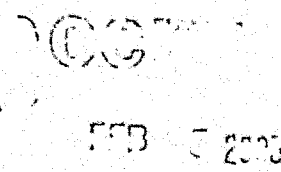


PENNSYLVANIA PUBLIC UTILITY COMMISSION  
Uniform Cover and Calendar Sheet

<p>1. <u>REPORT DATE:</u> November 22, 2002</p>	<p>2. <u>BUREAU AGENDA NO.:</u> DEC-2002-FUS-1105*</p>
<p>3. <u>BUREAU:</u> Fixed Utility Services</p>	
<p>4. <u>SECTION(S):</u> Energy</p>	<p>5. <u>PUBLIC MEETING DATE:</u></p>
<p>6. <u>APPROVED BY:</u>                  Director: Rosenthal 3-5242 <i>DR</i>                  Manager: Bennett 7-5553 <i>KB</i>                  Legal Review by: <i>RFY</i></p>	<p>December 5, 2002  </p>
<p>7. <u>PERSONS IN CHARGE:</u> Rodrock/Shurskis 3-6185</p>	
<p>8. <u>DOCKET NO.:</u> A-110072</p>	

9. (a) CAPTION (abbreviate if more than 4 lines)  
 (b) Short summary of history & facts, documents & briefs  
 (c) Recommendation

(a) The Richards' Energy Group  
 (Home Office: Landisville, PA)  
 Petition for an Alternative Level of Bonding.

(b) On November 8, 2002, The Richards' Energy Group filed a Petition for a reduction in the amount of security bond pursuant to 66 Pa. C. S. Section 2809 and 52 Pa. Code Section 54.40(d).

(c) The Bureau of Fixed Utility Services recommends that the Commission adopt the proposed draft Order which grants the Petition.

10. MOTION BY: Commissioner Chm. Thomas      Commissioner Wilson - Yes  
    Commissioner Fitzpatrick - Yes  
 SECONDED: Commissioner Bloom     Commissioner Pizzigrilli - Yes

CONTENT OF MOTION: Staff recommendation adopted.



COMMONWEALTH OF PENNSYLVANIA  
PENNSYLVANIA PUBLIC UTILITY COMMISSION  
P.O. BOX 3265, HARRISBURG, PA 17105-3265

IN REPLY PLEASE  
REFER TO OUR FILE

DECEMBER 5, 2002

A-110072

FRANK J RICHARDS PE PRES  
THE RICHARDS' ENERGY GROUP  
3901 NOLT ROAD BLDG 1  
LANDISVILLE PA 17538

**DOCKETED**

DEC 19 2002

Pennsylvania Public Utility Commission  
v.  
The Richards' Energy Group

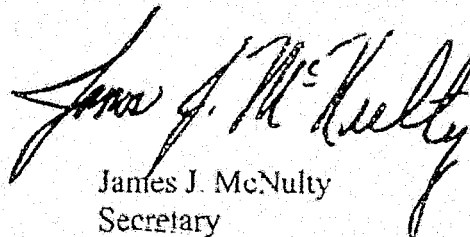
**DOCUMENT**

To Whom It May Concern:

This is to advise you that the Commission in Public Meeting on December 5, 2002 has adopted an Order in the above entitled proceeding.

An Order has been enclosed for your records.

Very truly yours,



James J. McNulty  
Secretary

fg  
encls  
cert. mail

PENNSYLVANIA  
PUBLIC UTILITY COMMISSION  
Harrisburg, PA. 17105-3265

Public Meeting held December 5, 2002

Commissioners Present:

Glen R. Thomas, Chairman  
Robert K. Bloom, Vice Chairman  
Aaron Wilson, Jr.  
Terrance J. Fitzpatrick  
Kim Pizzingrilli

**DOCKETED**  
DEC 19 2002  
**DOCUMENT**

Pennsylvania Public Utility Commission  
v.  
The Richards' Energy Group

Docket No. A-110072

**ORDER**

**BY THE COMMISSION:**

On November 8, 2002, The Richards' Energy Group ("Richards") filed a Petition, pursuant to 52 Pa. Code §54.40, for an order reducing the amount of security bond held pursuant to 66 Pa. C.S. §2809. In the Petition Richards requests approval for a security bond in the amount of \$10,000.

Richards is a licensed electric generation supplier ("EGS") in the Commonwealth of Pennsylvania. It was granted a permanent license, as a broker/marketer, on January 14, 1999. Five (5) security bonds, each in the amount of \$50,000, a total of \$250,000, have been maintained by Richards since it was granted a license. Richards's existing securities expire on December 14, 2002.

