

#### Graig M. Schultz

33 S. Seventh Street, P.O. Box 4060
Allentown, PA 18105
Phone: 610/820-5450 \* Fax: 610/820-6006
gschultz@grossmcginley.com

April 9, 2015

Rosemary Chiavetta, Secretary Pennsylvania Public Utility Commission P.O. Box 3265 Harrisburg, PA 17105-3265

RE: Brenda Smith v. PPL Electric Utilities Corporation Docket No: F-2015-2472890

Dear Ms. Chiavetta:

Enclosed for eFiling in the above-captioned matter are Preliminary Objections on behalf of PPL Electric Utilities Corporation.

Please note that this filing was eFiled with the Commission on the date indicated above.

Very truly yours,

GRAIG M. SCHULTZ

GMS/c1

Enclosure

cc: Brenda Smith (w/encl.)

Amy M. Bellizia (w/encl.) via email only

Kim Safford (w/encl.) via email only

00713897.DOCX

ATTORNEYS

MALCOLM J. GROSS PAUL A. McGINLEY HOWARD S. STEVENS DONALO LaBARRE, JR J. JACKSON EATON, III MICHAEL A. HENRY PATRICK J. REILLY ANNE K. MANLEY SUSAN ELLIS WILD+ VICTOR F. CAVACINI THOMAS E. REILLY, IR. STUART T. SHMOOKLER JAMES A. RITTER JOHN F. GROSS ROBERT A. ALPERT ALLEN I. TULLAR RAYMOND J. DERAYMOND THOMAS A. CAPEHART KIMBERLY G. KRUPKA KIMBERLY A. SPOTTS-KIMMEL ANDREW H. RALSTON, JR. LOREN L. SPEZIALE®+ CHARLES J. FONZONE JENNIFER L. WEED 4. SAMUEL E. COHEN• MICHAEL J. BLUM\* GRAIG M. SCHULTZ ZACHARY R. FOWLER NICOLE M. O'HARAS CHRISTOPHER W. GITTINGER DAVID W. CROSSONS

Of Counsel: THE HON. JOHN P. LAVELLE (Ret.)

THE HON. JOHN P. LAVELLE (Ret.)
MARIANNE S. LAVELLE

\*Also admitted in NY

\*Also admitted in NJ 'Also admitted in DC

· Also admitted in MD •Also admitted in MA

Also admitted in TX

• Also admitted in NM

Allentown Office:

33 S. Seventh Street P.O. Box 4060 Allentown, PA 18105 Phone: 610/820-5450 Fax: 610/820-6006

Easton Office:

101 Larry Holmes Drive, Suite 202 Easton, PA 18042 Phone: 610/258-1506 Fax: 610/258-0701

Emmaus Office 111 East Harrison Street, Suite 2 Emmaus, PA 18049 Phone: 610/967-1030 Fax: 610/967-0622

Lehighton Office 415 Mahoning Street Lehighton, PA 18235 Phone: 610/377-0500

BRENDA SMITH.

Complainant,

COMPLAINT DOCKET

NO. F-2015-2472890

VS.

PPL ELECTRIC UTILITIES CORPORATION,

Respondent.

### **NOTICE TO PLEAD**

To: Brenda Smith

159 Alva Drive

Harrisburg, PA 17112

Pursuant to 52 Pa. Code Section 5.101(d), you are hereby notified that you have ten (10) days from the date of service of the within PRELIMINARY OBJECTIONS OF PPL ELECTRIC UTILITIES CORPORATION within which to file a written answer with the Secretary of the Pennsylvania Public Utility Commission, P.O. Box 3265, Harrisburg, PA 17120, and that if you fail to so file a written answer within ten (10) days from the date of service, Respondent's Preliminary Objections may be granted without further notice.

