



January 15, 2021

VIA FEDERAL EXPRESS

Pennsylvania Public Utility Commission
400 North Street,
Harrisburg, PA 17120
Attn: Rosemary Chiavetta, Secretary

RE: ENGIE Resources LLC ("ENGIE Resources") - EGS License No. A-110156

Submission of Request for Renewal of 5% Financial Security Reduction

Pursuant to 66 Pa. C.S. §2809(c), and the regulations promulgated thereunder, and 52 Pa Code §54.40, all active EGSs in PA are required to maintain financial security at levels meant to ensure the financial responsibility of the EGS.

ENGIE Resources hereby submits its renewal request of its 5% Financial Security Reduction pursuant to the conclusion and findings of the PA PUC's Tentative Opinion and Order adopted September 20, 2018 (the "Commission's Order"), as follows:

- 1) Name: **ENGIE Resources LLC**
Docket No.: **A-110156**
Utility Code: **1014**
- 2) Contact for Bond Renewal: **Marsha Griffin, Senior Paralegal**
1360 Post Oak Blvd., Suite 400
Houston, TX 77056
(713) 636-1083
marsha.griffin@engie.com
- 3) Company Contact Info: **Customer Service: (866) 693-6443**
Email: care@engieresources.com
Sales: engiena-sales@engie.com
- 4) Current Bond Term: **04/19/2020 – 04/19/2021**
- 5) Certificate of Service: **See attached Certificate of Service (excluding CONFIDENTIAL materials) confirming ENGIE Resources' request has been served on the following:**

Office of Consumer Advocate
Office of Attorney General
Office of Small Business Advocate
Bureau of Investigation & Enforcement
PA Department of Revenue
Duquesne Light Co.
Metropolitan-Edison Co.

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**PPL Electric Utilities Corp.
PECO Energy Co.
Pennsylvania Electric Co.
Pennsylvania Power Co.
West Penn Power Co.**

- 6) Tax Status Letter: **See Exhibit 1 attached hereto.**
- 7) PA DOR REV-423: **Please note that ENGIE Resources' specialty estimated tax payment is not due to be paid until March 15, 2021. Once ENGIE Resources' 2021 estimated specialty tax payment has been submitted and paid for 2020, ENGIE Resources will forward confirmation of same to the PA PUC with submission of its Continuation Certificate referenced in #10 below.**
- 8) Gross Receipts: **See CONFIDENTIAL Q1-Q4 2020 Gross Receipts attached hereto as Exhibit 2.**
- 9) 5% Gross Receipt Calculation: **See CONFIDENTIAL Exhibit 3 attached hereto.**
- 10) Bond: **ENGIE Resources' Bond No. 09232821 dated 04/19/2020 is currently on file with the PA PUC. Upon approval by the PA PUC of ENGIE Resources' request for a 5% continued reduction, a further Continuation Certificate with the approved 5% reduction amount will be issued on or before the expiration date of the current Bond on file with the PA PUC.**
- 11) AEPS Compliance: **See Exhibit 4 attached hereto. PA AEPS confirmation of ENGIE Resources' full compliance with the requirements of the AEPS Act. This exhibit shows compliance for the most recent 12-month period, June 1-May 31, taken from the report of the Statewide Administrator of the PA AEPS AEC Program, stating the compliance obligation for the most recent energy year.**
- 12) Fees: **ENGIE Resources certifies that it is compliant with all annual and supplemental fees. See CONFIDENTIAL copies of annual and supplemental payments made attached hereto as Exhibit 5.**

If the PA PUC has any questions or require any additional information in connection with this filing, please contact me via e-mail at marsha.griffin@engie.com.

Regards,



Marsha Griffin
Senior Paralegal
1360 Post Oak Blvd., Suite 400
Houston, TX 77056
www.engieresources.com








PA PUC Request for Bond Reduction Cover Ltr 01.15.21

Final Audit Report

2021-01-15

Created:	2021-01-15
By:	Marsha Griffin (Marsha.Griffin@engie.com)
Status:	Signed
Transaction ID:	CBJCHBCAABAAvkdw5-Sff-D8XZ9-R0HokwaLR7sYEGa5

"PA PUC Request for Bond Reduction Cover Ltr 01.15.21" History

-  Document created by Marsha Griffin (Marsha.Griffin@engie.com)
2021-01-15 - 3:26:17 PM GMT- IP address: 104.215.72.99
-  Document emailed to Naveen Rabie (naveen.rabie@engie.com) for signature
2021-01-15 - 3:26:55 PM GMT
-  Email viewed by Naveen Rabie (naveen.rabie@engie.com)
2021-01-15 - 3:27:47 PM GMT- IP address: 38.104.60.211
-  Document e-signed by Naveen Rabie (naveen.rabie@engie.com)
Signature Date: 2021-01-15 - 3:27:56 PM GMT - Time Source: server- IP address: 38.104.60.211
-  Agreement completed.
2021-01-15 - 3:27:56 PM GMT



ENGIE RESOURCES LLC
Request for Renewal of 5% Financial Security Reduction

Exhibit 1

Tax Status Letter

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Bureau of Compliance
P.O. Box 280231
Harrisburg, PA 17128-0231
717-787-3911 (Option 5)
www.revenue.pa.gov



Thursday, January 14, 2021

Attention: Marsha Griffin

RE:

Engie Resources LLC

1360 Post Oak Blvd Suite 400

Houston, TX 770056

Federal EIN: 76-0685946

Social Security Number:

Business Partner Number: 1000209309

Dear Requester,

I am writing to confirm that the above-referenced tax accounts of Engie Resources LLC are current. All returns have been filed with payment as of Thursday, January 14, 2021. This confirmation is not intended to represent a "Bulk Sale Clearance" under the provision of section 1403 of the fiscal code. This letter does confirm that the account above is in good standing.