Richards operates as a consultant who arranges retail electricity purchase transactions for its clients. For its services Richards receives an annual fee from each client, based on annual kWh consumption and number of accounts. Richards never takes title to electricity and does not collect or remit gross receipts taxes to the Pennsylvania Department of Revenue. Richards contends that it operates in a manner similar to two other EGSs whose approved level of bonding is \$10,000. These include Parente Energy Consulting, LLC ("Parente"), Docket No. A-110099, whose request for a reduced bonding level was granted May 4, 2001 and Affiliated Power Purchasers, Inc. ("APPI"), whose request was granted January 10, 2002. Richards believes that it has demonstrated that it acts in a similar manner to these two EGSs. Therefore, Richards asserts that it does not need to maintain a \$250,000 level of bonding and that \$10,000 is an appropriate level of bonding.

Based on the fact that Richards acts solely in the capacity of a consultant and therefore is not responsible for adding and collecting gross receipts taxes, we believe that Richards' petition requesting a reduction in its bond level to \$10,000 should be granted. This security level shall remain in effect as long as Richards does not expand its business activity beyond its role as a consultant in regard to generation supply. In the event that Richards does expand its business activity, it is required to satisfy the current bonding requirements that are in place for other EGSs; **THEREFORE,**

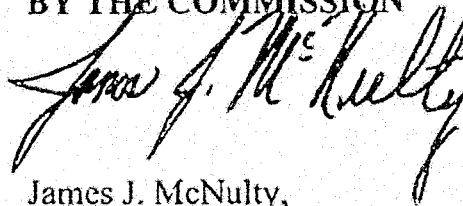
**IT IS ORDERED:**

1. That the Petition of The Richards' Energy Group. to reduce the amount of security bond to a level of \$10,000 is hereby granted.

2. That the security amount of \$10,000 shall remain in effect for The Richards' Energy Group.

3. That prior to expanding its limited licensed activities beyond energy consulting, The Richards' Energy Group shall submit a replacement bond or other security in an amount required by 52 Pa. Code § 54.40, or other applicable Commission order.

BY THE COMMISSION



James J. McNulty,  
Secretary

(SEAL)

ORDER ADOPTED: December 5, 2002

ORDER ENTERED: DEC 05 2002

CANCELLATION NOTICE

TRAVELERS CASUALTY AND SURETY COMPANY OF AMERICA  
TRAVELERS CASUALTY AND SURETY COMPANY  
Hartford, Connecticut 06183

Office at 2550 Eisenhower Avenue  
Trooper, PA 19403

November 25, 2002

Pennsylvania Public Utility Commission  
Secretary's Bureau  
PO Box 3265  
Harrisburg, PA 17105-3265

*A-110072*

RECEIVED  
BUREAU OF  
TRANSIT  
SAFETY  
NOV 25 2:44

You are hereby notified that this Company elects to cancel:

Individual Bond No. 57 S 103531916 BCM  
(see attached copy)

Covering The Richard's Energy Group  
1325 Crown Vetch Drive  
Landisville, PA 17538

This Cancellation is to take effect on the 25th day of January, 2003, in accordance with the terms of said Bond or Policy.

DOCUMENT  
FOLDER

Travelers Casualty and Surety Company of America

By: *Dorothy E. Trefz*  
Dorothy E. Trefz, Attorney-in-Fact

Copy to Principal

**DOCKETED**

JAN 28 2003

RECEIVED  
2002 DEC -4 AM 9:44  
FAVUC  
SECRETARY'S BUREAU

45



SURETY BOND RIDER

TO: THE RICHARD'S ENERGY GROUP  
1325 CROWN VETCH DRIVE  
LANDISVILLE, PENNSYLVANIA 17538

RE Bond Principal: THE RICHARD'S ENERGY GROUP  
Obligee: PENNSYLVANIA PUBLIC UTILITY  
Surety Co: Reliance Insurance Company  
Surety Bond Number: B28031650000  
Bond Amount: \$50,000.00  
Type of Bond: All Other - 999

The purpose of this Rider is to:

CHANGE BOND NUMBER

Upon execution of this Surety Bond Endorsement by all parties hereto, surety bond number B28031650000 is changed to 057 S 103531916 BCM.

