



Constellation.

June 16, 2023

REDACTED FILING

Pennsylvania Public Utility Commission  
Attn: Rosemary Chiavetta, Secretary  
Commonwealth Keystone Building  
2nd Floor, Room-N201  
400 North Street  
Harrisburg, PA 17120

DATE OF DEPOSIT

JUN 16 2023

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

**RE: Annual Compliance Filing of Constellation NewEnergy, Inc. Intent to Renew its'  
5% Financial Security Reduction  
Docket Number A-110036**

Dear Secretary Chiavetta:

Enclosed is the annual compliance filing of Constellation NewEnergy, Inc. ("CNE") to maintain a previously approved 5% financial security reduction.

We kindly request Appendix A and the Amendment to the Parent Company Guarantee to remain confidential as CNE considers our revenue and volume information highly proprietary. Our revenue and volume information by state is not published; thus, the disclosure of such to competitors, or potential competitors, would be detrimental to CNE. Therefore, we have filed one confidential and one redacted compliance filing.

Please do not hesitate to contact me with any questions regarding this information. My telephone number is 779-231-5789 and email address is [amy.klaviter@constellation.com](mailto:amy.klaviter@constellation.com).

Sincerely,

Amy Klaviter  
Principal Compliance Specialist  
On behalf of Constellation NewEnergy, Inc.

Enclosures

**Constellation NewEnergy, Inc.**  
**Annual Compliance Filing**  
**2023**

1. Constellation NewEnergy, Inc. (“CNE”) submits this filing as it intends to renew its’ 5% Financial Security Reduction.
2. EGS’ licensed name: Constellation NewEnergy, Inc.
3. Docket number: A-110036
4. Utility code: 110036
5. EGS’ contact information: Amy Klaviter, 100 N. Riverside Plaza, Suite 900, Chicago, IL 60606, [amy.klaviter@constellation.com](mailto:amy.klaviter@constellation.com), 779-231-5789
6. CNE’s most recent financial security reduction petition is effective until November 1, 2023. CNE has a parental guarantee (“PG”) in place that has no expiration date; however, the PG was signed on September 19, 2016. This filing is submitted 90 days prior to September 19.
7. Enclosed is a Certificate of Service showing that the 5% annual compliance filing was served on the statutory advocates and all affected EDCs.
8. Enclosed is a recent Department of Revenue Statement of Accounts, which the Department of Revenue stated replaces the prior submitted Tax Status Letter of Good Standing.
9. Enclosed is CNE’s most recent Department of Revenue Estimated Tax Payment submission.
10. Enclosed in Appendix A is CNE’s most recent 12-months of gross receipts.
11. Enclosed in Appendix A is CNE’s 5% gross receipts calculation using the most recent 12-months of gross receipts.
12. Enclosed is a third amendment to the current parental guarantee in an amount equal to the 5% of the EGS’ most recent 12-months of gross receipts.

DATE OF DEPOSIT


JUN 16 2023

PA PUBLIC UTILITY COMMISSION  
SECRETARY’S BUREAU



REV 4.001 12

Date Issued 06/22/2023  
Letter ID L0019361161  
EIN 11-174890

 CONSTELLATION NEW ENERGY INC  
PO BOX A3922  
CHICAGO IL 60690-3852

**Statement of Account**

This is a Statement of Account for the above-listed taxpayer.

**What information is included in the Statement of Account?**

Tax periods shown below include the three most recent periods that have been filed, periods that do not have a zero balance, and periods for which we have not yet received your return.