GROSS MCGINLEY, LLP

BY:

GRAIG M. SCHULTZ, ESQUIRE

Attorney ID # 207123 Attorney for Respondent

PPL Electric Utilities Corporation

33 S. Seventh Street; P O Box 4060

Allentown PA 18105-4060

Ph. (610) 820-5450; Fax (610) 820-6006

Date: April 9, 2015

BRENDA SMITH,

COMPLAINT DOCKET

Complainant,

NO. F-2015-2472890

VS.

PPL ELECTRIC UTILITIES CORPORATION.

Respondent.

## PRELIMINARY OBJECTIONS OF PPL ELECTRIC UTILITIES CORPORATION

PPL Electric Utilities Corporation ("Respondent PPL Electric"), by and through its attorneys of record, Gross McGinley, LLP, hereby raises the following Preliminary Objections to the Complaint of Brenda Smith ("Complainant"), pursuant to 52 Pa. Code § 5.101, and in support thereof, alleges as follows:

- 1. Respondent PPL Electric hereby incorporates those averments set forth in its Answer and New Matter contemporaneously filed in the above-captioned case as if more fully set forth at length.
- 2. Complainant filed a Complaint against Respondent PPL Electric alleging that Blue Pilot Energy, her electric supplier, failed to notify her of a variable rate increase after ninety (90) days, and therefore, she was billed at a higher rate than the lowest possible rate from January 2014 to April 2014, without his knowledge. See Complaint at ¶¶ 4-5, a true and correct copy of which is attached hereto as Exhibit "A".
- 3. Preliminary objections are properly filed by a respondent when the complaint is legally insufficient. 52 Pa. Code § 5.101(a)(4).

- 4. The provision at 52 Pa. Code § 5.101(a)(4) serves judicial economy by avoiding a hearing where no factual dispute exists. If no factual issue pertinent to the resolution of a case exists, a hearing is unnecessary. See Lehigh Valley Power Committee v. Pennsylvania Public Utility Commission, 563 A.2d 557, 564 (Pa. Cmwlth. 1989).
- 5. Preliminary objection practice before the Public Utility Commission (the "Commission") is analogous to Pennsylvania civil practice regarding preliminary objections. *Equitable Small Transportation Intervenors v. Equitable Gas Company*, 1994 WL 932315 (Pa. P.U.C. July 18, 1994).
- 6. Preliminary objections in civil practice requesting dismissal of a pleading will be granted only where the right to relief is clearly warranted and free from doubt. *Interstate Traveller Services, Inc. v. Pa. Dept. of Environment Resources*, 406 A.2d 1020, 1022 (Pa. 1979). The Commission follows this standard. *See Montague v. Philadelphia Electric Company*, 0088 WL 1534888, 66 Pa. PUC 24 (Pa. P.U.C. January 6, 1988).
- 7. The Commission may not rely upon the factual assertions of the moving party but must accept as true for purposes of disposing of the motion all well pleaded, material facts of the nonmoving party, as well as every inference from those facts. *Commonwealth of Pennsylvania* v. Bell Telephone Co. of Pa., 551 A.2d 602, 604 (Pa. Cmwlth. 1988).
- 8. Given this, the Commission must view the complaint in the light most favorable to the complainant and should dismiss the complaint only if it appears that the complainant would not be entitled to relief under any circumstances as a matter of law. See Equitable Small Transportation Intervenors, supra.