CHANGE SURETY COMPANY

Effective December 14, 2000, Travelers Casualty and Surety Company of America ("Travelers") replaces Reliance Insurance Company ("Reliance") as surety on the above referenced bond and, accordingly, agrees to be bound by the terms of the above-referenced bond and to perform all of Reliance's obligations thereunder as if the above-referenced bond had originally been issued by Travelers. The termination of liability under the Reliance bond is a condition precedent to the change of surety. Upon execution of this Surety Bond Endorsement by all parties hereto, Reliance shall have no further obligation or liability under the above-referenced bond.

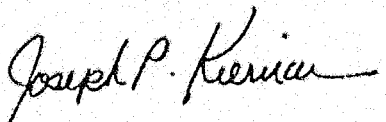
CONTINUATION CERTIFICATE

This certificate extends the life of the bond to \_\_\_\_\_. It is executed upon the express condition that the surety's liability under said bond, together with this and all previous continuation certificates, shall not be cumulative and shall in no event exceed the amount specifically set forth in said bond or any existing certificate changing the amount of said bond.


Signed, sealed and dated January 04, 2001.

Travelers Casualty and Surety Company of America

Reliance Insurance Company

By: 

Joseph P. Kiernan, Executive V. Pres.

By: 

George W. Thompson, Attorney-in-Fact

AGENT COPY

S-5353P 6/00

Travelers Casualty and Surety Company of America, Hartford, Connecticut 06183  
Travelers Casualty and Surety Company, Hartford, Connecticut 06183  
Farmington Casualty Company, Hartford, Connecticut 06183

Please direct any questions or correspondence to: Travelers Bond, 2550 Eisenhower Ave., TROOPER, PA 19403  
Attn: Jahna L Boutin, (610) 650-6400, Fax (610) 650-6446

## LICENSE OR PERMIT BOND

Bond Number B2803165

KNOW ALL MEN BY THESE PRESENTS, that we, The Richards' Energy Group as Principal, and RELIANCE INSURANCE COMPANY, a corporation organized under the laws of the State of Pennsylvania having its principal office in the city of Philadelphia, Pennsylvania, and authorized to transact the business of Surety in the State of Pennsylvania, as Surety, are held and firmly bound unto THE PENNSYLVANIA PUBLIC UTILITY COMMISSION, P.O. Box 3285, Harrisburg, PA 17105, as Obligee in the aggregate penal sum of FIFTY THOUSAND AND NO/100 DOLLARS (\$50,000.00) lawful money of the United States, for which payment, well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly, by these presents.

WHEREAS, the said Principal has applied to said Obligee for a license or permit. This bond (or other acceptable financial document) is written in accordance with Section 2809(c) (1) (l) of the Public Utility Code, 66 PA. C.S. & 2809 (c) (l), to assure compliance with applicable provisions of the Public Utility code, 66 PA. C.S. & 101, et seq and the rules and regulations of the Pennsylvania Public Utility Commission by the Principal as a licensed electric generation supplier, to ensure the payment of Gross Receipts Tax as required by Section 2810 of the Public Utility code, 66 PA. C.S. & 2810; and to ensure the supply of electricity at retail in accordance with contracts, agreements or arrangements. Payments made pursuant to this bond (or other acceptable financial document) shall inure first to the benefit of the Commonwealth, second, to any electric distribution company in which the Principal provides electric generation service, and third, to any and all retail electric customers to whom the Principal may be held legally liable for failure to supply electric generation pursuant to contracts, agreements or arrangements. Claims for payment shall be prioritized from highest to lowest as follows: (1) the Commonwealth, (2) electric distribution companies for the payment of gross receipts tax, and (3) private individuals. Proceeds of the bond (or other acceptable financial document) may not be used to pay any penalties or fines levied against the Principal for violations of the law, or for payment of any other tax obligations owed to the Commonwealth.

THE CONDITION OF THIS OBLIGATION IS SUCH, That whereas, the Principal has made application for a license to the Obligee to offer, render, furnish or supply electricity or electric generation services to the public.

NOW, THEREFORE, if the said Principal shall comply with all rules and regulations contained in said license, provide electric generation supply pursuant to contracts, agreements and arrangements, and pay the full amount of taxes or assessments due, then this obligation shall be void, otherwise to be and remain in full force and effect.

SUBJECT, HOWEVER, to the following conditions.

- 1 This bond shall be continuous until canceled pursuant to the provisions contained herein, Surety's liability under this bond and any continuations of this bond, shall not be cumulative



RECEIVED

JAN 28 2003

LICENSE OR PERMIT BOND

A-110772

A PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

Bond Number 69449704

KNOW ALL MEN BY THESE PRESENTS, that we, The Richards' Energy Group as Principal, and WESTERN SURETY COMPANY a corporation organized under the laws of the State of South Dakota, having its principal office in Sioux Falls, SD, and authorized to transact the business of Surety in the State of Pennsylvania, as Surety, are held and firmly bound unto THE PENNSYLVANIA PUBLIC UTILITY COMMISSION, P.O. Box 3285, Harrisburg, PA 17105, as Obligee, in the aggregate penal sum of Ten Thousand and no/100 (\$10,000) lawful money of the United States, for which payment, well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally, firmly, by these presents.