Sincerely,

A handwritten signature in black ink, appearing to read 'Ernard S. Robinson, Jr.', written in a cursive style.

Ernard S. Robinson, Jr
PA Department of Revenue
Bureau of Compliance
Business License Clearance Division



ENGIE RESOURCES LLC
Request for Renewal of 5% Financial Security Reduction

Exhibit 4

Confirmation of AEPS Obligations Met

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PENNSYLVANIA ALTERNATIVE ENERGY CREDIT PROGRAM

*Via Email to: ENGIE Resources LLC (ken.macmahon@engie.com, marsha.griffin@engie.com ,
marsha.griffin@engie.com)*

10 September, 2020

Dear Pennsylvania Electric Supplier:

This letter is to inform you that ENGIE Resources LLC has met the non-solar Tier I, solar Tier I and Tier II AEC retirement requirements for energy year 2020.

If you have any questions regarding this letter please contact InClime, the Pennsylvania AEPS Administrator, at customerservice@pennaeps.com or by phone at 877-333-0573.

Best,

Pennsylvania AEPS Administration Team



CERTIFICATE OF SERVICE

I hereby certify that on this 15th day of January 2021, I have served a copy of ENGIE Resources' Renewal Request for a 5% Reduction in Financial Security via first class mail upon the persons listed below in the manner indicated in accordance with the requirements of 52 Pa. Code Section 1.54 and the Commission's Order dated September 20, 2018:

Office of Consumer Advocate
555 Walnut Street
5th Floor Forum Place
Harrisburg, PA 17101-1923

Duquesne Light Company
411 Seventh Street, MD 16-4
Pittsburgh, PA 15219
Attn: Regulatory Affairs

Office of Attorney General
Office of Consumer Protection
14th Floor Strawberry Square
Harrisburg, PA 17120

PPL
Two North Ninth Street
Allentown, PA 18108-1179
Attn: Kimberly Klock, Legal Department

Office of Small Business Advocate
Commerce Building, Suite 202
300 North Second Street
Harrisburg, PA 17101


PECO Energy Company
2301 Market Street
Philadelphia, PA 19101-8699
Attn: Manager Energy Acquisition

Bureau of Investigation & Enforcement
Pennsylvania Public Utility Commission
Commonwealth Keystone Building
400 North Street, 2 West
P.O. Box 3265
Harrisburg, PA 17105-3265

Met-Ed, Penelec & Penn Power
First Energy
2800 Pottsville Place
Reading, PA 19612
Attn: Legal Department

Pennsylvania Department of Revenue
Fourth & Walnut Streets
1st Floor, Strawberry Square
Harrisburg, PA 17120
Attn: Bureau of Compliance

West Penn Power d/b/a Allegheny Power
800 Cabin Hill Drive
Greensburg, PA 15601-1689
Attn: Legal Department


Naveen Rabie (Jan 15, 2021 09:27 CST)

Naveen Rabie, Senior Attorney
ENGIE Resources LLC

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ORIGIN ID: HOUA (713) 636-1083
MARSHA GRIFFIN, SR PARALEGAL
ENGINE RESOURCES LLC
1360 POST OAK BLVD., SUITE 400

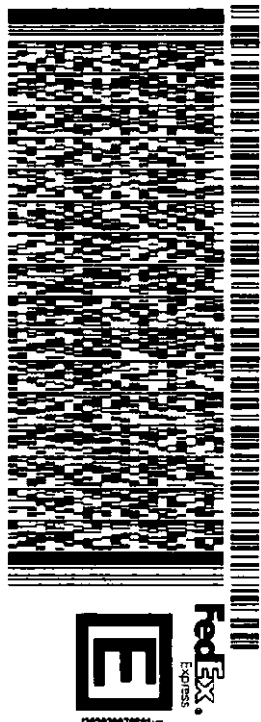
SHIP DATE: 15 JAN 21
ACTWGT: 1.00 LB
CAD: 4476218/NM/ET4280

HOUSTON, TX 77056
UNITED STATES US

BILL SENDER

TO ROSEMARY CHIAVETTA, SECRETARY
PA PUBLIC UTILITIES COMMISSION
COMMONWEALTH KEYSTONE BLDG.
400 NORTH STREET - 2ND FLOOR
HARRISBURG PA 17120
(713) 636-1083
REF: ENGE SUPPLY/LEGAL
DEPT

56BJ171136/6766



TRK# 7826 3986 1547
MON - 18 JAN 4:30P
STANDARD OVERNIGHT

XHMDTA
PA-US 17120
MDT



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