- 9. The Commission regulations state that a person may file a formal complaint claiming a violation of a statute that the Commission has jurisdiction to administer. See 52 Pa. Code § 5.21(a)
- 10. Additionally, the regulations authorize the Commission to dismiss a complaint if a hearing is not necessary and authorizes preliminary objections to be filed in response to a complaint. See 52 Pa. Code § 5.21(d)
- 11. Complainant's Complaint alleges that Blue Pilot Energy increased her rates without Complainant's knowledge. *See* Complaint at ¶¶4-5. As a result, Complainant alleges that she paid higher rates from January 2014 through and including April 2014. *Id.* Because of this, Complainant appears to request a refund from Blue Pilot Energy because it allegedly promised Complainant that she would be charged a lower rate. *Id.*
- 12. In order to be legally sufficient, a complaint must set forth "...an act done or omitted to be done by a person subject to the jurisdiction of the Commission, in violation, or claimed violation of a statute which the Commission has jurisdiction to administer, or of a regulation or order of the Commission." See 52 Pa. Code § 5.21(a).
- 13. In this case, the Complaint does not allege any facts that could be construed as a violation by Respondent PPL Electric of any statute, regulation or order which the Commission has jurisdiction to administer.
- 14. As such, the Complaint is legally insufficient, and therefore, must be denied and dismissed with prejudice.

WHEREFORE, PPL Electric Utilities Corporation, respectfully requests that the PUC deny and dismiss Complainant's Complaint as to PPL Electric.

DATE: <u>04/09/15</u>

GROSS MCGINLEY, LLP

BY:

GRAIG M. SCHULTZ, ESQUIRE Attorney ID # 207123

Attorney for Respondent

PPL Electric Utilities Corporation

33 S. Seventh Street; P O Box 4060

Allentown PA 18105-4060

Ph. (610) 820-5450; Fax (610) 820-6006

BRENDA SMITH,

Complainant,

COMPLAINT DOCKET

NO. F-2015-2472890

VS.

PPL ELECTRIC UTILITIES CORPORATION,

Respondent.

#### **CERTIFICATE OF SERVICE**

This is to certify that the PRELIMINARY OBJECTIONS on behalf of PPL ELECTRIC UTILITIES CORPORATION was mailed to counsel/complainant of record on behalf of Respondents by first class United States mail, postage on this the 9<sup>th</sup> day of April, 2015.

BRENDA SMITH 159 ALVA DRIVE HARRISBURG, PA 17112

GROSS MCGINLEY, LLP

BY:

GRAIG M. SCHULTZ, ESQUIRE

Attorney ID # 207123

Attorney for Respondent

PPL Electric Utilities Corporation

33 S. Seventh Street; P O Box 4060

Allentown PA 18105-4060

Ph. (610) 820-5450; Fax (610) 820-6006

00713904.DOCX

# EXHIBIT "A"

DATE SERVED: March 20, 2015

**Brenda Smith** 

Complainant

V.

Blue Pilot Energy LLC and

PPL Electric Utilities Corp

Respondent

Complaint Docket No: F-2015-2472890

#### FORMAL COMPLAINT NOTICE TO RESPONDENT TO ANSWER OR SATISFY

TO: Blue Pilot Energy LLC

TAKE NOTICE:

- 1. You have twenty (20) days from the above date served to either: (a) satisfy this complaint or (b) file with the Secretary of the Pennsylvania Public Utility Commission, 400 North Street, Harrisburg, PA 17120, one original written answer, under oath, as required by 52 Pa. Code Section 5.61, which either admits or specifically denies the allegations in the complaint. You must also serve one copy of the answer upon the complainant. In accordance with 52 Pa. Code Section 1.56(a), the date served is the mailing date appearing at the top of this Notice.
- 2. In accordance with 52 Pa. Code Section 5.61, if you fail to either satisfy or settle this complaint, or to file an answer or other responsive pleading within twenty (20) days of the above date served, you will be deemed to have admitted all the allegations in this complaint. In that event, the Commission may, without hearing, enter an order which either revokes or suspends any certificate or permit held by you or may impose a fine or other appropriate penalty or remedy authorized by the Public Utility Code, 66 Pa. C.S. Section 101, et seq. If you are a customer of a utility, the Commission may enter an order setting forth a payment schedule or authorize termination of utility services. The Commission is not limited to the relief sought by the complainant in paragraph 4 of the attached complaint.
- 3. If you elect to satisfy or settle this complaint, you must file with the Commission, within twenty (20) days from the above date served, a certified statement of satisfaction as required by 52 Pa. Code Section 5.24. Such certified statement must describe the basis on which this complaint was satisfied and any settlement agreement between the parties must be made in writing and attached to the certified statement. Such certified statement must be filed with the Secretary of the Commission at the address set forth in paragraph 1 above. Upon the Commission's receipt of a certified statement of satisfaction from all