WHEREAS, the said Principal has applied to said Obligee for a license or permit. This bond (or other acceptable financial document) is written in accordance with Section 2809(c) (1) (i) of the Public Utility Code, 66 PA. C.S. & 2809 (c) (i), to assure compliance with applicable provisions of the Public Utility code, 66 PA. C.S. & 101, et seq. and the rules and regulations of the Pennsylvania Public Utility Commission by the Principal as a licensed electric generation supplier; to ensure the payment of Gross Receipts Tax as required by Section 2810 of the Public Utility code, 66 PA. C.S. & 2810; and to ensure the supply of electricity at retail in accordance with contracts, agreements or arrangements. Payments made pursuant to this bond (or other acceptable financial document) shall inure first to the benefit of the Commonwealth, second, to any electric distribution company in which the Principal provides electric generation service, and third, to any and all retail electric customers to whom the Principal may be held legally liable for failure to supply electric generation pursuant to contracts, agreements or arrangements. Claims for payment shall be prioritized from highest to lowest as follows: (1) the Commonwealth; (2) electric distribution companies for the payment of gross receipts tax; and (3) private individuals. Proceeds of the bond (or other acceptable financial document) may not be used to pay any penalties or fines levied against the Principal for violations of the law, or for payment of any other tax obligations owed to the Commonwealth.

THE CONDITION OF THIS OBLIGATION IS SUCH, That whereas, the Principal has made application for a license to the Obligee to offer, render, furnish or supply electricity or electric generation services to the public.

NOW, THEREFORE, if the said Principal shall comply with all rules and regulations contained in said license, provide electric generation supply pursuant to contracts, agreements and arrangements, and pay the full amount of taxes or assessments due, then this obligation shall be void; otherwise to be and remain in full force and effect.

SUBJECT, HOWEVER, to the following conditions:

1. This bond shall be continuous until canceled pursuant to the provisions contained herein, Surety's liability under this bond and any continuations of this bond, shall not be cumulative.

DOCUMENT

JAN 28 2003

2. This bond may be canceled by the Obligee at any time and by the Surety by sending written notice, certified mail, of such cancellation to the Obligee stating when, not less than 60 days thereafter, such cancellation shall be effective. Failure or inability of the Principal to file a replacement bond, shall not constitute a loss to the Obligee which is recoverable under this bond. Provided further, that nothing herein shall affect any rights or liabilities which shall have accrued under this bond prior to the date of such cancellation.
3. The aggregate liability of the Surety under this bond is limited to the aggregate penal sum stated herein and shall be reduced by any payments made by the Principal or the Surety to the extent of such payments.
4. The Principal and Surety shall have no obligation to any claimant other than the Obligee unless the claimant furnishes a written notice to the Principal and Surety within thirty (30) days following a default for which recovery is demanded, said notice to provide a reasonable explanation of the breach. All payments and expenditures by the Surety shall be applied against the above penal sum and in reduction of the limit of liability of the Surety.

In the event of conflict or inconsistency the obligation of the Surety shall be deemed null and void to the extent of any enlargement or augmentation to the liabilities of the Surety prescribed by this Bond.

SIGNED, SEALED AND DATED THIS 24th day of January, 2003.

Mary J. Richard  
(Witness)

The Richards' Energy Group  
1325 Crown Vetch Drive  
Landisville, PA 17838

BY: Frank J. Richards  
FRANK J. RICHARDS  
PRESIDENT Principal

R. Ewald  
(Witness)

WESTERN SURETY COMPANY  
(Surety) (Seal)

L. Johnson L. Johnson, Ass't. Sec.  
By: Attorney-In-Fact

# Western Surety Company

## POWER OF ATTORNEY

### KNOW ALL MEN BY THESE PRESENTS:

That WESTERN SURETY COMPANY, a corporation organized and existing under the laws of the State of South Dakota, and authorized and licensed to do business in the States of Alabama, Alaska, Arizona, Arkansas, California, Colorado, Connecticut, Delaware, District of Columbia, Florida, Georgia, Hawaii, Idaho, Illinois, Indiana, Iowa, Kansas, Kentucky, Louisiana, Maine, Maryland, Massachusetts, Michigan, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada, New Hampshire, New Jersey, New Mexico, New York, North Carolina, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Carolina, South Dakota, Tennessee, Texas, Utah, Vermont, Virginia, Washington, West Virginia, Wisconsin, Wyoming, and the United States of America, does hereby make, constitute and appoint

