our customers' interest first with flexible pricing solutions, pricing visibility, deep market intelligence, and a vast distribution footprint.

This same business model and commitment is being carried out as the company develops its footprint in the natural gas sector. The company has spent the necessary time and resources to bring on an experienced top-notch natural gas team with over 16 years of combined experience in marketing natural gas. As the company builds out this newest segment of our business the same attention will be given to ensure that the highest ethics and reputation are maintained. The operations are under the oversight of the company's key officers and Board of Directors who will ensure that all business is transacted to the highest standards.

Eco-Energy, LLC
Natural Gas Supplier License Application

Exhibit 11a: Application Affidavit

Please see attached - 1 page

Appendix A

APPLICATION AFFIDAVIT

[Commonwealth/State] of Tennessee :

: ss.

County of Williamson :

Bruce M. Pennington, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the Secretary (Office of Affiant) of Eco-Energy, LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That the Applicant herein Eco-Energy, LLC has the burden of producing information and supporting documentation demonstrating its technical and financial fitness to be licensed as a natural gas supplier pursuant to 66 Pa. C.S. § 2208 (c)(1).

That the Applicant herein Eco-Energy, LLC has answered the questions on the application correctly, truthfully, and completely and provided supporting documentation as required.

That the Applicant herein Eco-Energy, LLC acknowledges that it is under a duty to update information provided in answer to questions on this application and contained in supporting documents.

That the Applicant herein Eco-Energy, LLC acknowledges that it is under a duty to supplement information provided in answer to questions on this application and contained in supporting documents as requested by the Commission.

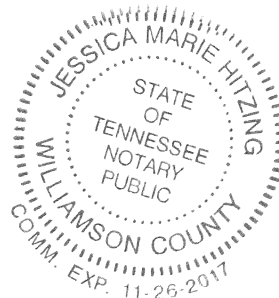
That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief, and that he/she expects said Applicant to be able to prove the same at hearing.

[Handwritten Signature]
Signature of Affiant

Sworn and subscribed before me this 25 day of FEBRUARY, 20 15.

[Handwritten Signature]
Signature of official administering oath

My commission expires 11/26/2017.



Eco-Energy, LLC
Natural Gas Supplier License Application

Exhibit 11b: Operations Affidavit

Please see attached - 2 pages

Appendix B

OPERATIONS AFFIDAVIT

[Commonwealth/State] of Tennessee :

: **SS.**

County of Williamson :

Bruce M. Pennington, Affiant, being duly [sworn/affirmed] according to law, deposes and says that:

[He/she is the Secretary (Office of Affiant) of Eco-Energy, LLC (Name of Applicant);]

[That he/she is authorized to and does make this affidavit for said Applicant;]

That Eco-Energy, LLC, the Applicant herein, acknowledges that [Applicant] may have obligations pursuant to this Application consistent with the Public Utility Code of the Commonwealth of Pennsylvania, Title 66 of the Pennsylvania Consolidated Statutes; or with other applicable statutes or regulations including Emergency Orders which may be issued verbally or in writing during any emergency situations that may unexpectedly develop from time to time in the course of doing business in Pennsylvania.

That Eco-Energy, LLC, the Applicant herein, asserts that [he/she/it] possesses the requisite technical, managerial, and financial fitness to render natural gas supply service within the Commonwealth of Pennsylvania and that the Applicant will abide by all applicable federal and state laws and regulations and by the decisions of the Pennsylvania Public Utility Commission.

That Eco-Energy, LLC, the Applicant herein, certifies to the Commission that it is subject to, will pay, and in the past has paid, the full amount of taxes imposed by Articles II and XI of the Act of March 4, 1971 (P.L. 6, No. 2), known as the Tax Reform Act of 1971 and any tax imposed by Chapter 22 of Title 66. The Applicant acknowledges that failure to pay such taxes or otherwise comply with the taxation requirements of Chapter 28 shall be cause for the Commission to revoke the license of the Applicant. The Applicant acknowledges that it shall report to the Commission its jurisdictional natural gas sales for ultimate consumption, for the previous year or as otherwise required by the Commission. The Applicant also acknowledges that it is subject to 66 Pa. C.S. §506 (relating to the inspection of facilities and records).

Applicant, by filing of this application waives confidentiality with respect to its state tax information in the possession of the Department of Revenue, regardless of the source of the information, and shall consent to the Department of Revenue providing that information to the Pennsylvania Public Utility Commission.

Appendix B (Continued)

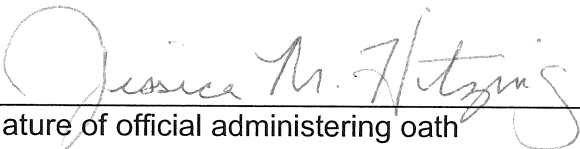
That Eco-Energy, LLC, the Applicant herein, acknowledges that it has a statutory obligation to conform with 66 Pa. C.S. §506 and the standards and billing practices of 52 PA. Code Chapter 56.

That the Applicant agrees to provide all consumer education materials and information in a timely manner as requested by the Office of Communications or other Commission bureaus. Materials and information requested may be analyzed by the Commission to meet obligations under applicable sections of the law.

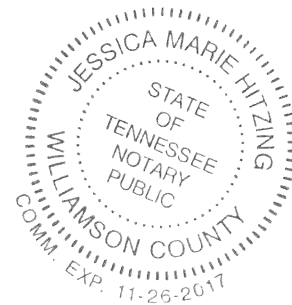
That the facts above set forth are true and correct/true and correct to the best of his/her knowledge, information, and belief.


Signature of Affiant

Sworn and subscribed before me this 25 day of FEBRUARY, 2015.


Signature of official administering oath

My commission expires 11/26/2017.



Eco-Energy, LLC
Natural Gas Supplier License Application

Exhibit 12: Newspaper Publications

The notice below was published in the Pittsburg Post-Gazette on Thursday February 26, 2015. When the notarized proof of publication is received, it will be forwarded to the PUC.

PENNSYLVANIA
PUBLIC UTILITY COMMISSION
NOTICE

Application of Eco-Energy, LLC For Approval To Offer, Render, or Furnish Natural Gas Services as a Supplier, Aggregator, or Marketer/Broker Engaged In The Business Of Supplying Natural Gas Supply Services, To The Public In The Commonwealth Of Pennsylvania.

Eco-Energy, LLC will be filing an application with the Pennsylvania Public Utility Commission (“PUC”) for a license to provide natural gas supply services as (1) a supplier or aggregator of natural gas, and (2) a broker/marketer engaged in the business of providing natural gas services. Eco-Energy, LLC proposes to sell natural gas and related services in National Fuel service areas under the provisions of the new Natural Gas Choice and Competition Act.

The PUC may consider this application without a hearing. Protests directed to the technical or financial fitness of Eco-Energy, LLC may be filed within 15 days of the date of this notice with the Secretary of the PUC, P.O. Box 3265, Harrisburg, PA 17105-3265. You should send copies of any protest to Eco-Energy, LLC at the address listed below.

Attn: Kim Hendershot
Eco-Energy, LLC
725 Cool Springs Blvd. Ste. 500
Franklin, TN 37067
Phone: 615-786-0382
Fax: 615-442-8328