complainants, this complaint may be dismissed in accordance with 66 Pa. C.S. Section 703(a) (unless the Commission determines that a dismissal would be contrary to the public interest in which event the Commission may direct that hearings be held upon the complaint).

- 4. If you file an answer which admits the allegations in this complaint, or which fails to specifically deny the allegations in this complaint, the Commission may, without hearing, enter an order which either revokes or suspends any certificate held by you or impose a fine or other appropriate penalty or remedy authorized by the Public Utility Code, 66 Pa. C. S. Section 101, et seq. If you are a customer of a utility, the Commission may enter an order setting forth a payment schedule or authorize termination of utility services. The Commission is not limited to the relief sought by the complainant in paragraph 4 of the attached complaint.
- 5. If you file a timely answer which specifically denies the allegations in this complaint, or which raises material questions of law or fact, this matter shall be referred to the Office of Administrative Law Judge for hearing and a decision. If, after hearing on the issues, you are found to have committed any of the violations alleged in the complaint, the Administrative Law Judge may render a decision which either revokes or suspends any certificate or permit held by you or imposes a fine or other appropriate penalty or remedy authorized by the Public Utility Code, 66 Pa. C. S. Section 101, et seq. If you are a customer of a utility, the Commission may enter an order setting forth a payment schedule or authorize termination of utility services. When imposing a penalty, the Administrative Law Judge is not bound by the relief sought by the complainant in paragraph 4 of the attached complaint.

Rosemary Chiavetta

Secretary

(SEAL)

Certified Mail Return Receipt Requested

BCS: 3249126
BLUE PILOT ENERGY
Must be returned by March 16, 2015

#### PENNSYLVANIA PUBLIC UTILITY COMMISSION

#### Formal Complaint

Filing this form begins a legal proceeding and you will be a party to the case. If you do not wish to be a party to the case, consider filing an informal complaint.

#### To complete this form, please type or print legibly in ink.

Customer (Complainan	t) Information	•			
Provide your name, ma number: It is your respo you want documents mai	nsibility to updat	ounty, telephone num e the Commission wit	ber(s), e-mail addre h any changes to you	ss and uti r address s	lity account and to where
Name Brenc	. "	146			
Street/P.O. Box 150	7 ALI	10 Pro April			
city HARRISK	ourg	State Pa	Zip	17	112
County Dauph	<u>i</u>				
Telephone Number(s) Wi		tact You During the D	lay;		
717/671-44	30 (home)	717)82	9-1107 (mot	oile)	
E-mail Address (optional) Utility Account Number (	N/A	722900 -	~~~~	_ P0i	
If your complaint involvyour mailing address, ple	es utility service	provided to a differ			name than
Name N/A					<u>, , ,</u>
Street/P.O. Box					
City					23
Name of Utility or Comp				25 E E	
Provide the full name of the or company is on your bill.	e utility or comp	any about which you a	re complaining. The	name tu y	ou Willitys Fr
Blue Ald Fo		1001		SURE	段が

March 2014

2.