I. Johnson of Sioux Falls State of South Dakota, its regularly elected Assistant Secretary as Attorney-in-Fact, with full power and authority hereby conferred upon him to sign, execute, acknowledge and deliver for and on its behalf as Surety and as its act and deed, all of the following classes of documents to-wit:

Indemnity, Surety and Undertakings that may be desired by contract, or may be given in any action or proceeding in any court of law or equity, policies indemnifying employers against loss or damage caused by the misconduct of their employees; official, bail, and surety and fidelity bonds; indemnity in all cases where indemnity may be lawfully given; and with full power and authority to execute consents and waivers to modify or change or extend any bond or document executed for this Company, and to compromise and settle any and all claims or demands made or existing against said Company

Western Surety Company further certifies that the following is a true and exact copy of Section 7 of the by-laws of Western Surety Company duly adopted and now in force, to-wit:

Section 7. All bonds, policies, undertakings, Powers of Attorney, or other obligations of the corporation shall be executed in the corporate name of the Company by the President, Secretary, any Assistant Secretary, Treasurer, or any Vice President, or by such other officers as the Board of Directors may authorize. The President, any Vice President, Secretary, any Assistant Secretary, or the Treasurer may appoint Attorneys-in-Fact or agents who shall have authority to issue bonds, policies, or undertakings in the name of the Company. The corporate seal is not necessary for the validity of any bonds, policies, undertakings, Powers of Attorney or other obligations of the corporation. The signature of any such officer and the corporate seal may be printed by facsimile.

In Witness Whereof, the said WESTERN SURETY COMPANY has caused these presents to be executed by its Senior Vice President with the corporate seal affixed this 24th day of January 2003

ATTEST

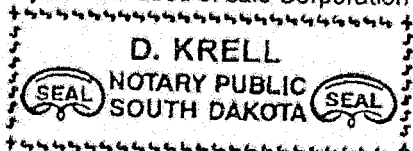
A. Viator  
Assistant Secretary

WESTERN SURETY COMPANY  
By Pau T. Bruffat  
Pau T. Bruffat, Senior Vice President

STATE OF SOUTH DAKOTA }  
COUNTY OF MINNEHAHA } ss

On this 24th day of January, 2003, before me, a Notary Public, personally appeared Paul T. Bruffat and A Viator

who, being by me duly sworn, acknowledged that they signed the above Power of Attorney as Senior Vice President and Assistant Secretary, respectively, of the said WESTERN SURETY COMPANY, and acknowledged said instrument to be the voluntary act and deed of said Corporation



My Commission Expires November 30 2006

D. Krell  
Notary Public

STATE OF SOUTH DAKOTA  
County of Minnehaha

ACKNOWLEDGMENT OF SURETY  
(Corporate Officer)

On this 24th day of January, 2003, before me, a Notary Public in

and for said County, personally appeared L. Johnson, Ass't Sec.  
personally known to me, who being by me duly sworn, did say that he is the aforesaid officer of WESTERN SURETY COMPANY, a corporation duly organized and existing under the laws of the State of South Dakota, that the seal affixed to the foregoing instrument is the corporate seal of said corporation, that the said instrument was signed, sealed and executed on behalf of said corporation by authority of its Board of Directors, and further acknowledge that the said instrument and the execution thereof to be the voluntary act and deed of said corporation.

IN WITNESS WHEREOF, I have hereunto subscribed my name and affixed my official seal the day and year last above written.

My commission expires

R. DREESMAN  
My Commission Expires 4-23-2004

*R. Dreesman*  
Notary Public

**THE RICHARDS'**  
**ENERGY GROUP**  
A Pennsylvania Corporation  
[www.richardsenergy.com](http://www.richardsenergy.com)

Mr. James McNulty  
Secretary  
PPUC  
P.O. Box 3265  
Harrisburg, PA 17105-3265

Dear Secretary McNulty:

Attached you will find our completed "Annual Report" for 2002.

As I previously requested in our 1/4/2003 quarterly report, our contact information should be updated as follows:

Frank J. Richards, President  
Richards Energy Group, Inc.  
3901 Nolt Road, Building #1  
Landisville, PA 17538  
Telephone: 717-898-6330  
Fax: 717-898-6331  
E-mail: [frichards@richardsenergy.com](mailto:frichards@richardsenergy.com)

DOCUMENT

Since Richards Energy Group never takes title to any electric power, these reports always indicate \$0.00 gross receipts for this purpose.

