



January 16, 2020

**VIA FEDERAL EXPRESS**

Pennsylvania Public Utility Commission  
400 North Street,  
Harrisburg, PA 17120  
Attn: Rosemary Chiavetta, Secretary

**RE: ENGIE Resources LLC ("ENGIE Resources") - EGS License No. A-110156**

**Submission of Request for Renewal of 5% Financial Security Reduction**

Pursuant to 66 Pa. C.S. §2809(c), and the regulations promulgated thereunder, and 52 Pa Code §54.40, all active EGSs in PA are required to maintain financial security at levels meant to ensure the financial responsibility of the EGS.

ENGIE Resources hereby submits its renewal request of its 5% Financial Security Reduction pursuant to the conclusion and findings of the PA PUC's Tentative Opinion and Order adopted September 20, 2018 (the "Commission's Order"), as follows:

- 1) Name: **ENGIE Resources LLC**  
Docket No.: **A-110156**  
Utility Code: **1014**
- 2) Contact for Bond Renewal: **Marsha Griffin, Senior Paralegal**  
**1990 Post Oak Blvd., Suite 1900**  
**Houston, TX 77056**  
**(713) 636-1083**  
[marsha.griffin@engie.com](mailto:marsha.griffin@engie.com)
- 3) Company Contact Info: **Customer Service: (888) 232-6206**  
**Email: [custserv@engie.com](mailto:custserv@engie.com)**  
**Sales: [engiena-sales@engie.com](mailto:engiena-sales@engie.com)**
- 4) Current Bond Term: **04/19/2019 – 04/19/2020**
- 5) Certificate of Service: **See attached Certificate of Service (excluding CONFIDENTIAL materials) confirming ENGIE Resources' request has been served on the following:**

**Office of Consumer Advocate**  
**Office of Attorney General**  
**Office of Small Business Advocate**  
**Bureau of Investigation & Enforcement**  
**PA Department of Revenue**

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**JAN 16 2020**

**PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU**



**Duquesne Light Co.  
Metropolitan-Edison Co.  
PPL Electric Utilities Corp.  
PECO Energy Co.  
Pennsylvania Electric Co.  
Pennsylvania Power Co.  
West Penn Power Co.**

- 6) Tax Status Letter: **See Exhibit 1 attached hereto.**
- 7) PA DOR REV-423: **Please note that ENGIE Resources' specialty estimated tax payment is not due to be paid until March 15, 2020; therefore, the most current estimated tax payment available is attached hereto as CONFIDENTIAL Exhibit 2. Once ENGIE Resources' 2020 estimated specialty tax payment has been submitted and paid for 2019, ENGIE Resources will forward confirmation of same to the PA PUC with submission of its Continuation Certificate referenced in #10 below.**
- 8) Gross Receipts: **See CONFIDENTIAL Q1-Q4 2019 Gross Receipts attached hereto as Exhibit 3.**
- 9) 5% Gross Receipt Calculation: **See CONFIDENTIAL Exhibit 4 attached hereto.**
- 10) Bond: **ENGIE Resources' Bond No. 09232821 dated 04/19/2019 is currently on file with the PA PUC. Upon approval by the PA PUC of ENGIE Resources' request for a 5% continued reduction, a further Continuation Certificate with the approved 5% reduction amount will be issued on or before the expiration date of the current Bond on file with the PA PUC.**
- 11) AEPS Compliance: **See Exhibit 5 attached hereto. PA AEPS confirmation of ENGIE Resources' full compliance with the requirements of the AEPS Act. This exhibit shows compliance for the most recent 12-month period, June 1-May 31, taken from the report of the Statewide Administrator of the PA AEPS AEC Program, stating the compliance obligation for the most recent energy year.**
- 12) Fees: **ENGIE Resources certifies that it is compliant with all annual and supplemental fees. See CONFIDENTIAL copies of annual and supplemental payments made attached hereto as Exhibit 6.**



If the PA PUC has any questions or require any additional information in connection with this filing, please contact me at (713) 636-1083 or via e-mail at [marsha.griffin@engie.com](mailto:marsha.griffin@engie.com).

Regards,

A handwritten signature in black ink that reads "Marsha Griffin". The signature is fluid and cursive, with the first name being more prominent.

**Marsha Griffin**  
Senior Paralegal  
1990 Post Oak Blvd., Suite 1900  
Houston, TX 77056  
[www.engieresources.com](http://www.engieresources.com)



**CERTIFICATE OF SERVICE**

I hereby certify that on this 9<sup>th</sup> day of January 2019, I have served a copy of ENGIE Resources' Renewal Request for a 5% Reduction in Financial Security via first class mail upon the persons listed below in the manner indicated in accordance with the requirements of 52 Pa. Code Section 1.54 and the Commission's Order dated September 20, 2018:

Office of Consumer Advocate  
555 Walnut Street  
5<sup>th</sup> Floor Forum Place  
Harrisburg, PA 17101-1923

Duquesne Light Company  
411 Seventh Street, MD 16-4  
Pittsburgh, PA 15219  
Attn: Regulatory Affairs

Office of Attorney General  
Office of Consumer Protection  
14<sup>th</sup> Floor Strawberry Square  
Harrisburg, PA 17120

PPL  
Two North Ninth Street  
Allentown, PA 18108-1179  
Attn: Kimberly Klock, Legal Department

Office of Small Business Advocate  
Commerce Building, Suite 202  
300 North Second Street  
Harrisburg, PA 17101

PECO Energy Company  
2301 Market Street  
Philadelphia, PA 19101-8699  
Attn: Manager Energy Acquisition

Bureau of Investigation & Enforcement  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2 West  
P.O. Box 3265  
Harrisburg, PA 17105-3265

Met-Ed, Penelec & Penn Power  
First Energy  
2800 Pottsville Place  
Reading, PA 19612  
Attn: Legal Department

Pennsylvania Department of Revenue  
Fourth & Walnut Streets  
1<sup>st</sup> Floor, Strawberry Square  
Harrisburg, PA 17120  
Attn: Bureau of Compliance

West Penn Power d/b/a Allegheny Power  
800 Cabin Hill Drive  
Greensburg, PA 15601-1689  
Attn: Legal Department

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JAN 16 2020

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

Naveen Rabie, Senior Attorney  
ENGIE Resources LLC

ORIGIN ID:HOJA (713) 636-1083  
MARSHA GRIFFIN, SR PARALEGAL  
ENGIE RESOURCES LLC  
1990 POST OAK BLVD., SUITE 1900

SHIP DATE: 16JAN20  
ACTWGT: 1.00 LB  
CAD: 44782181/NET4160

HOUSTON, TX 77056  
UNITED STATES US

BILL SENDER

TO **ROSEMARY CHIAVETTA, SECRETARY**  
**PA PUBLIC UTILITIES COMMISSION**  
**400 NORTH STREET**

567 0204F0305V2

**HARRISBURG PA 17120**

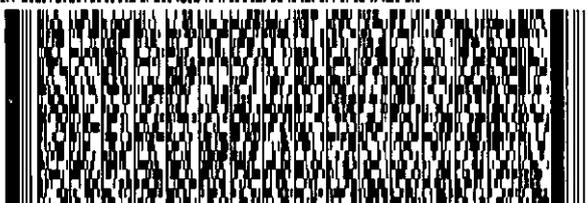
(713) 636-1083

REF. ENGE RE-TAL LEGAL

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