This Summary of Periods includes interest as of July 2, 2023

Summary of Periods - Corporate Net Income Tax (80015592610)					
Filing Period	Transaction Type	Liability Amount	Payments/Credits	Refunds/Credit Transfers	Balance
Dec-31-2022	• Return has not been received				
Dec-31-2021					
Dec-31-2020					
Dec-31-2019					

**Total Balance of Filed Periods:**

Summary of Periods - Employer Annual Reconciliation (50015572130)					
Filing Period	Transaction Type	Liability Amount	Payments/Credits	Refunds/Credit Transfers	Balance
Dec-31-2023	• Return has not been received				
Dec-31-2022					
Dec-31-2021					



REV 06/12

Date Issued 06/22/2023  
Letter ID L0018361161  
FEIN 11-114890

Summary of Periods - Employer Annual Reconciliation (50015572130)

Filing Period	Transaction Type	Liability Amount	Payments/Credits	Refunds/Credit Transfers	Balance
Dec-31-2020					
<b>Total Balance of Filed Periods:</b>					

Summary of Periods - Gross Receipts Electric, Hydro-Electric and Water Power Tax (80015559842)

Filing Period	Transaction Type	Liability Amount	Payments/Credits	Refunds/Credit Transfers	Balance
Dec-31-2023 • Within appeal period					
Dec-31-2022 • Within appeal period					
Dec-31-2021					
<b>Total Balance of Filed Periods:</b>					

Summary of Periods - Sales and Use Tax (50015555746)

Filing Period	Transaction Type	Liability Amount	Payments/Credits	Refunds/Credit Transfers	Balance
May-31-2023 • Return has not been received					
Apr-30-2023 • Within appeal period					
Mar-31-2023					
Feb-28-2023					
<b>Total Balance of Filed Periods:</b>					

Summary of Periods - Employer Withholding Tax (70015539362)

Filing Period	Transaction Type	Liability Amount	Payments/Credits	Refunds/Credit Transfers	Balance
Jun-30-2023 • Return has not been received					
Mar-31-2023					



REV 01.00\* 12

Date Issued 06/22/2023  
Letter ID L0018361161  
FEIN \*\*\*\*\*4890

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Summary of Periods - Employer Withholding Tax (70015539362)

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Filing Period	Transaction Type	Liability Amount	Payments/Credits	Refunds/Credit Transfers	Balance
Dec-31-2022					
Sep-30-2022					

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**Total Balance of Filed Periods:** [REDACTED]

---



< **Submissions**

**Make an ACH Debit Payment**

Gross Receipts Electric, Hydro-Electric and Water Power  
80015559842  
CONSTELLATION NEW ENERGY INC

**Submitted**

Confirmation #  
0-005-859-431  
Submitted 14-Mar-2023 09:20:35  
by Feroze Mohammed

> Delete

**Make an ACH Debit Payment**

✓  
Payment Type

✓  
Payment Information

**How would you like to apply your payment?**

Payment type  
Estimated Payment

tax year  
2023

< Previous Next >

PENNSYLVANIA DEPARTMENT OF REVENUE

Contact the Department  
Provide myPATH Feedback

For your security, this application will time out after 15 minutes of inactivity. All unsaved information will be lost.



< **Submissions**

### Make an ACH Debit Payment

Gross Receipts Electric, Hydro-Electric and Water Power  
80015559842  
CONSTELLATION NEW ENERGY INC

### Submitted

Confirmation #  
0-005-859-431  
Submitted 14-Mar-2023 09:20:35  
by Feroze Mohammed

> Delete

### Make an ACH Debit Payment

Payment Type

Payment Information

#### Payment Channel

Option

313 a

Type

Direct Debit - US Bank

Bank Account Type

Checking

Savings

Routing Number

Bank Name

CITIBANK NA

Account Number

#### Payment

Remit estimated tax installments.

Payment Date

14-Mar-2023

Amount

Appendix A

Constellation NewEnergy, Inc.