Sincerely,

Frank J. Richards  
President



Cc: Bureau of Fixed Utility Services

MAR 31 AM 7:56

FILED OFFICE SERVICE

**DOCKETED**

MAY 28 2003

SECRETARY'S BUREAU

2003 MAR 28 AM 9:20

RECEIVED

March 26, 2003

5

**ANNUAL REPORT**

**Electric Generation Sales (kWh) & Supply by Energy Source  
(Based on Total Retail Sales in Pennsylvania)**

Pursuant to 52 Pa. Code § 54.39(b) and 52 Pa. Code § 54.6, Richards Energy Group, Inc at  
(Name of Company)

Landisville, Pa, reports the following electric generation attributes for the following calendar year:  
(City) (State)

Year	TOTAL RETAIL SALES (kWh)	Coal (%)	Nuclear (%)	Oil (%)	Hydro-power (%)	Natural Gas (%)	Renewable (%)
2002	0	0	0	0	0	0	0

**Detailed Break-down of Renewable Source by Technology (if any reported above)**

See 52 Pa. Code § 54.39 (b)(4).

Technology	Percent Renewable Energy Supplied (%)	Total Renewable Energy Supplied (kWh)
Solar Photovoltaic Energy	0	0
Solar Thermal Energy	0	0
Wind Power	0	0
Low-Head Hydropower	0	0
Geothermal Energy	0	0
Landfill or Other Biomass-based Methane Gas	0	0
Mine-based Methane Gas	0	0
Energy from Waste	0	0
Sustainable Biomass Energy	0	0
Other (Name)	0	0
Other (Name)	0	0
Total Renewable Sources	*100%	0

**NOTE to the Consumer:** Electricity is the product of a mix of generation energy sources that is delivered over a system of wires. This report reflects the estimated percent of total sales based on the primary fuel source used in the generation of electricity for customer end-use in the Commonwealth of Pennsylvania. Some generators may use a combination of fuel sources, such as oil and coal or oil and natural gas, which may be combined or used alternatively. In this instance, only the primary fuel source is reported. Data may also include negative sales for pumped storage hydroelectric operations, which could require the use of any fuel source except hydropower. If any supply source includes Distributed Generation or Combined Heat and Power, the applicable categories will be marked "DG" and/or "CHP." If net metering is deployed, an asterisk (\*) appears within the applicable categories. Upon request by the consumer, electricity providers are required to provide information on generation energy sources, energy efficiency, environmental impacts or personal historical billing data.

**NOTE to the Entity filing this report:** Please review above "Note to the Consumer" for important information needed to adequately complete this form. Verification of the anticipated generation energy sources of the identifiable resources (if and when they have been "claimed") and the fact that energy characteristics were not sold more than once, shall be conducted by an independent auditor at the end of each calendar year and contained in this annual report to the Commission. If generation energy sources are not identifiable, the provider shall disclose this fact.

RECEIVED  
 2003 MAR 28 AM 9:20  
 SECRETARY'S BUREAU

DOCKETED  
 MAY 28 2003  
 DOCUMENT

RECEIVED  
 03 MAY 14 AM 11:10  
 SECRETARY'S BUREAU

# PROPRIETARY INFORMATION

Docket Number A 110072

Name of Document Document

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Date Document Received 7. 2. 2004

**DOCUMENT CONTAINS**

**PROPRIETARY INFORMATION**



COMMONWEALTH OF PENNSYLVANIA  
PENNSYLVANIA PUBLIC UTILITY COMMISSION  
P.O. BOX 3265, HARRISBURG, PA 17105-3265

IN REPLY PLEASE  
REFER TO OUR FILE

Docket No. A-110072

November 30, 2004

THE RICHARDS' ENERGY GROUP  
3901 NOLT RD BLDG #1  
LANDISVILLE PA 17538-

ATTENTION: FRANK J RICHARDS PE

RE: License Bond or Other Financial Security

**DOCUMENT  
FOLDER**

Dear Mr. Richards PE:

Pursuant to 66 Pa. C.S. §2809(c), no electric supplier license shall remain in force unless the licensee furnishes a bond or other security approved by the Commission to ensure the financial responsibility of the electric generation supplier and the supply of electricity at retail. The Commission's records indicate that the bond or other approved security provided by The Richards' Energy Group will have been in place for approximately one year on January 24, 2005.