1

3,	Typ	oe of Utility Service		
		eck the box listing the	e ty	pe of utility service that is the subject of your complaint
	X	ELECTRIC		WASTEWATER/SEWER
		GAS		TELEPHONE/TELECOMMUNICATIONS (local, long distance)
		WATER		MOTOR CARRIER (e.g. taxi, moving company, limousine)
		STEAM HEAT		•
4.	Rea	son for Complaint		
•	that utility and amo () com	apply and state the rea y or company has done any other information t unt you believe is not	son wro hat n	having with the utility or company? Check all boxes below for your complaint. Explain specifically what you believe the ng. Provide relevant details including dates, times and places nay be important. If the complaint is about billing, tell us the ect. Use additional paper if you need more space. Your d without a hearing if you do not provide specific
		The utility is threaten	ing to	shut off my service or has already shut off my service.
•	•			
		I would like a paymer	nt agn	eement.
this sel	Fun (	about any amounts of bill(s) in question if you have to Feb a BPE 4490 Kult Charge # 1456.	or cha u haν λοι ή . <i>48</i> ity, s	my bill. Provide dates that are important and an explanation arges that you believe are not correct. Attach a copy of the re it/them. Teh to Mar 2014 BPE 4490 KWH Charge \$1900.03  Mar to April 2014 BPE 4490 KWH Charge \$1570.79  afety or quality problem with my utility service. Explain the times or places and any other relevant details that may be
		Other (explain).		

Dear Sic/Madam I've worked in retail for over 4 wenty years. In 2006 I stop working to move my mother in due to domentia. In 2008 my son had premature two sons, sheet moved in My live was and still is quite buzy We have always Robbed Peter to pay Paul) My Electric Bill has always been a struggle, We got beaund \$2000 one time and Puc help out with a budget plan \$2500 in addition to current usage, with that BPL charged to s. Late fee. We werenot getting any where. Never able to coutch ip. When Blue Plot Energy called, and told me that uney would shop around to the lowest Rate possibl believe them. I though this was a way to save money and get caught up. I didn't ask the right questions. Never did they say anything about 90 days or a variable rate. I relove now why would they! Looking over my bills

Note: If your complaint is <u>only</u> about removing or modifying a municipal lien filed by the City of Philadelphia, the Public Utility Commission (PUC) cannot address it. Only local courts in Philadelphia County can address this type of complaint. The PUC can address a complaint about service or incorrect billing even if that amount is subject to a lien.

In addition, the PUC generally does not handle complaints about cell phone or internet service, but may be able to resolve a dispute regarding voice communications over the internet (including the inability to make voice 911/E911 emergency calls) or concerns about high-speed access to internet service.

#### 5. Requested Relief

How do you want your complaint to be resolved? Explain what you want the PUC to order the utility or company to do. Use additional paper if you need more space.

Ideally the rade per Kwh should be at the Lowest rate, because that is what was promise.

Note: The PUC can decide that a customer was not billed correctly and can order billing refunds. The PUC can also fine a utility or company for not following rules and can order a utility or company to correct a problem with your service. Under state law, the PUC cannot decide whether a utility or company should pay customers for loss or damages. Damage claims may be sought in an appropriate civil court.

#### 6. Protection From Abuse (PFA)

Has a court granted a "Protection From Abuse" order that is currently in effect for your personal safety or welfare? The PUC needs this information to properly process your complaint so that your identity is not made public.

Note: You <u>must</u> answer this question if your complaint is against a natural gas distribution utility, an electric distribution utility or a water distribution utility AND your complaint is about a problem involving billing, a request to receive service, a security deposit request, termination of service or a request for a payment agreement.

Has a court granted a "Protection From Abuse	order for your	personal safety	or welfare?
--	----------------	-----------------	-------------

YES 

NO 

NO

If your answer to the above question is "yes," attach a copy of the current Protection From Abuse order to this Formal Complaint form.

#### 7. Prior Utility Contact

a. Is this an appeal from a decision of the PUC's Bureau of Consumer Services (BCS)?

Case# 3249126

Note: If you answered yes, move to Section 8. No further contact with the utility or company is required. If you answered no, answer the question in Section 7 b. and answer the question in Section 7 c. if relevant.

b. If this is not an appeal from a BCS decision, have you spoken to a utility or company representative about this complaint?