Gross Revenue for Most Recent 12 Months

Jun-22	
Jul-22	
Aug-22	
Sep-22	
Oct-22	
Nov-22	
Dec-22	
Jan-23	
Feb-23	
Mar-23	
Apr-23	
May-23	
TOTAL	

5% gross receipts calculation

5%



## CERTIFICATE OF SERVICE

On this the 16<sup>th</sup> day of June 2023, I certify that a true and correct copy of the foregoing filing and all **NON-CONFIDENTIAL** attachments have been served, as either a hardcopy or a searchable PDF version on a cd-rom or electronically, upon the following:

Bureau of Investigation & Enforcement  
Pennsylvania Public Utility Commission  
Commonwealth Keystone Building  
400 North Street, 2 West  
Harrisburg, PA 17120  
[RA-STBEI\\_HQ@pa.gov](mailto:RA-STBEI_HQ@pa.gov); [rkanaskie@pa.gov](mailto:rkanaskie@pa.gov)

Office of the Attorney General  
Bureau of Consumer Protection  
Strawberry Square, 14th Floor  
Harrisburg, PA 17120

Office of Consumer Advocate  
5th Floor, Forum Place  
555 Walnut Street  
Harrisburg, PA 17120  
[consumer@paoca.org](mailto:consumer@paoca.org)

Department of Revenue  
Bureau of Compliance  
PO Box 281230  
Harrisburg, PA 17128-1230

DATE OF DEPOSIT

Small Business Advocate  
Commerce Building, Suite 202  
300 North Second Street  
Harrisburg, PA 17101  
[osba@pa.gov](mailto:osba@pa.gov)

JUN 16 2023

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

Vice President – Energy Supply  
Corning Natural Gas Holding Corporation  
330 West William Street  
Corning, NY 14830  
[custserv@pclpeg.com](mailto:custserv@pclpeg.com)

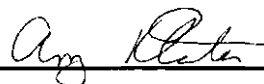
Regulatory Affairs  
Duquesne Light Company  
411 Seventh Street, MD 16-4  
Pittsburgh, PA 15219  
[dlc\\_ssc@duqlight.com](mailto:dlc_ssc@duqlight.com)

Office of General Counsel  
Attn: Kimberly A. Klock  
PPL  
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[pplutiessupplier@pplweb.com](mailto:pplutiessupplier@pplweb.com)

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Amy Klaviter, Principal Compliance Analyst

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CRYSTAL BROWN

20 N WACKER DRIVE  
SUITE 2100  
CHICAGO, IL 60606  
UNITED STATES US

SHIP DATE: 16 JUN 23  
ACTWGT: 0.50 LB  
CAD: 2564746801NET4810

BILL SENDER

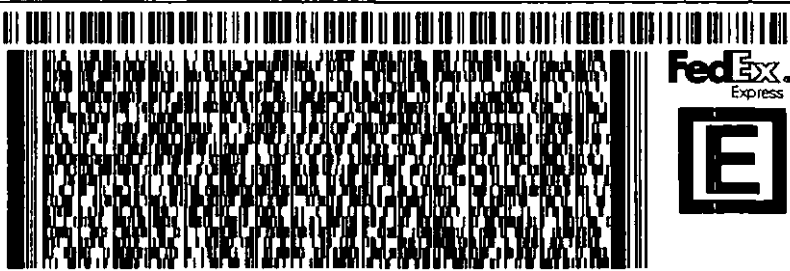
563J2Z9ABFEZD

TO **SECRETARY**  
**PA PUBLIC UTILITIES COMMISSION**  
**COMMONWEALTH KEYSTONE BUILDING**  
**400 NORTH STREET**  
**HARRISBURG PA 17120**

(717) 772-7777  
INV.  
PO:

REF: CNE PA SECURITY FILING

DEPT:

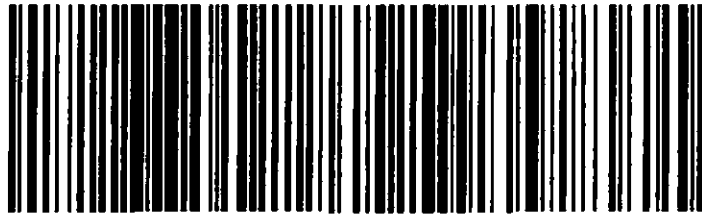


MON - 19 JUN 10:30A  
PRIORITY OVERNIGHT

TRK# 7724 7386 0973  
0201

**XE MDTA**

17120  
PA-US MDT



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JUN 20 2023

PA PUBLIC UTILITY COMMISSION  
SECRETARY'S BUREAU

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