The Commission's regulations at 52 Pa. Code §54.40(d) require "the security level for each licensee will be reviewed annually and modified primarily based upon the licensee's reported annual gross receipts information. The security level will be 10% of the licensee's reported gross receipts." The minimum security level provided may not be less than the initial security level provided when the license was granted. Unless approved by the Commission, the initial security level is \$250,000.

The Commission's regulations at 52 Pa. Code §54.39(b) require a licensee to file an annual report on or before April 30 of each year, for the previous calendar year, in order to comply with 66 Pa. C.S. §2810(c)(6). This report discloses the total amount of gross receipts from the sale of electricity and the total amount of electricity sold during the preceding calendar year. You must use this same information in calculating the appropriate security level necessary to maintain your license.

In order for your company to maintain its status as a licensed electric supplier in the Commonwealth of Pennsylvania, it must provide proof to the Commission that a bond or other approved security has been obtained.

Your response in this matter is requested within fifteen (15) days of the date of this letter. Submit your response to the Commission's Secretary. Additionally, fax a copy of your response to James Shurskis at (717) 787-4750, Bureau of Fixed Utility Services.

**DOCKETED**  
JAN 10 2005



Failure to respond to this matter within fifteen (15) days will cause Commission staff to initiate a formal proceeding, that may lead to the following: cancellation of your company's electric supplier license, removal of your information from the Commission's website and notification to all electric distribution companies, in which your company is licensed to do business, of the cancellation of the license.

Please direct any questions to James Shurskis at (717) 787-8763 or Darren Gill at (717) 783-5244, Bureau of Fixed Utility Services.

Sincerely,



Robert A. Rosenthal  
Director, Bureau of Fixed Utility Services

cc: J. McNulty, Secretary ↙



COMMONWEALTH OF PENNSYLVANIA  
PENNSYLVANIA PUBLIC UTILITY COMMISSION  
P.O. BOX 3265, HARRISBURG, PA 17105-3265

IN REPLY PLEASE  
REFER TO OUR FILE

Docket No. A-110072

December 2, 2003

THE RICHARDS' ENERGY GROUP  
3901 NOLT RD BLDG #1  
LANDISVILLE PA 17538-

**DOCKETED**  
FEB 23 2004

ATTENTION: FRANK J RICHARDS PE

RE: License Bond or Other Financial Security

**DOCUMENT**

Dear Mr. Richards PE:

Pursuant to 66 Pa. C.S. §2809(c), no electric supplier license shall remain in force unless the licensee furnishes a bond or other security approved by the Commission to ensure the financial responsibility of the electric generation supplier and the supply of electricity at retail. The Commission's records indicate that the bond or other approved security provided by The Richards' Energy Group will have been in place for approximately one year on 1/24/2004.

The Commission's regulations at 52 Pa. Code §54.40(d) require "the security level for each licensee will be reviewed annually and modified primarily based upon the licensee's reported annual gross receipts information. The security level will be 10% of the licensee's reported gross receipts." The minimum security level provided may not be less than the initial security level provided when the license was granted. Unless approved by the Commission, the initial security level is \$250,000.

The Commission's regulations at 52 Pa. Code §54.39(b) require a licensee to file an annual report on or before April 30 of each year, for the previous calendar year, in order to comply with 66 Pa. C.S. §2810(c)(6). This report discloses the total amount of gross receipts from the sale of electricity and the total amount of electricity sold during the preceding calendar year. You must use this same information in calculating the appropriate security level necessary to maintain your license.

In order for your company to maintain its status as a licensed electric supplier in the Commonwealth of Pennsylvania, it must provide proof to the Commission that a bond or other approved security has been obtained.

Your response in this matter is requested within fifteen (15) days of the date of this letter. Submit your response to the Commission's Secretary. Additionally, fax a copy of your response to James Shurskis at (717) 787-4750, Bureau of Fixed Utility Services.

Failure to respond to this matter within fifteen (15) days will cause Commission staff to initiate a formal proceeding, that may lead to the following: cancellation of your company's electric supplier license, removal of your information from the Commission's website and notification to all electric distribution companies, in which your company is licensed to do business, of the cancellation of the license.


Please direct any questions to James Shurskis at (717) 787-8763 or Darren Gill at (717) 783-5244, Bureau of Fixed Utility Services.

Sincerely,

A handwritten signature in black ink, appearing to read "Robert A. Rosenthal". The signature is fluid and cursive, with a large initial "R" and a long, sweeping underline.