YES

NO

Note: You <u>must</u> contact the utility first if (1) you are a residential customer, (2) your complaint is against a natural gas distribution utility, an electric distribution utility or a water utility AND (3) your complaint is about a billing problem, a service problem, a termination of service problem, or a request for a payment agreement.

were not able to do s	o, please explain why.
•	
	•
Note: Even if you are no try to speak to a utility o Formal Complaint with th	ot required to contact the utility or company, you should always ir company representative about your problem before you file and PUC.
Legal Representation	
	I Complaint as an individual on your own behalf, you are <u>no</u> · You may represent yourself at the hearing.
If you are filing a Forma required to have a lawyer  If you are already repres address, telephone numbe	
If you are filing a Forma required to have a lawyer of you are already represed address, telephone numbers aware of your complaint, present at your hearing.	You may represent yourself at the hearing. ented by a lawyer <u>in this matter</u> , provide your lawyer's name, r, and e-mail address, if known. Please make sure your lawyer is
If you are filing a Forma required to have a lawyer of you are already repress address, telephone numbe aware of your complaint. present at your hearing.	ented by a lawyer <u>in this matter,</u> provide your lawyer's name, r, and e-mail address, if known. Please make sure your lawyer is lf represented by a lawyer, both you and your lawyer must be
If you are filing a Forma required to have a lawyer of your complaint. or esent at your hearing.  Street/P.O. Box	· You may represent yourself at the hearing. ented by a lawyer <u>in this matter,</u> provide your lawyer's name, r, and e-mail address, if known. Please make sure your lawyer is If represented by a lawyer, both you and your lawyer must be
If you are filing a Forma required to have a lawyer of your complaint. oresent at your hearing.  Street/P.O. Box	ented by a lawyer <u>in this matter,</u> provide your lawyer's name, r, and e-mail address, if known. Please make sure your lawyer is lf represented by a lawyer, both you and your lawyer must be

Note: Corporations, associations, partnerships, limited liability companies and politica subdivisions are <u>required</u> to have a lawyer represent them at a hearing <u>and</u> to file any motions, answers, briefs or other legal pleadings.

8,

#### 9. <u>Verification and Signature</u>

You must sign your complaint. Individuals filing a Formal Complaint must print or type their name on the line provided in the verification paragraph below and must sign and date this form in ink. If you do not sign the Formal Complaint, the PUC will not accept it.

Verification:    Loven da L Smith above set forth are true and correct (or as knowledge, information and belief) and that I	expect to be able to prove the same at a
hearing held in this matter. I understand that the penalties of 18 Pa. C.S. § 4904 (relating to u	nsworn falsification to authorities).
Blenda & Smith (Signature of Complainant)	<u>3-1-2015</u> (Date)

Title of authorized employee or officer (only applicable to corporations, associations, partnerships, limited liability companies or political subdivisions)

Note: If the Complainant is a corporation, association, partnership, limited liability company or political subdivision, the verification <u>must</u> be signed by an authorized officer or authorized employee. If the Formal Complaint is <u>not signed</u> by one of these individuals, the PUC <u>will not accept</u> it.

## 10. Two Ways to File Your Formal Complaint

Electronically. You must create an account on the PUC's eFiling system, which may be accessed at <a href="http://www.puc.pa.gov/efiling/default.aspx">http://www.puc.pa.gov/efiling/default.aspx</a>.

Note: If you are appealing your Bureau of Consumer Services (BCS) decision, you must file your formal complaint by mail.

<u>Mail</u>. Mail the completed form with your original signature and any attachments, by certified mail, first class mail, or overnight delivery to this address:

Secretary Pennsylvania Public Utility Commission 400 North Street Harrisburg, Pennsylvania 17120

Note: Formal Complaints sent by fax or e-mail will <u>not</u> be accepted.

If you have any questions about filling out this form, please contact the Secretary's Bureau at 717-772-7777.

Keep a copy of your Formal Complaint for your records.