Robert A. Rosenthal  
Director, Bureau of Fixed Utility Services

cc: J. McNulty, Secretary

 RICHARDS

November 30, 2005

Mr. James McNulty  
Secretary  
PPUC  
P.O. Box 3265  
Harrisburg, PA 17105-3265

Docket No. A-110072

Dear Secretary McNulty:

Please find enclosed proof that Bond is renewed & in effect per letter dated 11/21/05.

Sincerely,



Stephanie Baylor

enclosure

DOCUMENT  
FOLDER

**DOCKETED**  
DEC 01 2005

RECEIVED  
2005 DEC -1 AM 9:28  
SECRETARY'S BUREAU



CNA SURETY  
2199 INNOVATION WAY  
CHICAGO, IL 60682-0021

Transaction Report & Invoice

Principal Information: ID: 005442996  
THE RICHARDS' ENERGY GROUP  
3901 HOLT RD., BLDG. #1  
LANDISVILLE PA 17538

OCT 28 2005

Agency Code: 37-02806

INSURANCE & SURETY INC  
P O BOX 698  
CAMP HILL PA 17011-0698

Transaction Description: RENEHAL

Transaction Effective Date: 01/23/2006

Number: 69449704

FILE LOCATION: SIOUX FALLS

Written By: WESTERN SURETY COMPANY  
Description: ELECTRICAL PROVIDER LICENSE

PHONE: 800-331-6053

Obligee: OBLIGEE ADDRESS UNKNOWN

Effective Date: 01-23-2006  
Expiration Date: 01-23-2007  
Current Penalty: \$10,000.00  
Renewal Method:

PREMIUM	\$100.00 @ 30.000%
Gross Premium Charge:	\$100.00
Commission Amount:	\$30.00
Net Premium Due:	\$70.00

# 78781  
10/3/05  
BB

Change Detail:

Agent: You may remove stub below to use as a billing/ cred. invoice

CNA Surety

INVOICE

FILE NO	EFFECTIVE DATE	ANNIVERSARY DATE	PROCESS DATE	PENALTY
0601 69449704	01-23-06	01-23-07	10-24-05	\$10,000.00
PRINCIPAL	THE RICHARDS' ENERGY GROUP 3901 HOLT RD., BLDG. #1 LANDISVILLE PA 17538			
RISK STATE	PA	WRITTEN BY: WESTERN SURETY COMPANY		SF
DESCRIPTION	ELECTRICAL PROVIDER LICENSE			
OBLIGEE				
AGENCY CODE	CHARGE	\$100.00		
37-02806				

Your agent is

INSURANCE & SURETY INC  
P O BOX 698  
CAMP HILL PA 17011-0698

# Insurance & Surety, Inc.

P. O. BOX 698 CAMP HILL, PA 17011-0698

FAX TRANSMITTAL

DATE 11-30-05

TO: JAMES SHORSKIS FAX NO ( ) 787-4750

BUREAU OF FIXED UTILITY SERVICES

FROM: BETH BEAMER

RE: RICHARDS' ENERGY GROUP - DOCKET NO. A-110072

PER YOUR LETTER DATED 11/21/05 TO OUR INSURED, ATTACHED IS  
PROOF THAT BOND IS RENEWED & IN EFFECT.

PLEASE GIVE ME A CALL SHOULD YOU HAVE ANY QUESTIONS.

THANK YOU!!!

NUMBER OF PAGES: 2 (INCLUDING THIS COVER SHEET)

IF ALL PAGES ARE NOT RECEIVED, OR IF THERE IS ANY OTHER PROBLEM WITH THIS TRANSMITTAL/INFORMATION, PLEASE CALL OUR OFFICE.

- ( ) PLEASE CALL TO CONFIRM RECEIPTS OF THESE DOCUMENTS;
- ( ) COPY TO FOLLOW VIA MAIL.



DOCUMENT  
FOLDER

ORIGINAL

August 25, 2006

Mr. James McNulty  
Secretary  
PPUC  
P.O. Box 3265  
Harrisburg, PA 17105-3265

**RE: Electric Suppliers List- License No. A-110072**

Dear Secretary McNulty:

Please update our information on the Licensed Electric Suppliers list, as well as any and all other lists maintained by your office, to the following:

Richards Energy Group, Inc.  
3901 Nolt Rd Bldg 1  
Landisville, PA 17538

Frank J. Richards  
Email: frichards@richardsenergy.com  
Phone: (717) 898-6330  
Fax: (717) 898-6331

Website: www.richardsenergy.com

Sincerely,

Frank J. Richards  
President

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